

# *Use of ground-based radar and lidar to evaluate model clouds*



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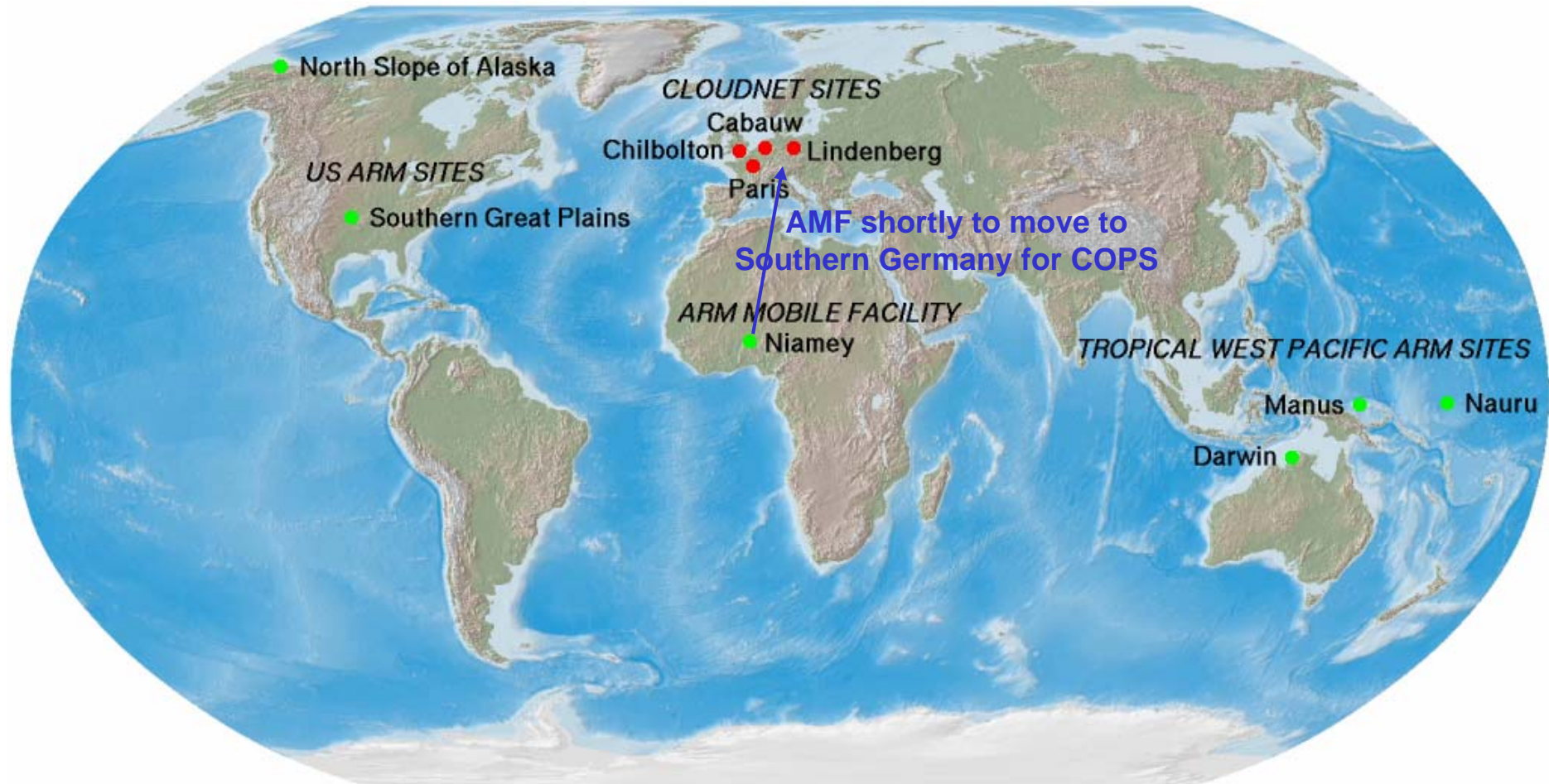
Julien Delanoe

Anthony Illingworth

# Overview

- Cloud radar and lidar sites worldwide
- Cloud evaluation over Europe as part of Cloudnet
  - Identifying targets in radar and lidar data (cloud droplets, ice particles, drizzle/rain, aerosol, insects etc)
  - Evaluation of cloud fraction
  - Liquid water content
  - Ice water content
  - Forecast evaluation using skill scores
  - Drizzle rates beneath stratocumulus
- The future: variational methods
  - Optimal combination of many instruments

# Continuous cloud-observing sites



- Key cloud instruments at each site:
  - Radar, lidar and microwave radiometers



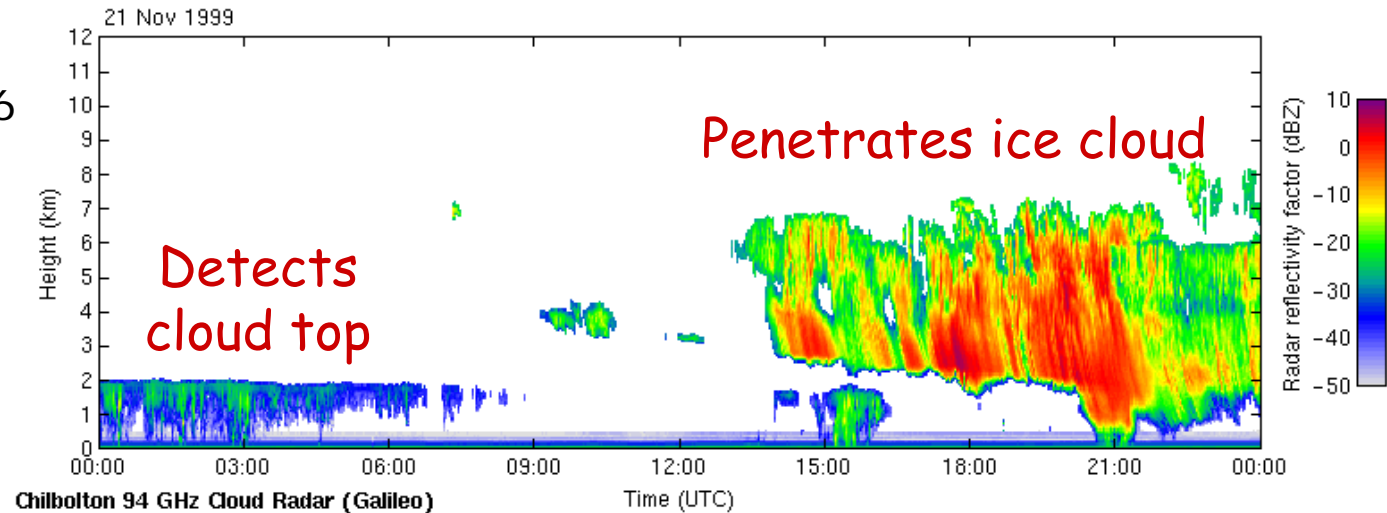
# The Cloudnet methodology

Recently completed EU project; [www.cloud-net.org](http://www.cloud-net.org)

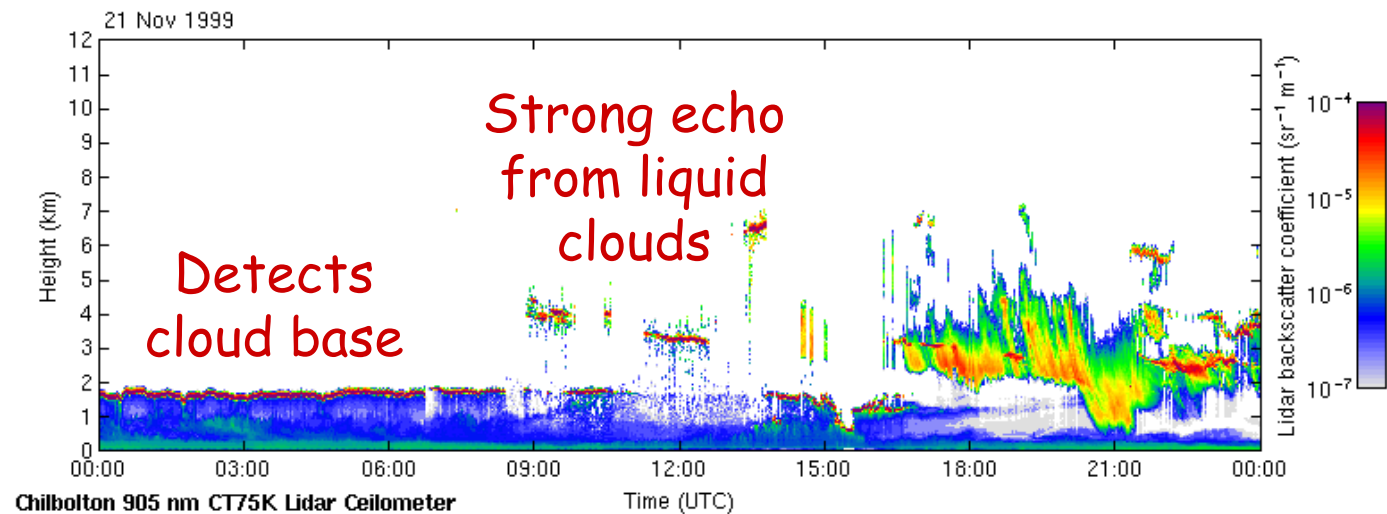
- Aim: to retrieve and evaluate the crucial cloud variables in forecast and climate models
  - *Models:* Met Office (4-km, 12-km and global), ECMWF, Météo-France, KNMI RACMO, Swedish RCA model, DWD
  - *Variables:* target classification, cloud fraction, liquid water content, ice water content, drizzle rate, mean drizzle drop size, ice effective radius, TKE dissipation rate
  - *Sites:* 4 Cloudnet sites in Europe, 6 ARM including the mobile facility
  - *Period:* Several years near-continuous data from each site
- Crucial aspects
  - Common formats (including errors & data quality flags) allow all algorithms to be applied at all sites to evaluate all models
  - Evaluate for months and years: avoid unrepresentative case studies

# Basics of radar and lidar

Radar:  $Z \sim D^6$   
Sensitive to  
large particles  
(ice, drizzle)



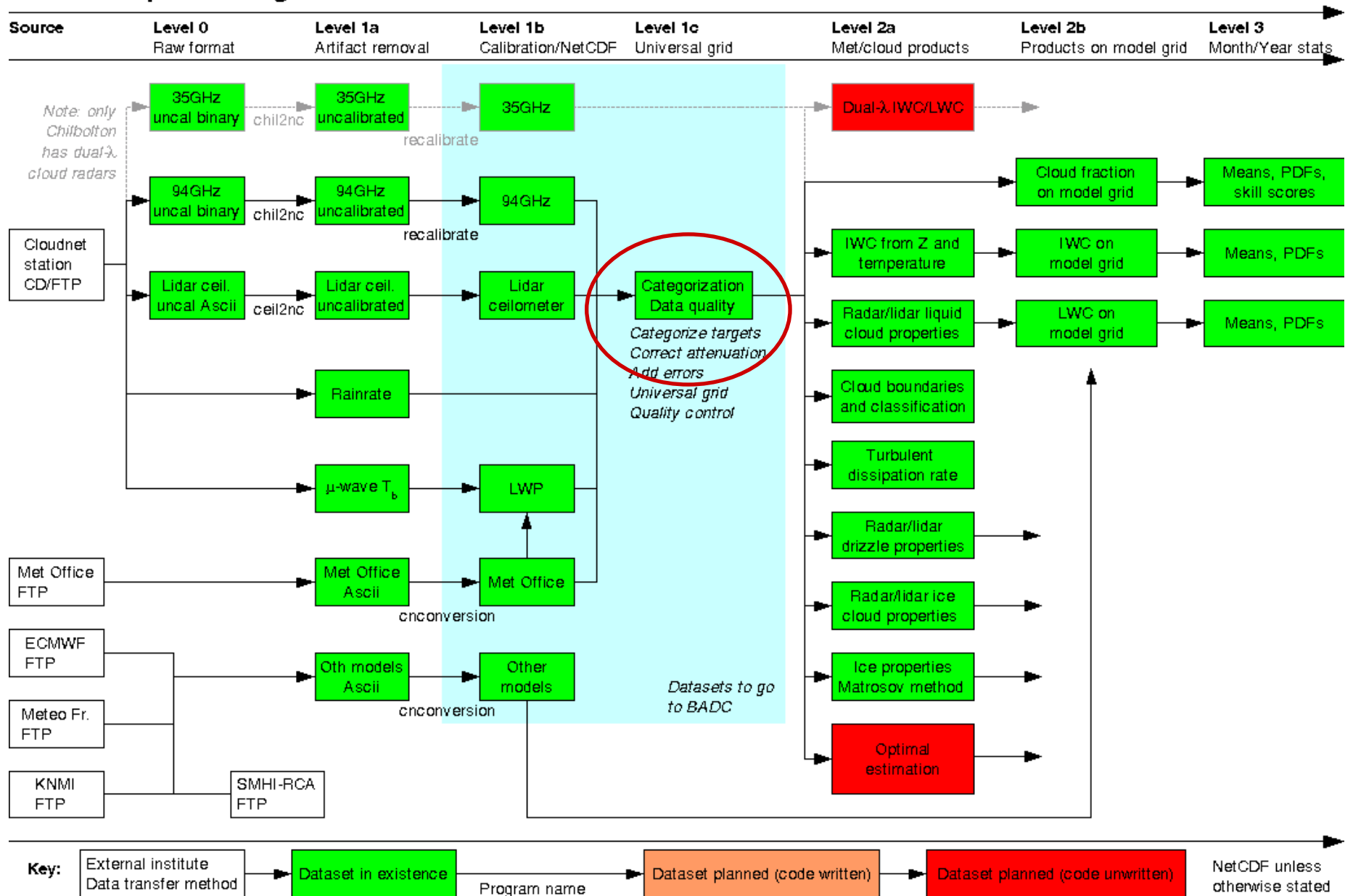
Lidar:  $\beta \sim D^2$   
Sensitive to  
small particles  
(droplets,  
aerosol)



*Radar/lidar ratio provides information on particle size*

← Level 0-1: observed quantities → | ← Level 2-3: cloud products →

### Cloudnet processing chain

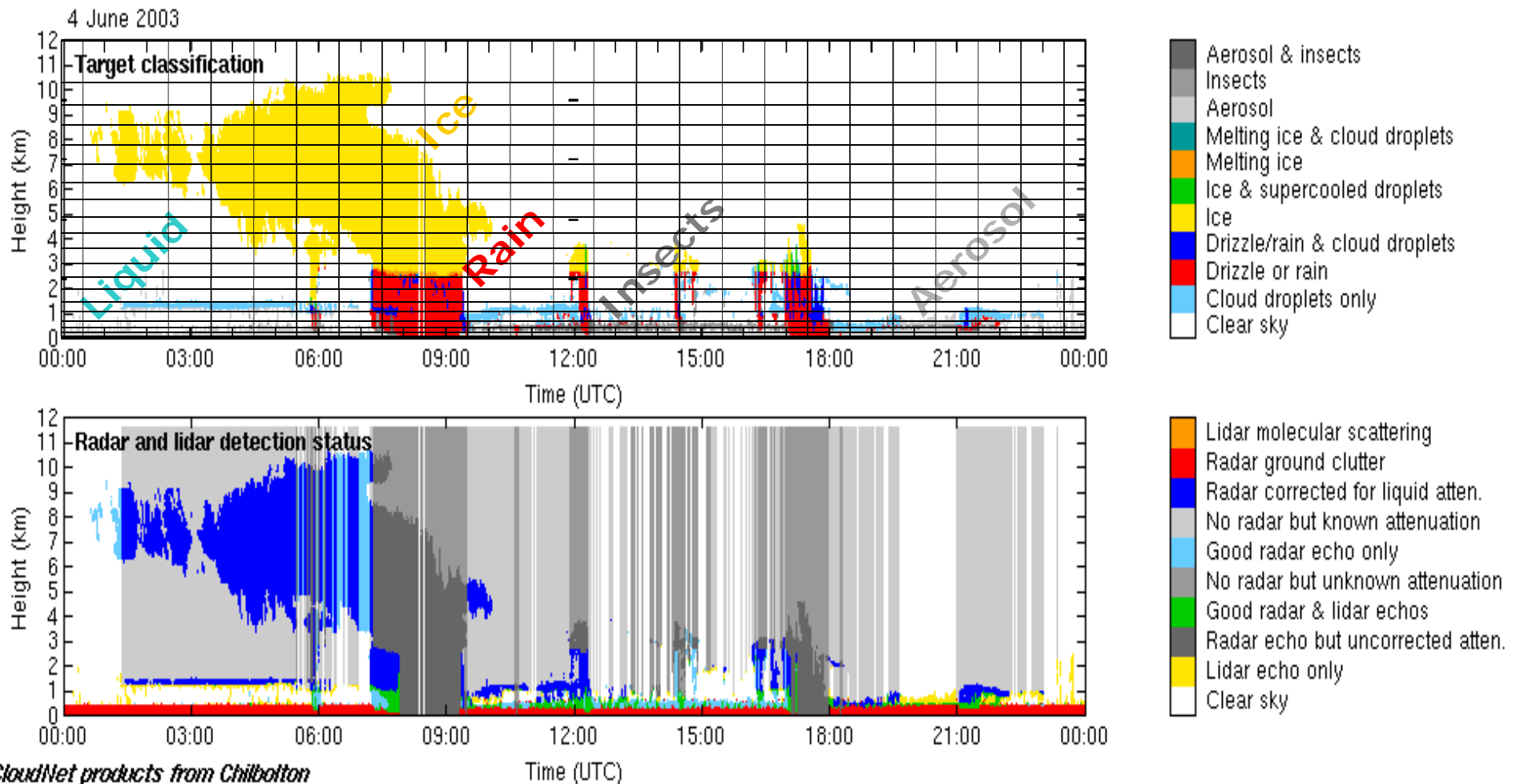


# The Instrument synergy/ Target categorization product

- Makes multi-sensor data much easier to use:
  - Combines radar, lidar, model, raingauge and  $\mu$ -wave radiometer
  - **Identical format** for each site (based around NetCDF)
- Performs common pre-processing tasks:
  - Interpolation on to the same grid
  - Ingest model data (many algorithms need temperature & wind)
  - Correct radar for **attenuation** (gas and liquid)
- Provides essential extra information:
  - Random and systematic **measurement errors**
  - Instrument **sensitivity**
  - Categorization of targets: **droplets/ice/aerosol/insects** etc.
  - **Data quality flags**: when are the observations unreliable?

# Target categorization

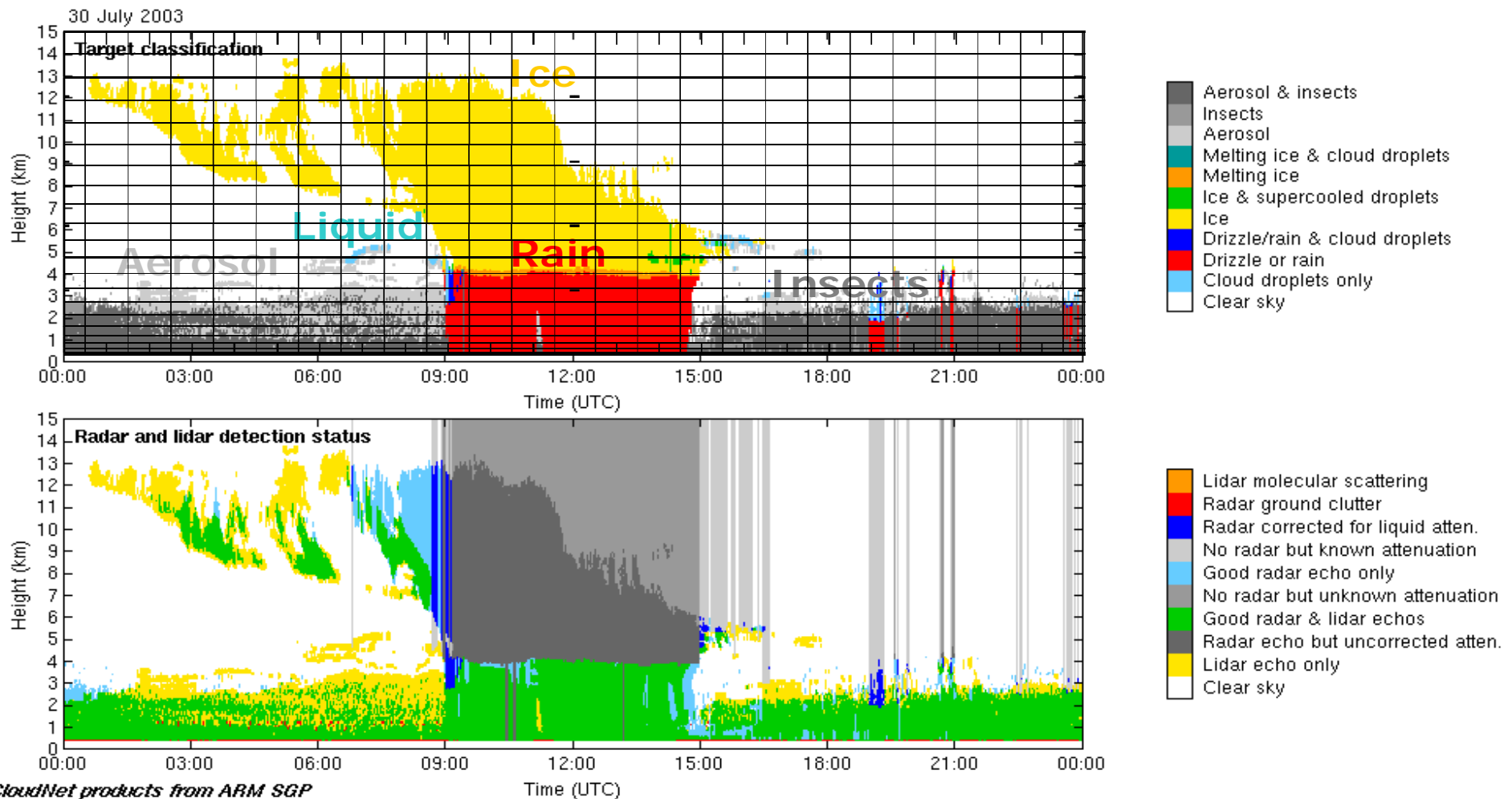
- Combining radar, lidar and model allows the type of cloud (or other target) to be identified
- From this can calculate cloud fraction in each model gridbox





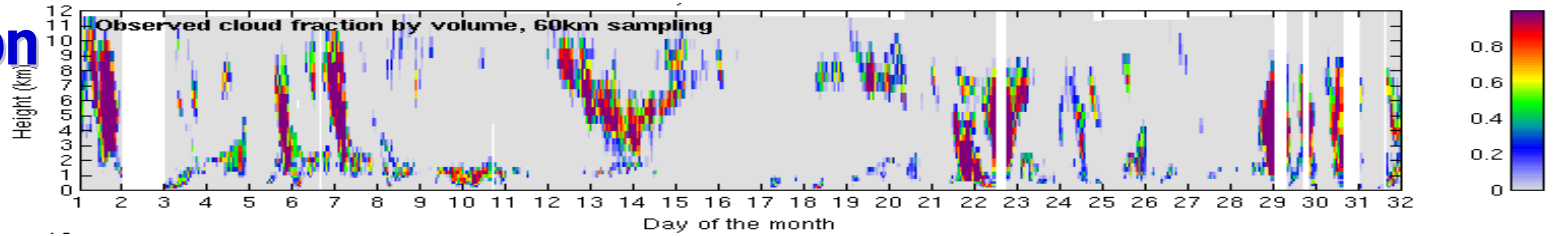
# First step: target classification

- Combining radar, lidar and model allows the type of cloud (or other target) to be identified
- From this can calculate cloud fraction in each model gridbox

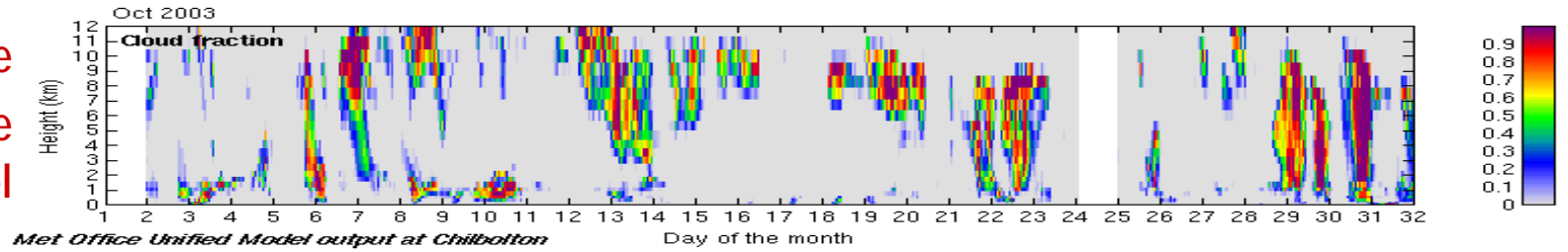


# Cloud fraction

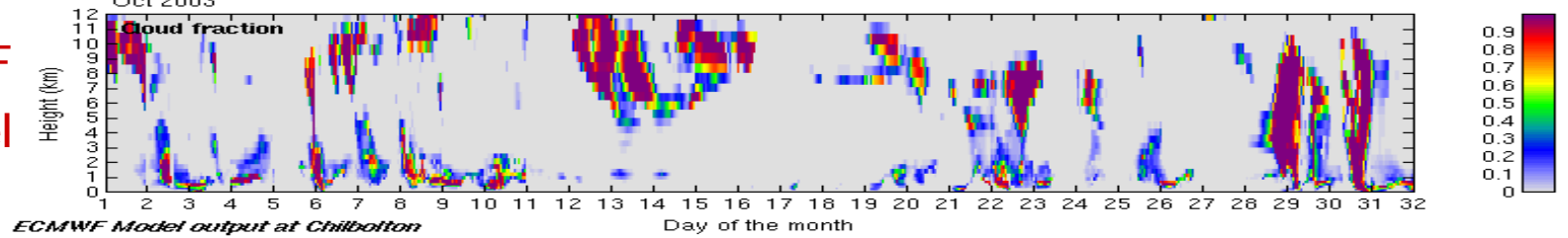
Observations



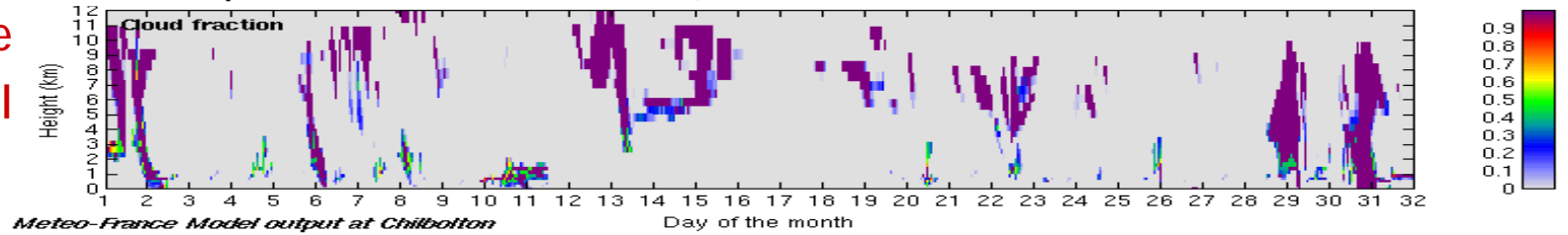
Met Office  
Mesoscale  
Model



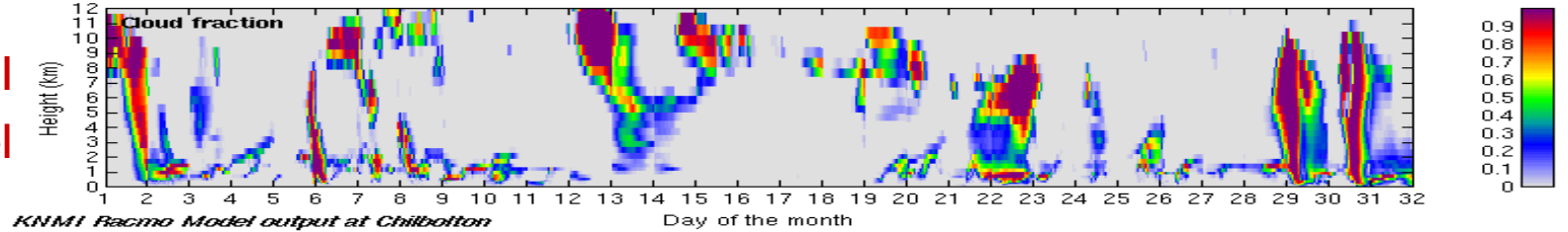
ECMWF  
Global Model



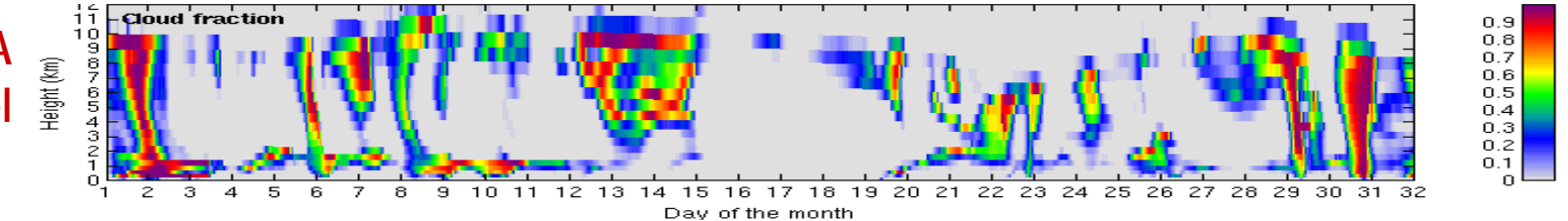
Meteo-France  
ARPEGE Model



KNMI  
RACMO Model

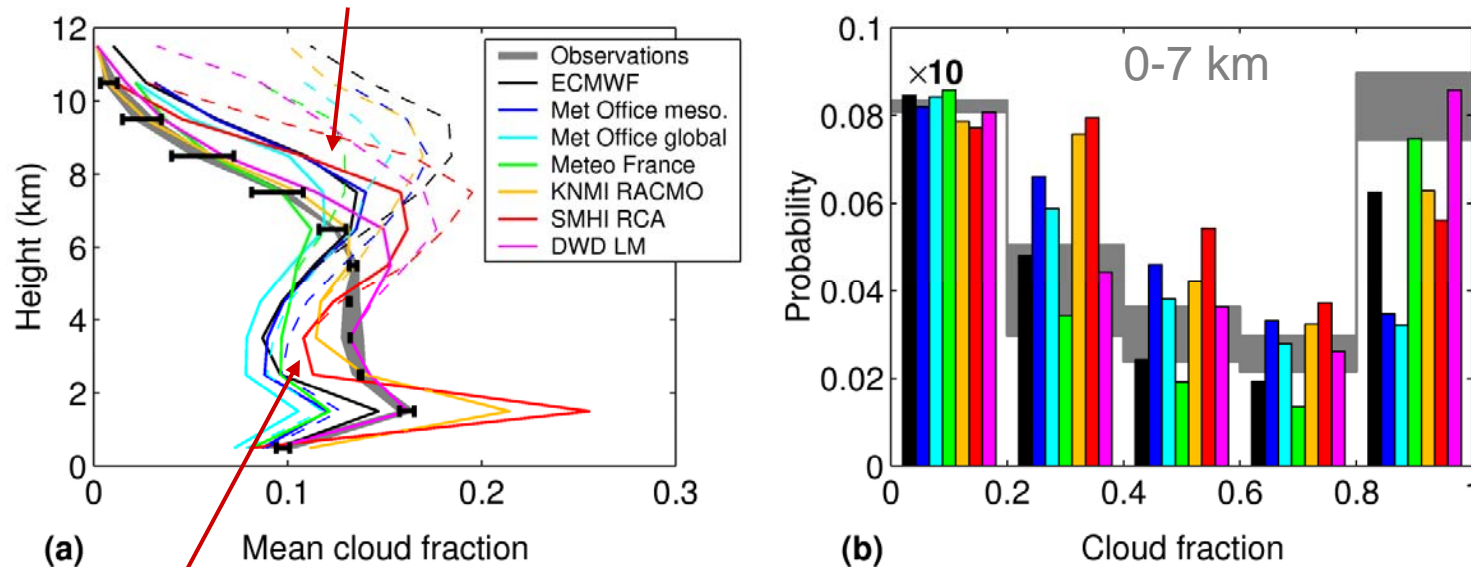


Swedish RCA  
model



# Cloud fraction in 7 models

- Mean & PDF for 2004 for Chilbolton, Paris and Cabauw
  - Uncertain above 7 km as must remove undetectable clouds in model

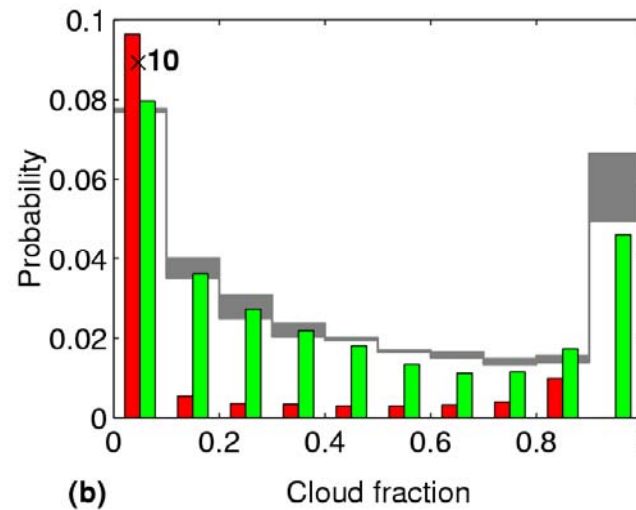
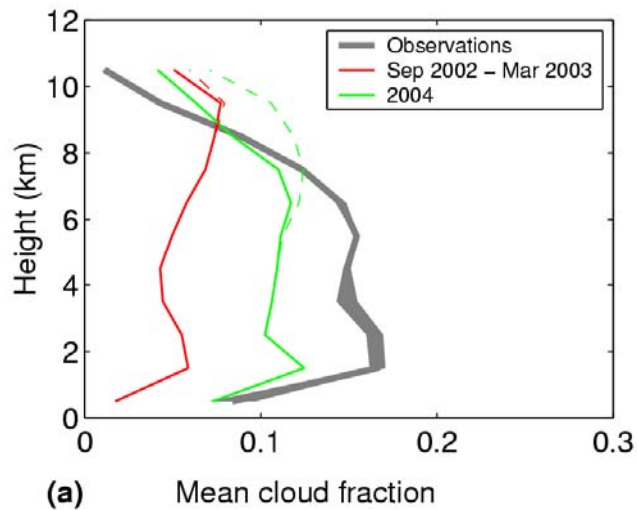


- All models except DWD underestimate mid-level cloud; some have separate "radiatively inactive" snow (ECMWF, DWD); Met Office has combined ice and snow but still underestimates cloud fraction
- Wide range of low cloud amounts in models

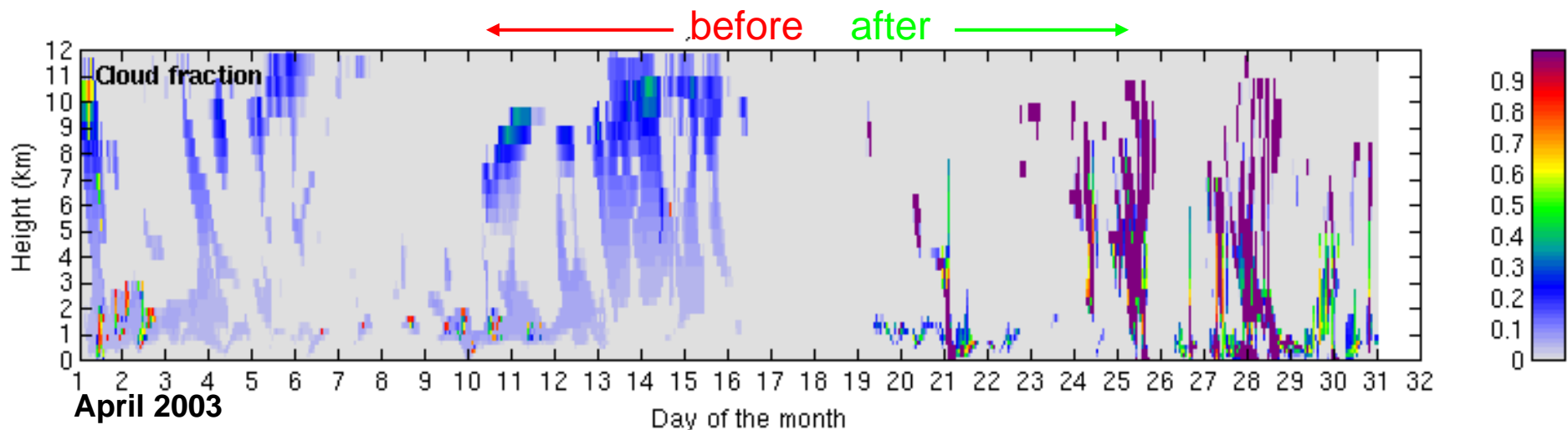
*Illingworth, Hogan, O'Connor et al., submitted to BAMS*

# A change to Meteo-France cloud scheme

- Compare cloud fraction to observations before and after April 2003
- Note that cloud fraction and water content are entirely diagnostic

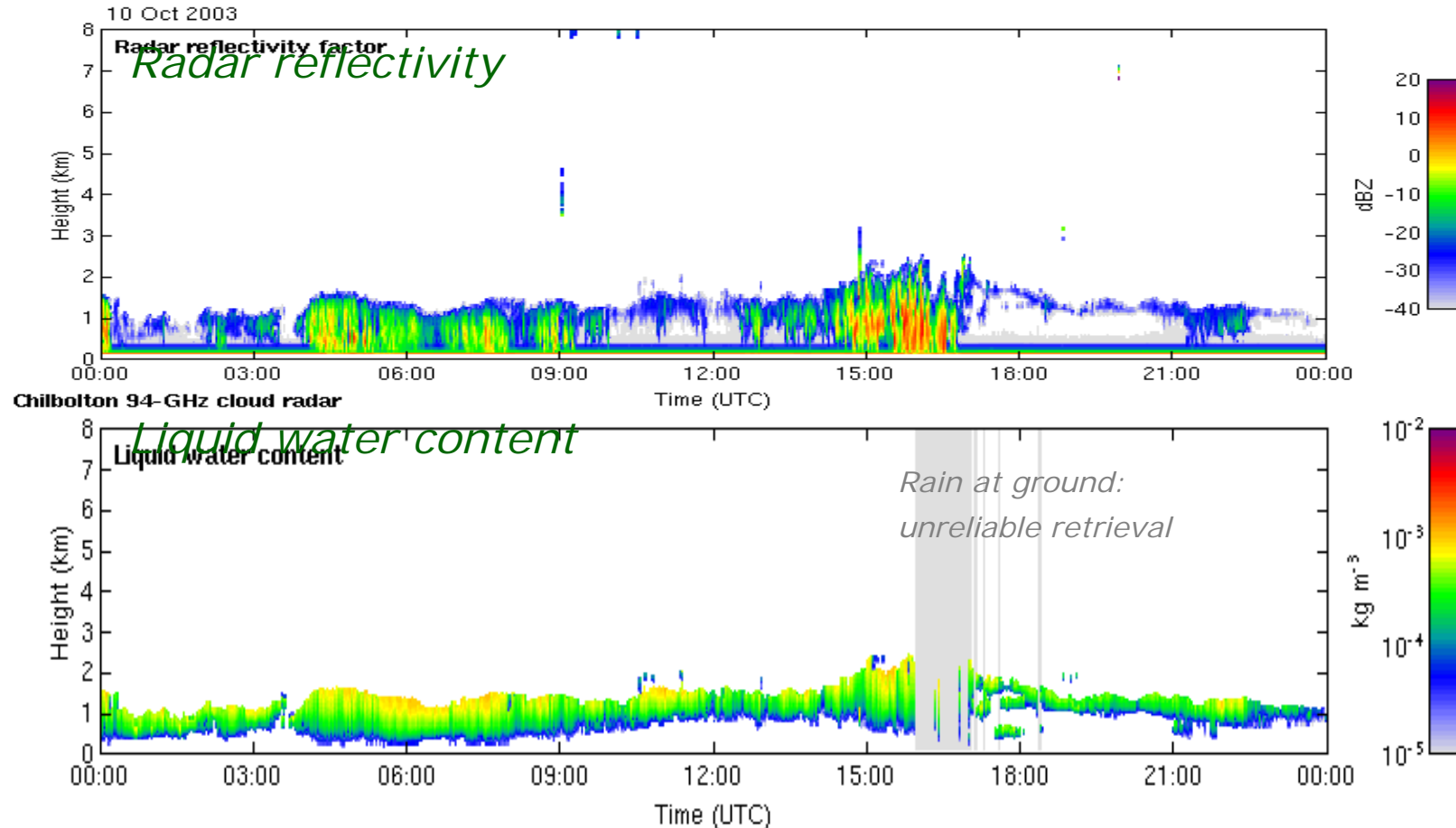


But human obs. indicate model now **underestimates** mean cloud-cover!  
*Compensation of errors: overlap scheme changed from random to maximum-random*



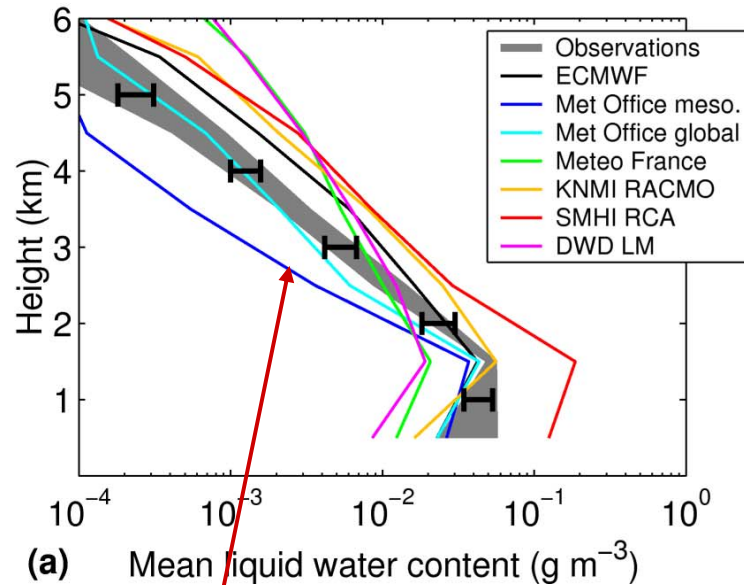
# Liquid water content

- Can't use radar Z for LWC: often affected by drizzle
  - Simple alternative: lidar and radar provide cloud boundaries
  - Model temperature used to predict "adiabatic" LWC profile
  - Scale with LWP (entrainment often reduces LWC below adiabatic)

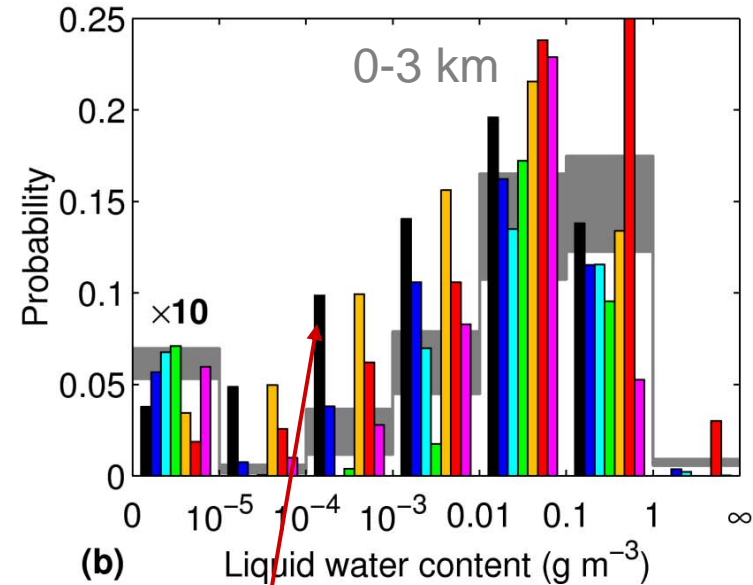


# Liquid water content

- LWC derived using the *scaled adiabatic method*
  - Lidar and radar provide cloud boundaries, adiabatic LWC profile then scaled to match liquid water path from microwave radiometers



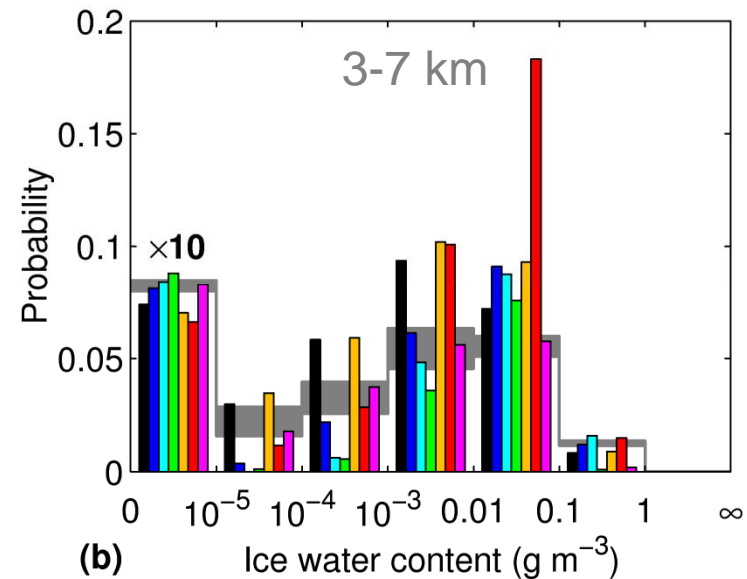
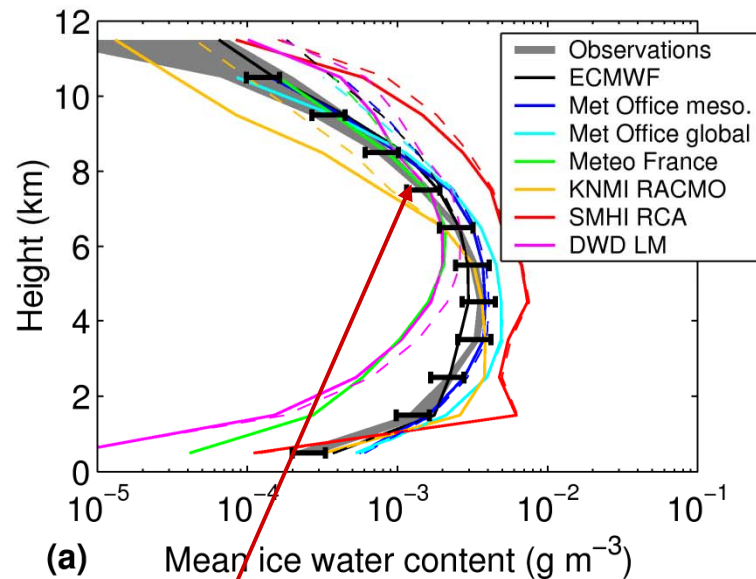
- Met Office mesoscale tends to underestimate supercooled water occurrence



- ECMWF has far too great an occurrence of low LWC values
- KNMI RACMO identical to ECMWF: same physics package!

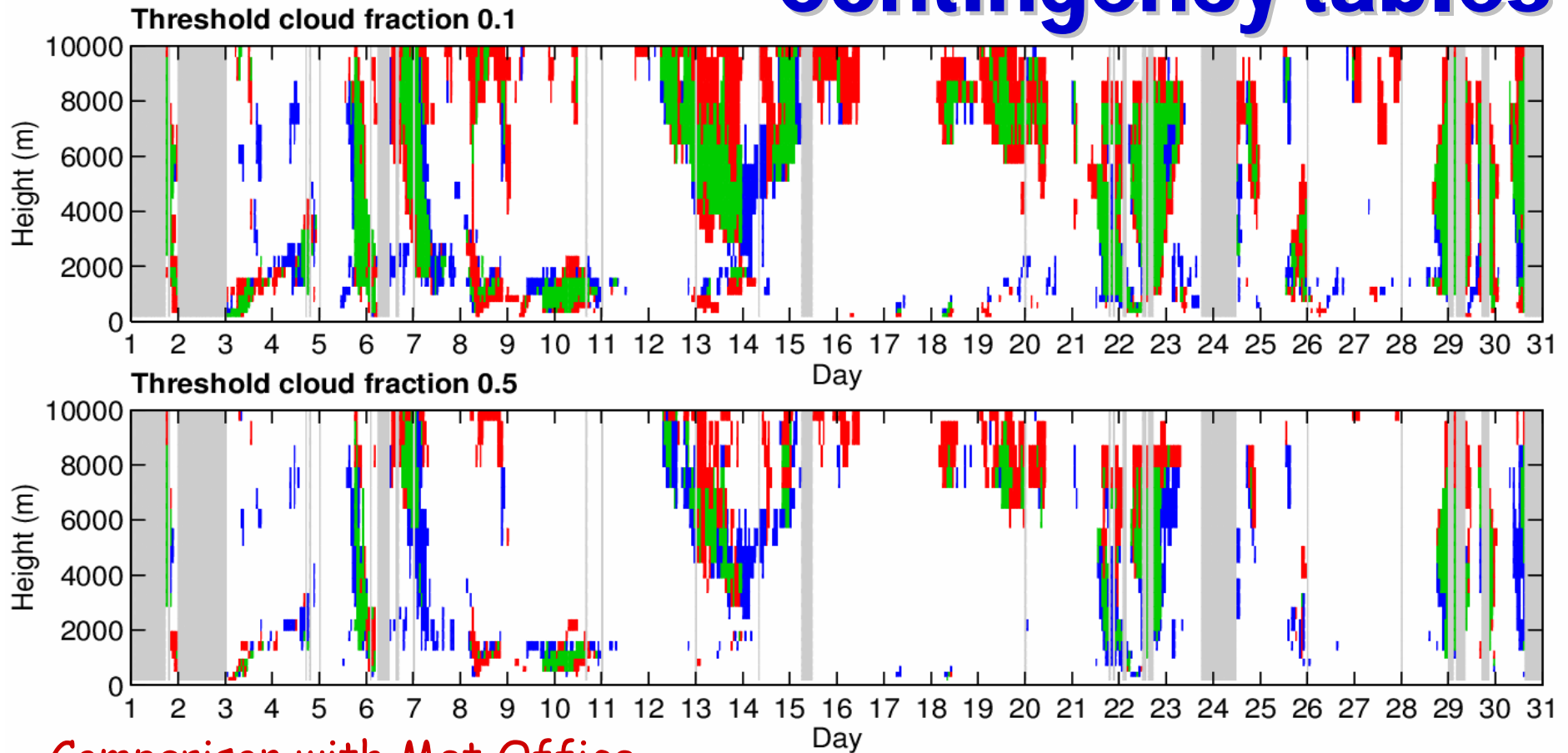
# Ice water content

- IWC estimated from radar reflectivity and temperature
  - Rain events excluded from comparison due to mm-wave attenuation
  - For IWC above rain, use cm-wave radar (e.g. Hogan et al., JAM, 2006)



- ECMWF and Met Office within the observational errors at all heights
- Encouraging: AMIP implied an error of a factor of 10!
- Be careful in interpretation: mean IWC dominated by occasional large values so PDF more relevant for radiative properties

# Contingency tables



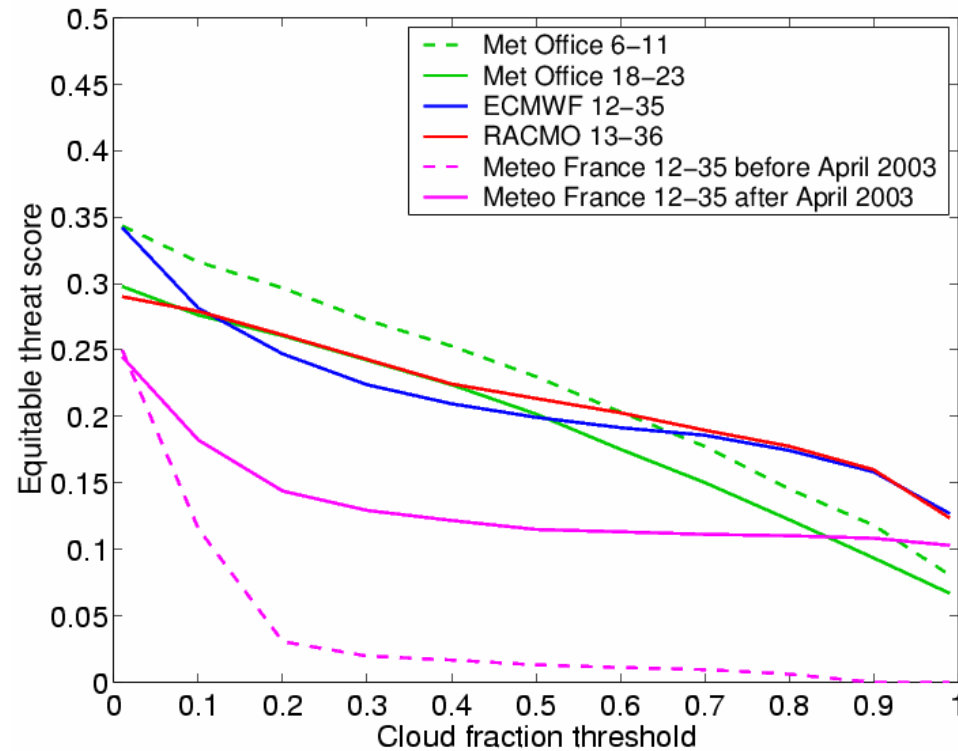
Comparison with Met Office  
model over Chilbolton  
October 2003

	Observed cloud	Observed clear-sky
Model cloud	A: Cloud hit	B: False alarm
Model clear-sky	C: Miss	D: Clear-sky hit

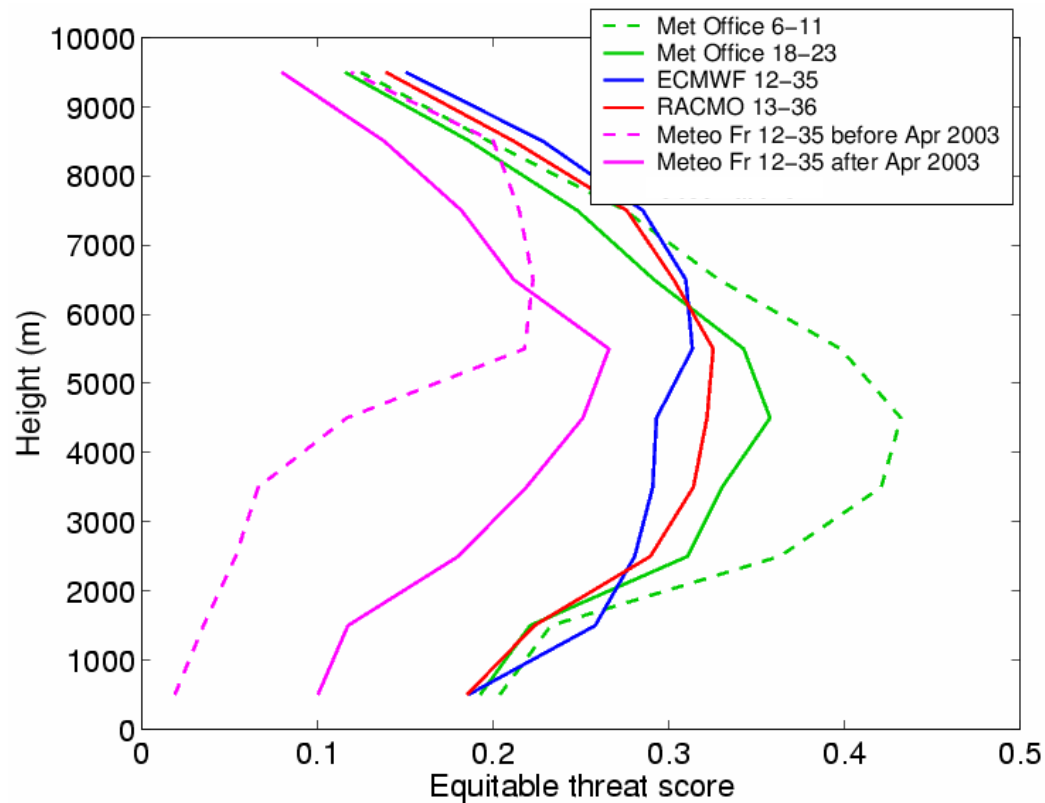


# Equitable threat score

- Definition:  $ETS = (A-E)/(A+B+C-E)$
- E removes those hits that occurred by chance:  
$$E = [(A+B)(A+C)]/[A+B+C+D]$$
- 1 = perfect forecast, 0 = random forecast



*From now on we use Equitable Threat Score with threshold of 0.1*

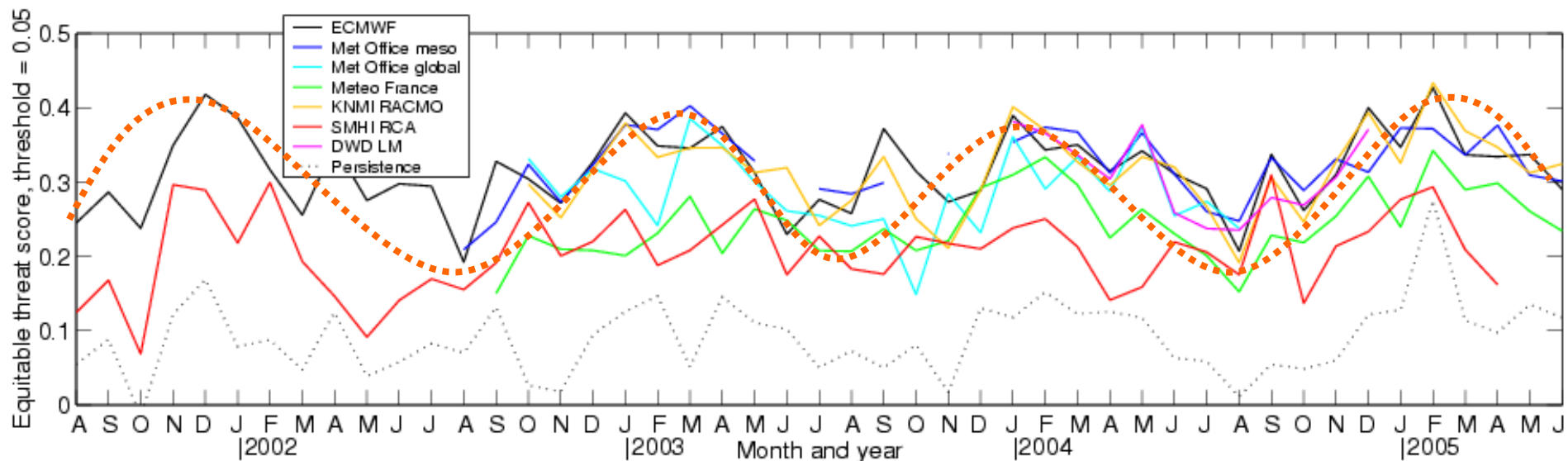


## Skill versus height

- Model performance:
  - ECMWF, RACMO, Met Office models perform similarly
  - Météo France not so well, much worse before April 2003
  - Met Office model significantly better for shorter lead time
- Potential for testing:
  - New model parameterisations
  - Global versus mesoscale versions of the Met Office model

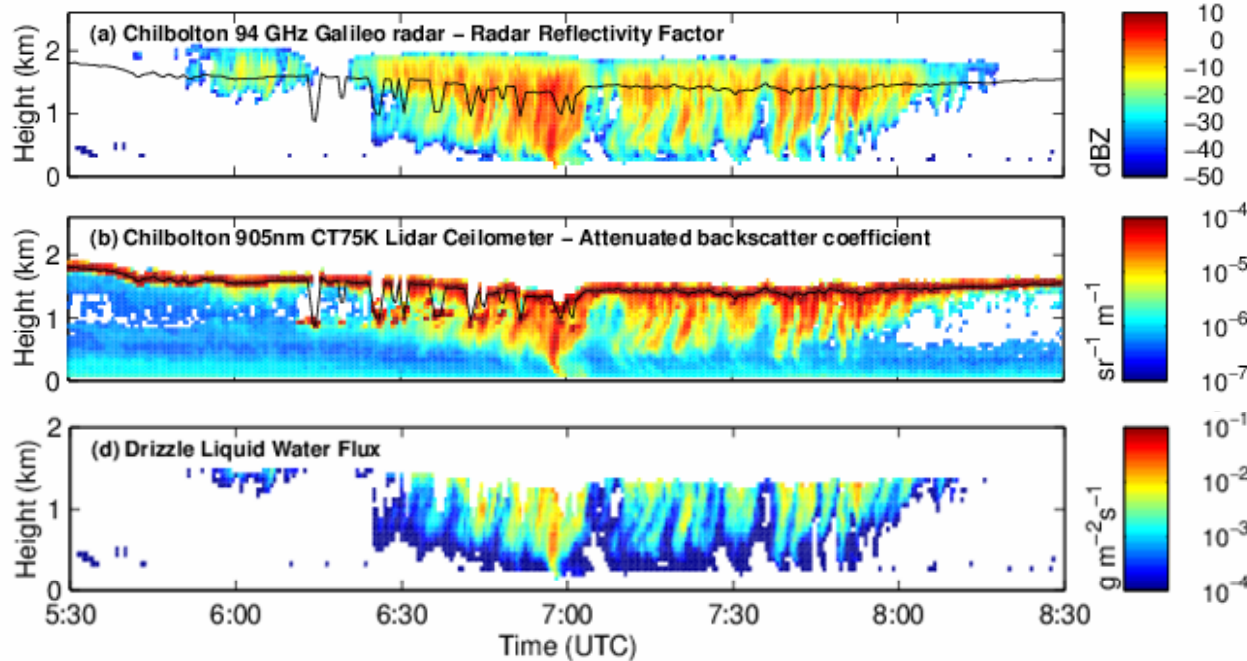
# Equitable threat score

- Definition:  $ETS = (A-E)/(A+B+C-E)$ 
  - $E$  removes those hits that occurred by chance
  - 1 = perfect forecast, 0 = random forecast
- Measure of the skill of forecasting cloud fraction > 0.05
  - *Assesses the weather of the model not its climate*
  - Persistence forecast is shown for comparison



- Lower skill in summer convective events

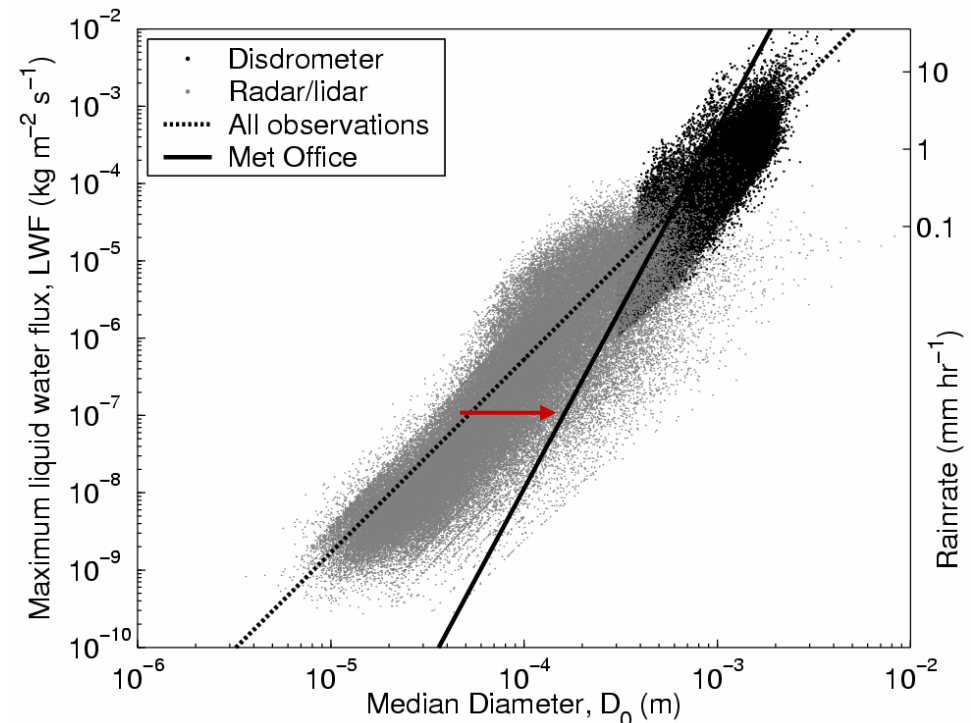
# Drizzle!



- Radar and lidar used to derive drizzle rate below stratocumulus
- Important for cloud lifetime in climate models

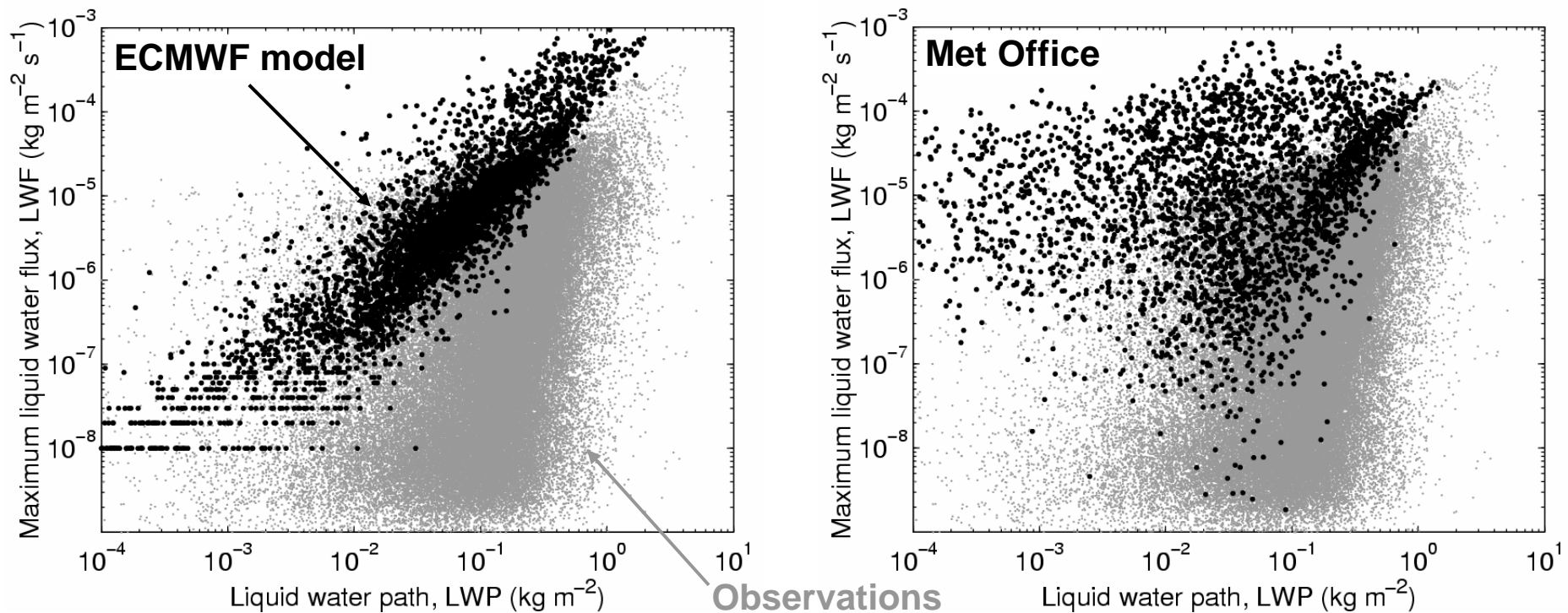
*O'Connor et al. (2005)*

- Met Office uses Marshall-Palmer distribution for all rain
  - Observations show that this tends to *overestimate* drop size in the lower rain rates
- Most models (e.g. ECMWF) have no explicit raindrop size distribution



# 1-year comparison with models

- ECMWF, Met Office and Meteo-France overestimate drizzle rate
  - Problem with auto-conversion and/or accretion rates?
- Larger drops in model fall faster so too many reach surface rather than evaporating: drying effect on boundary layer?



*O'Connor et al., submitted to J. Climate*

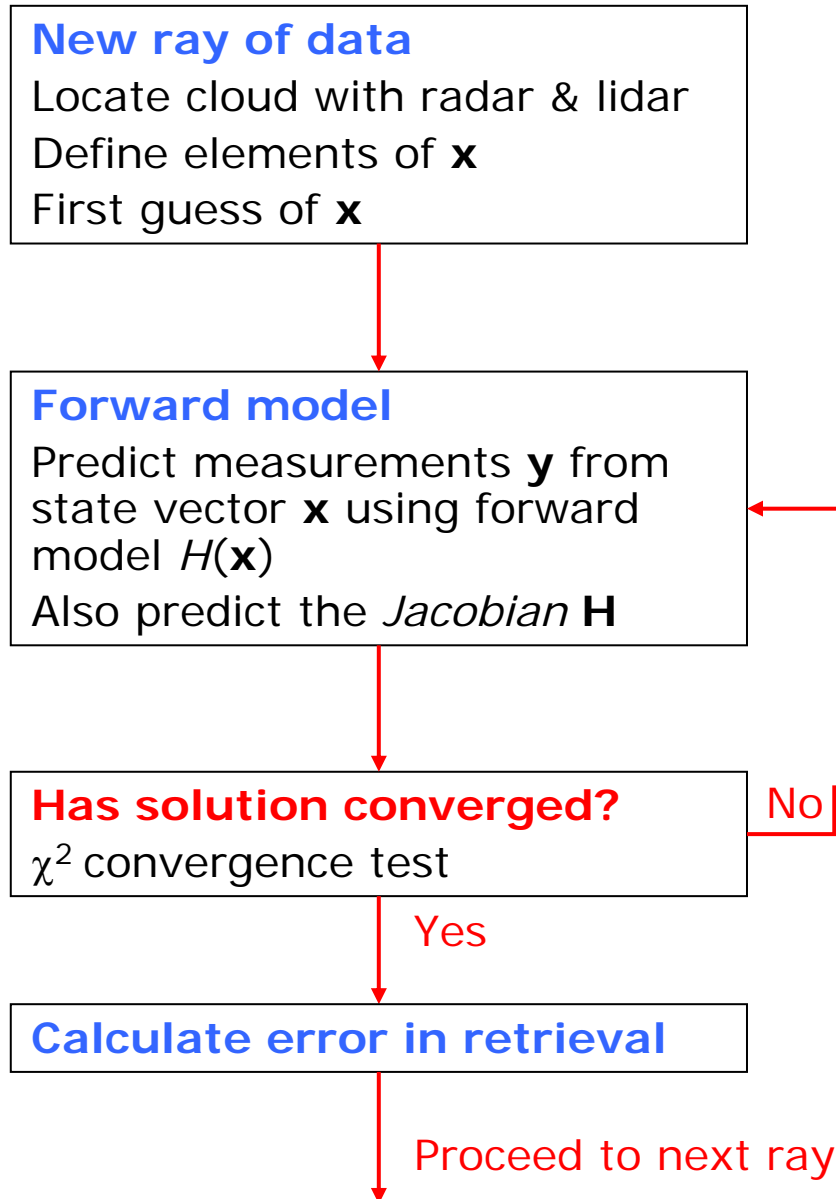
# Variational retrieval

- The retrieval guy's dream is to do everything *variationally*:
  - Make a first guess of the profile of cloud properties
  - Use forward models to predict observations that are available (e.g. radar reflectivity, Doppler velocity, lidar backscatter, microwave radiances, geostationary TOA infrared radiances) and the Jacobian
  - Iteratively refine the cloud profile to minimize the difference between the observations and the forward model in a least-squares sense
- Existing methods only perform retrievals where both the radar and lidar detect the cloud
  - A variational method (1D-VAR) can spread information vertically to regions detected by just the radar or the lidar
- We have done this for ice clouds (liquid clouds to follow)
  - Use fast lidar multiple scattering model that incorporates high orders of scattering (Hogan, Appl. Opt., 2006)
  - Use the two-stream source function method for the SEVIRI radiances
  - Use extinction coefficient and "normalized number concentration parameter" as the state variables...

# Solution method

- Find  $\mathbf{x}$  that minimizes a cost function  $J$  of the form

$$J = \text{deviation of } \mathbf{x} \text{ from a-priori} \\ + \text{deviation of observations from} \\ \text{forward model} \\ + \text{curvature of extinction profile}$$



## Gauss-Newton iteration step

Predict new state vector:

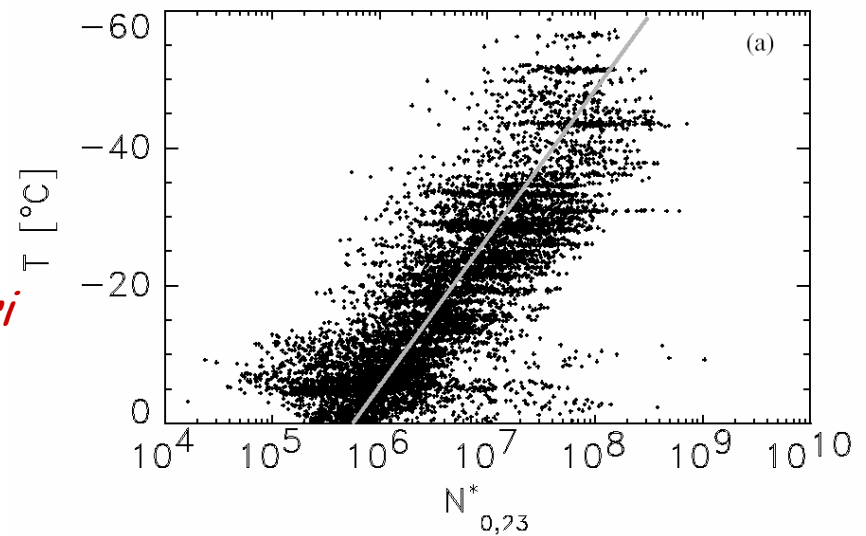
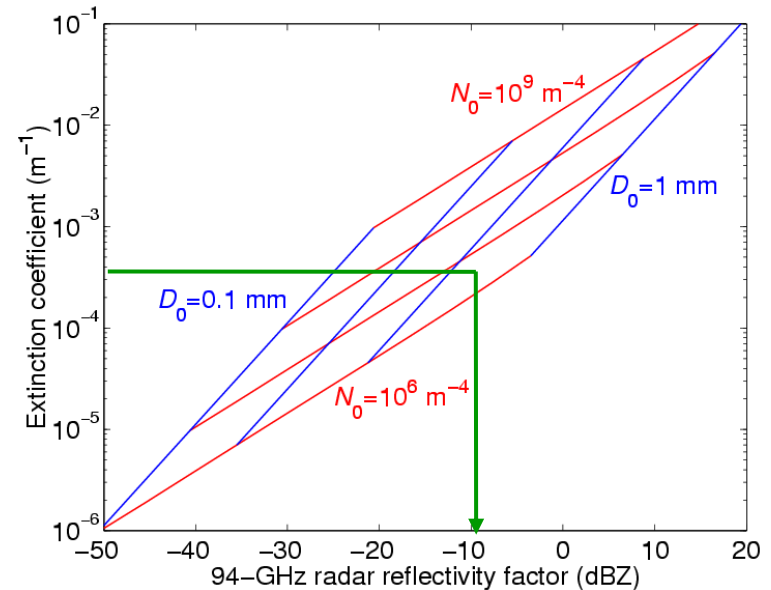
$$\mathbf{x}_{i+1} = \mathbf{x}_i + \mathbf{A}^{-1} \{ \mathbf{H}^T \mathbf{R}^{-1} [\mathbf{y} - H(\mathbf{x}_i)] \\ - \mathbf{B}^{-1} (\mathbf{x}_i - \mathbf{x}^a) - \mathbf{T} \mathbf{x}_i \}$$

where the *Hessian* is

$$\mathbf{A} = \mathbf{H}^T \mathbf{R}^{-1} \mathbf{H} + \mathbf{B}^{-1} + \mathbf{T}$$

# Radar forward model and *a priori*

- Create lookup tables
  - Gamma size distributions
  - Choose mass-area-size relationships
  - Mie theory for 94-GHz reflectivity
- Define *normalized number concentration parameter*  $N_0^*$ 
  - "The  $N_0$  that an exponential distribution would have with same IWC and  $D_0$  as actual distribution"
  - Forward model predicts  $Z$  from the state variables (extinction and  $N_0^*$ )
  - Effective radius from lookup table
- $N_0$  has strong  $T$  dependence
  - Use Field et al. power-law as *a-priori*
  - When no lidar signal, retrieval relaxes to one based on  $Z$  and  $T$  (Liu and Illingworth 2000, Hogan et al. 2006)

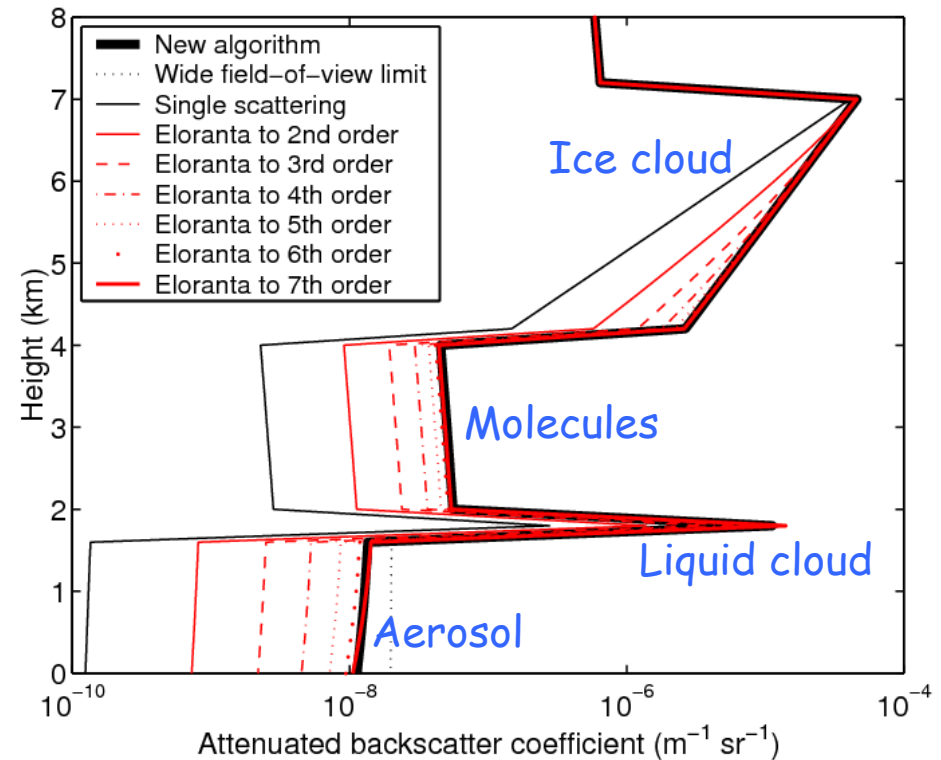
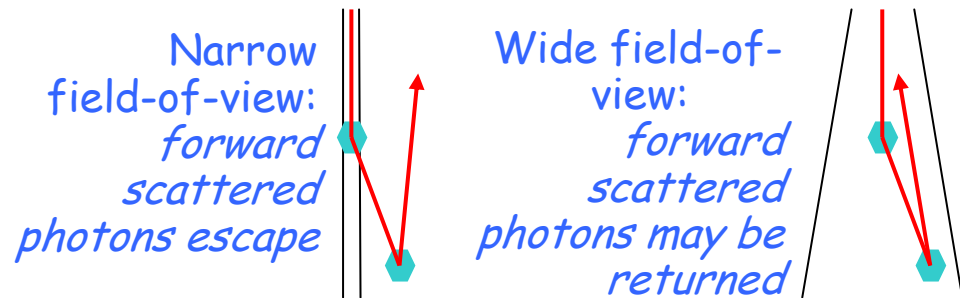


Field et al. (2005)



# Lidar forward model: multiple scattering

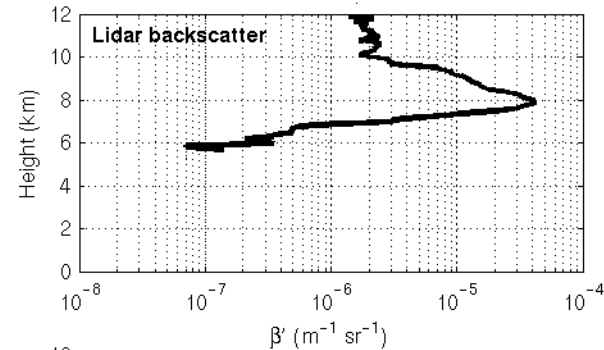
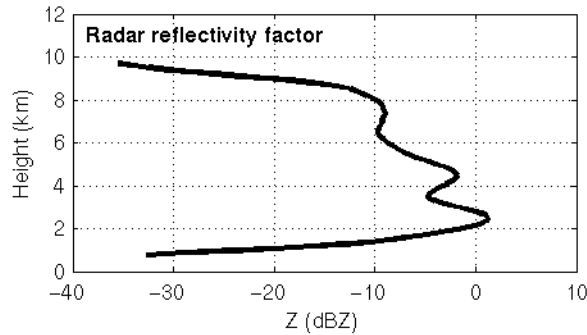
- Degree of multiple scattering increases with field-of-view
- Eloranta's (1998) model
  - $O(N^m/m!)$  efficient for  $N$  points in profile and  $m$ -order scattering
  - Too expensive to take to more than 3rd or 4th order in retrieval (not enough)
- *New method*: treats third and higher orders together
  - $O(N^2)$  efficient
  - As accurate as Eloranta when taken to ~6th order
  - 3-4 orders of magnitude faster for  $N=50$  (~ 0.1 ms)



Hogan (Applied Optics, 2006). Code: [www.met.rdg.ac.uk/clouds](http://www.met.rdg.ac.uk/clouds)

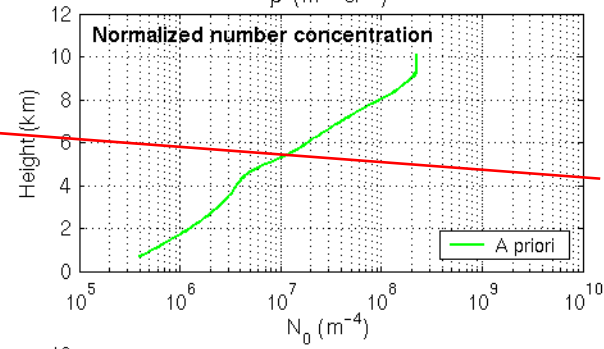
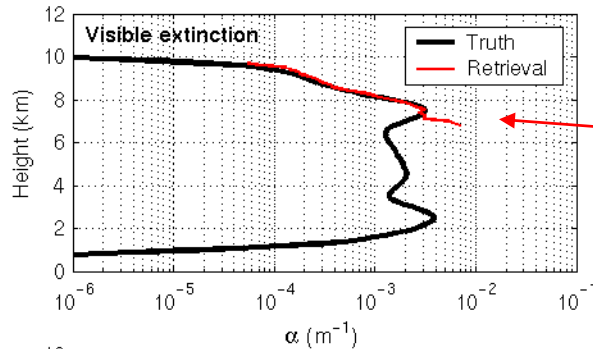
# Ice cloud: non-variational retrieval

Observations



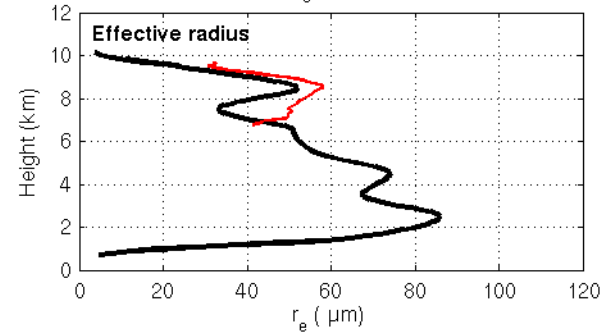
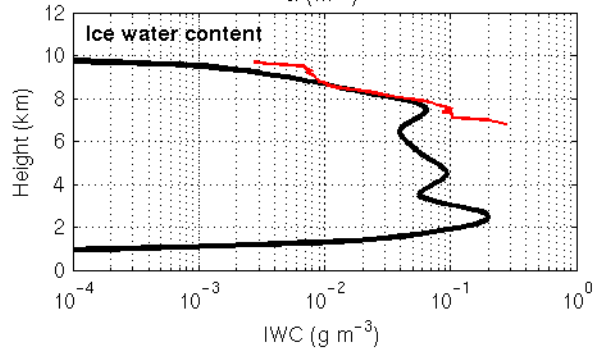
Aircraft-simulated profiles with noise (from Hogan et al. 2006)

State variables



*Retrieval is accurate but not perfectly stable where lidar loses signal*

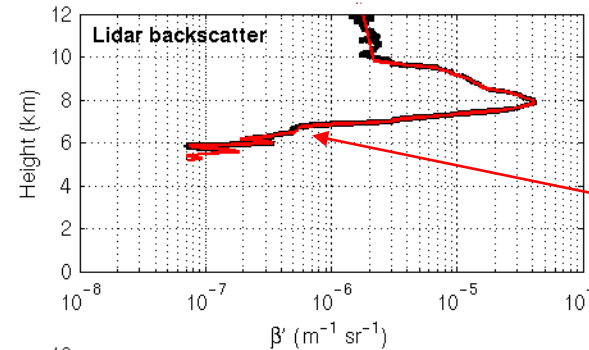
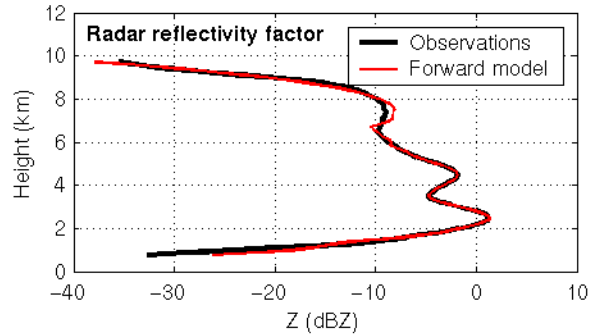
Derived variables



- Existing algorithms can only be applied where both lidar and radar have signal

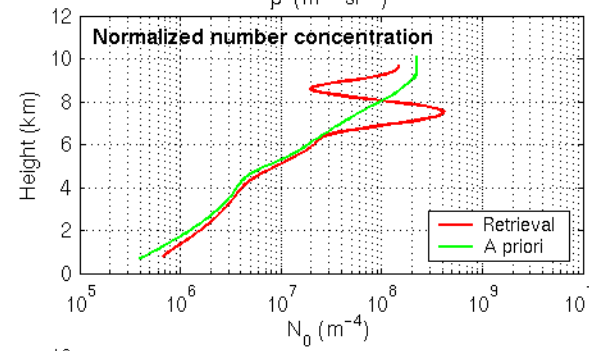
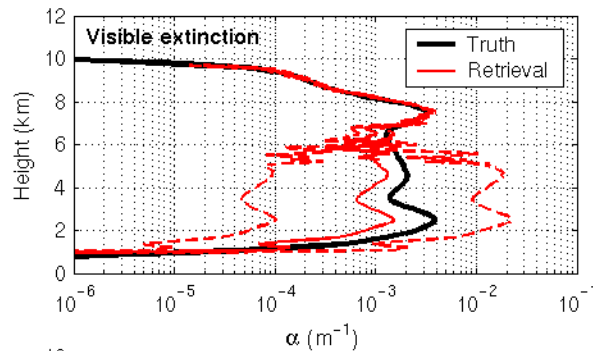
# Variational radar/lidar retrieval

Observations

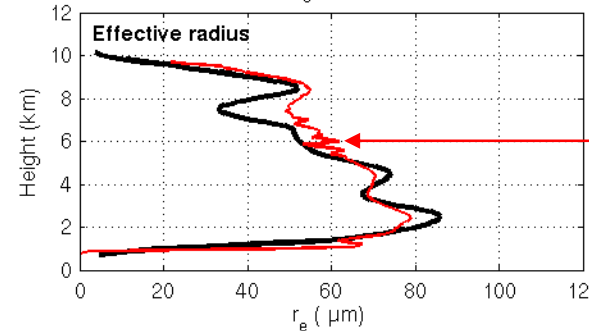
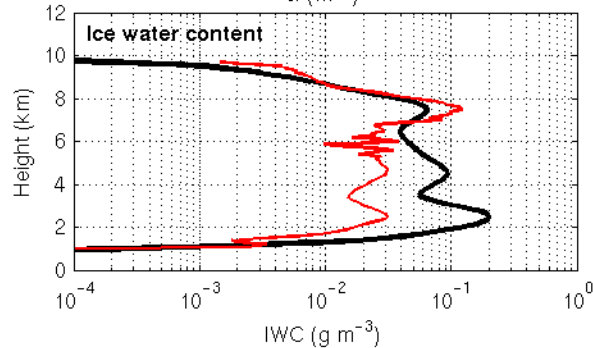


*Lidar noise matched by retrieval*

State variables



Derived variables

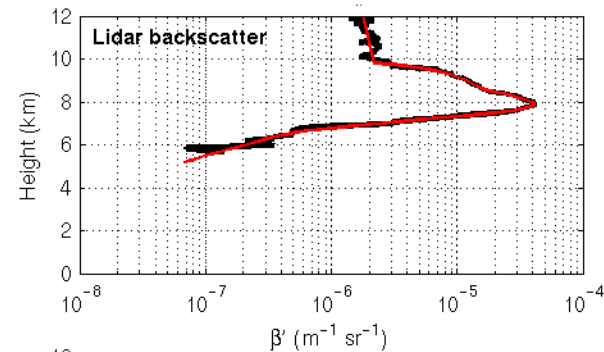
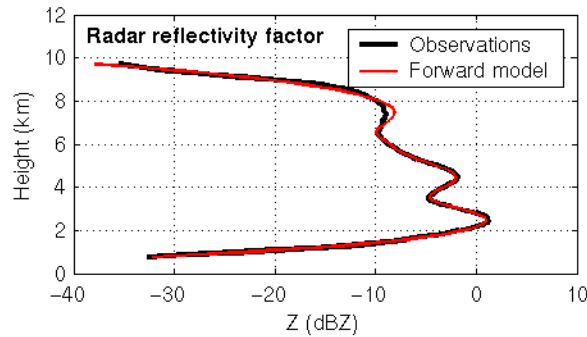


*Noise feeds through to other variables*

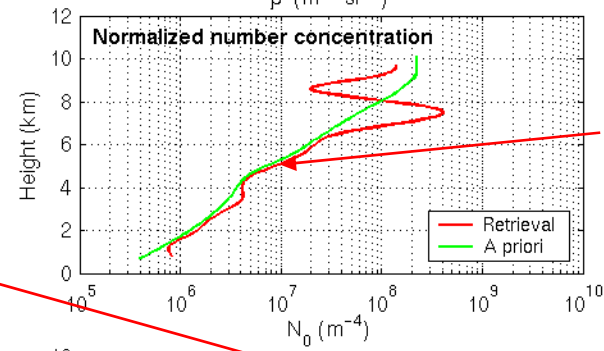
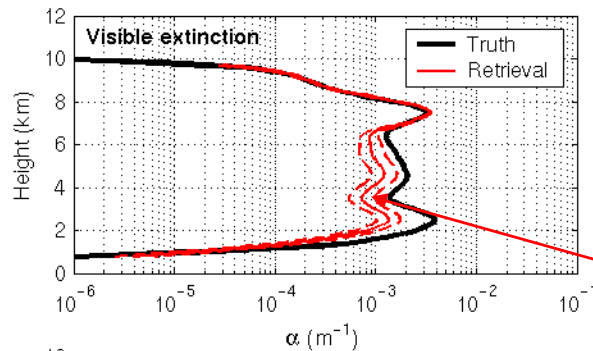
- Noise in lidar backscatter feeds through to retrieved extinction

# ...add smoothness constraint

Observations

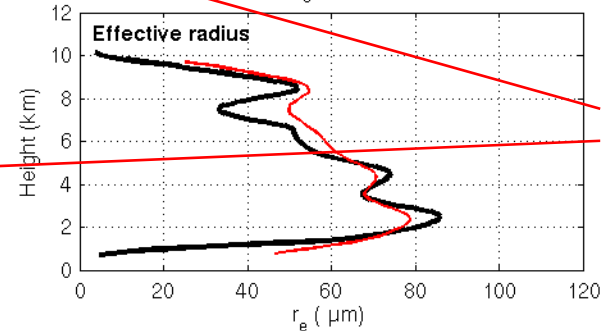
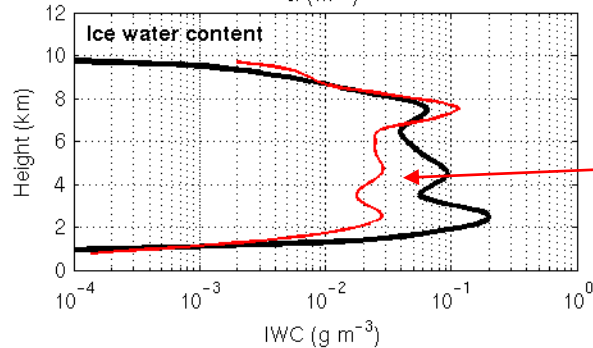


State variables



*Retrieval reverts to a-priori  $N_0$*

Derived variables

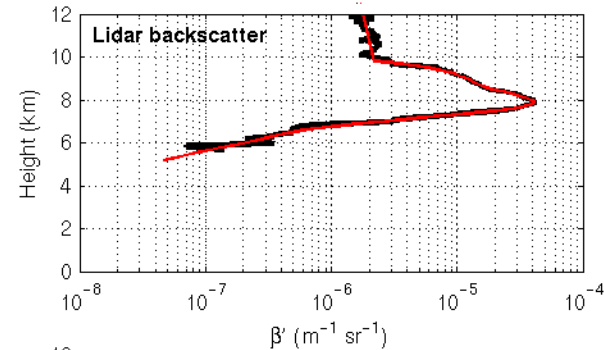
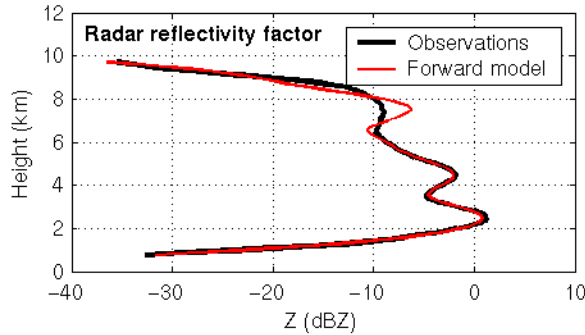


*Extinction and IWC too low in radar-only region*

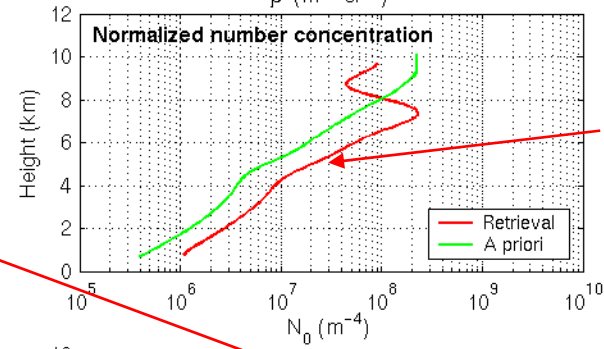
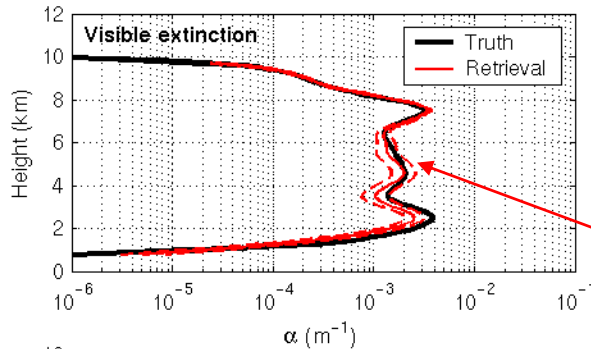
- Smoothness constraint: add a term to cost function to penalize curvature in the solution ( $J' = \lambda \sum_i d^2\alpha_i/dz^2$ )

# ...add a-priori error correlation

Observations

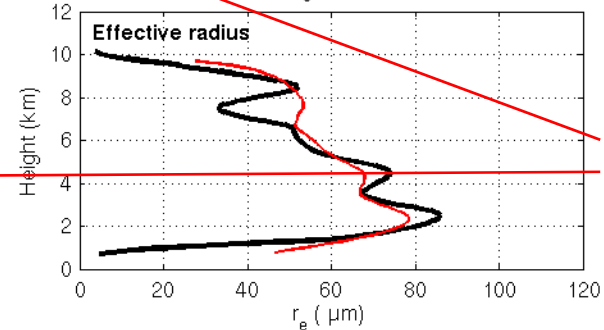
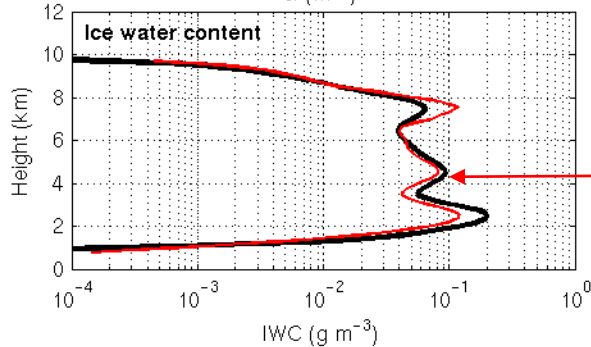


State variables



*Vertical correlation of error in  $N_0$*

Derived variables

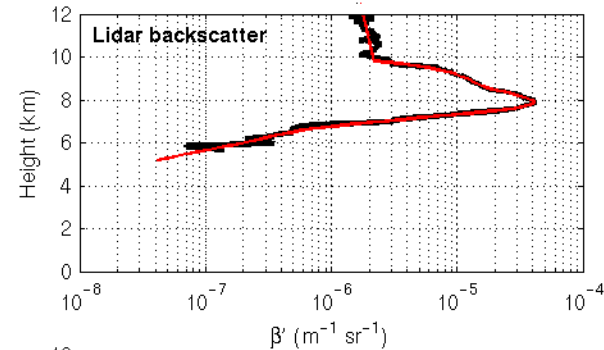
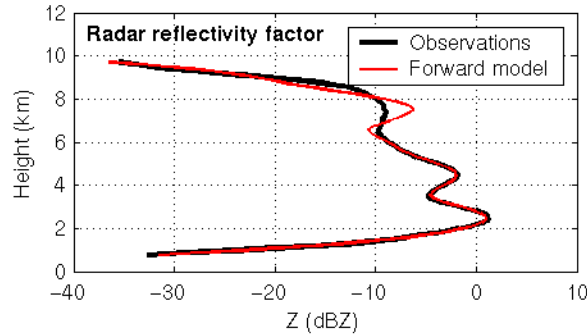


*Extinction and IWC now more accurate*

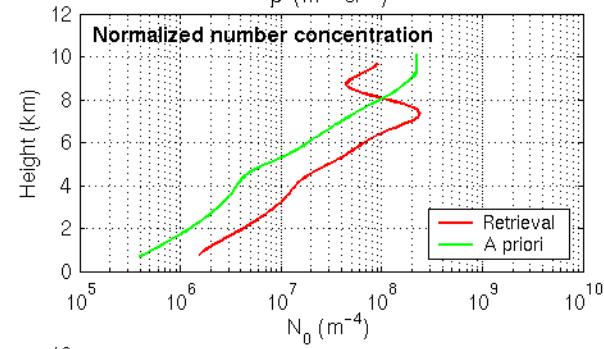
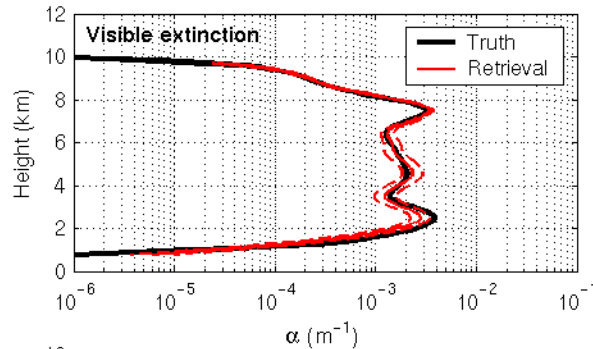
- Use **B** (the a priori error covariance matrix) to smooth the  $N_0$  information in the vertical

# ...add visible optical depth constraint

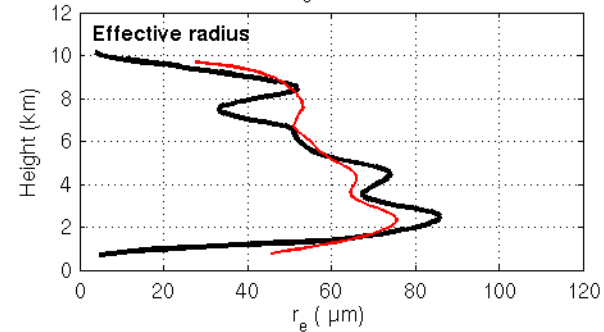
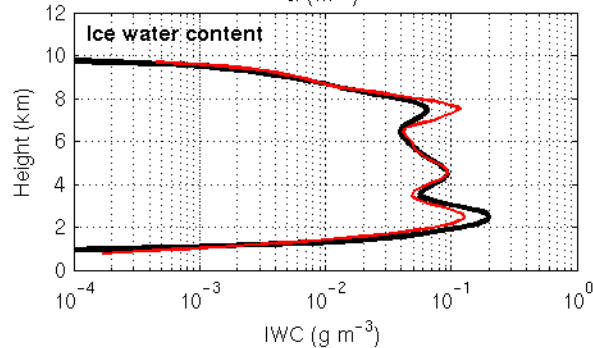
Observations



State variables



Derived variables

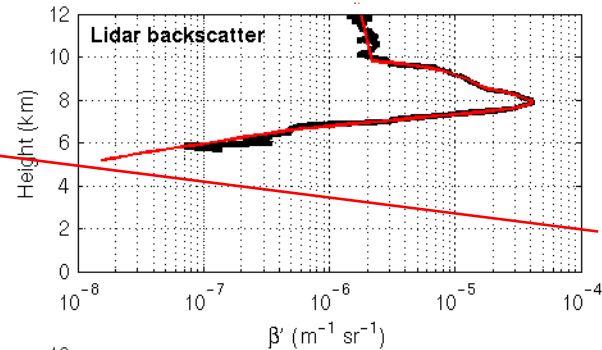
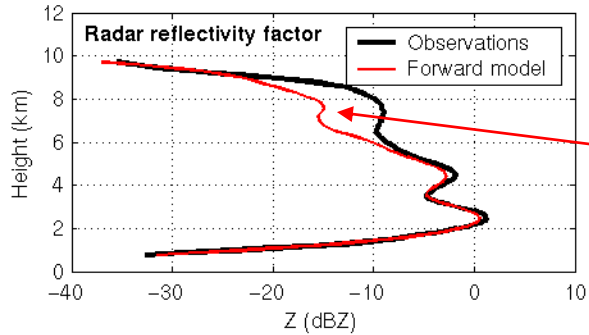


*Slight refinement to extinction and IWC*

- Integrated extinction now constrained by the MODIS-derived visible optical depth

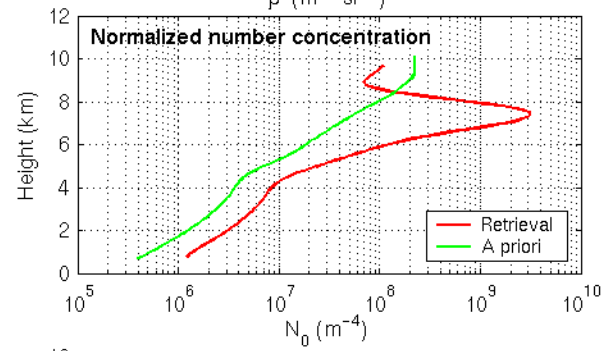
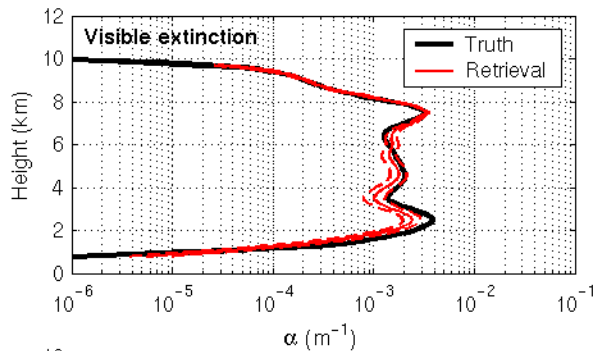
# ...add infrared radiances

Observations

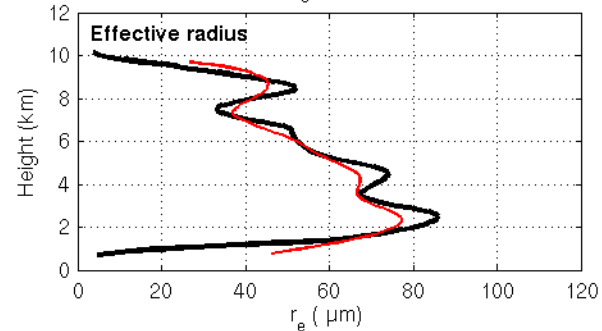
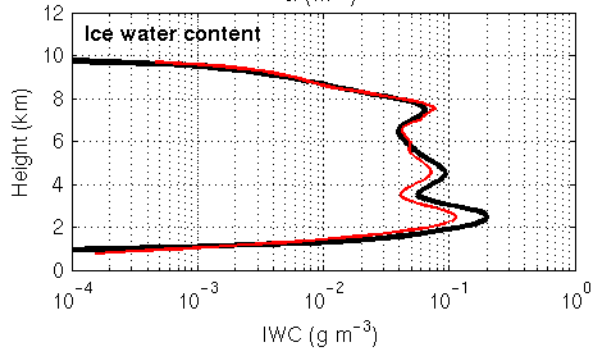


Poorer fit to Z at cloud top: information here now from radiances

State variables



Derived variables

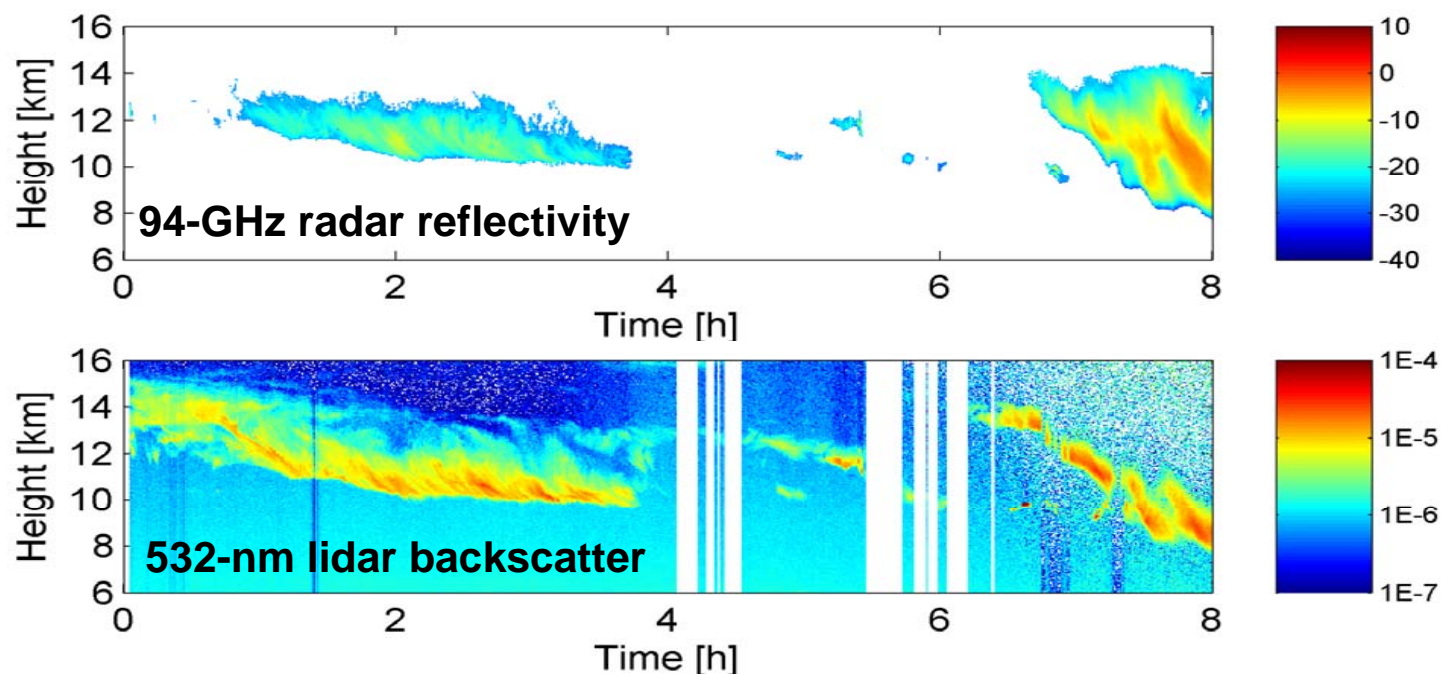


- Better fit to IWC and  $r_e$  at cloud top

# Example from the AMF in Niamey

ARM Mobile  
Facility  
observations  
from Niamey,  
Niger, 22 July  
2006

Also use SEVIRI  
channels at 8.7,  
10.8, 12 $\mu$ m



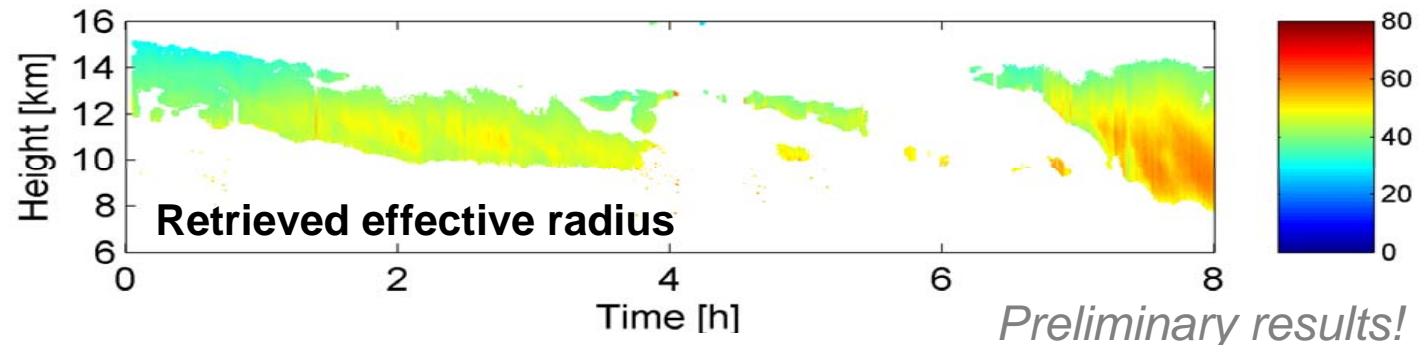
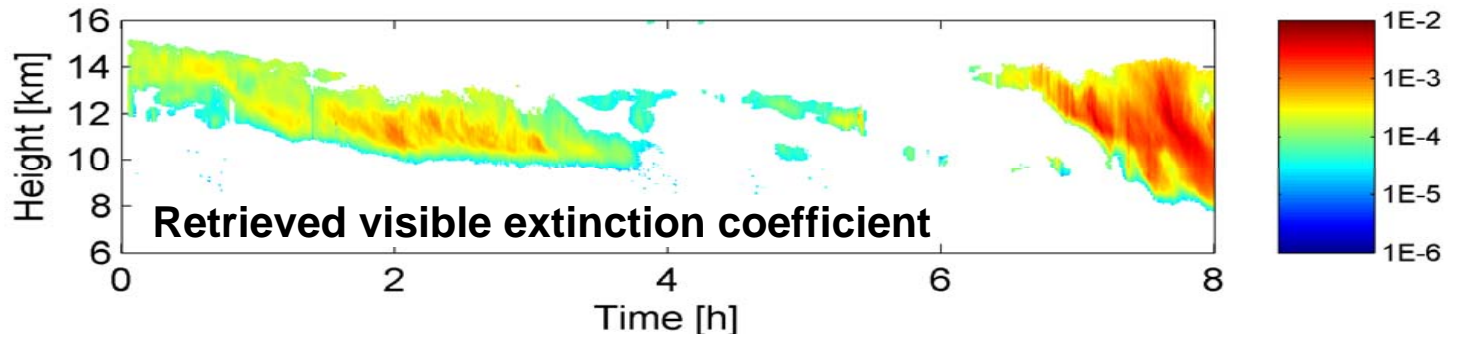


# Results

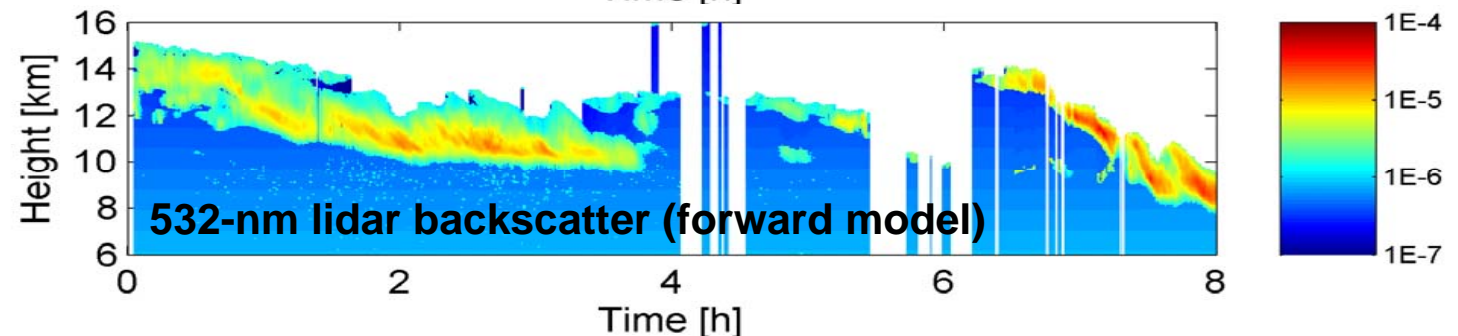
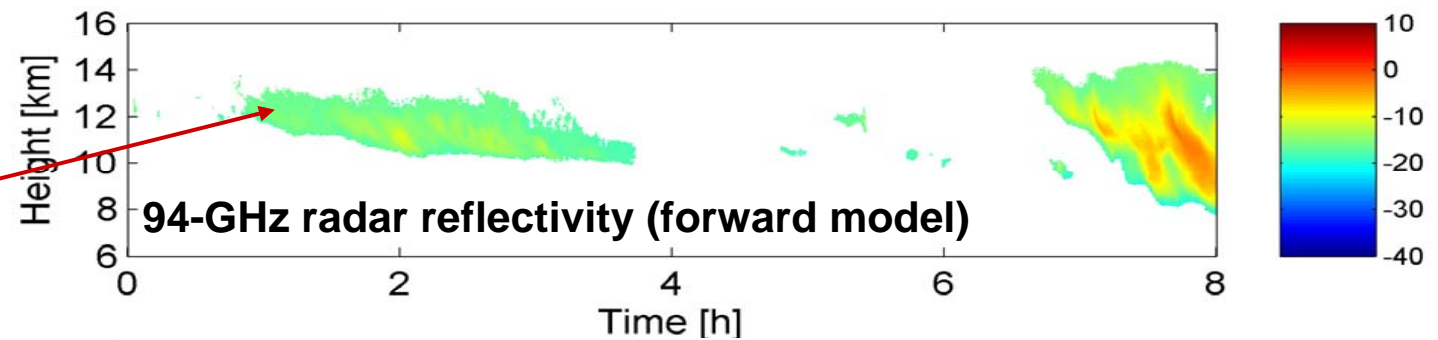
Radar+lidar only

Retrievals in regions where only the radar or lidar detects the cloud

By forward modelling radar instrument noise, we use the fact that a cloud is below the instrument sensitivity as a constraint



*Preliminary results!*

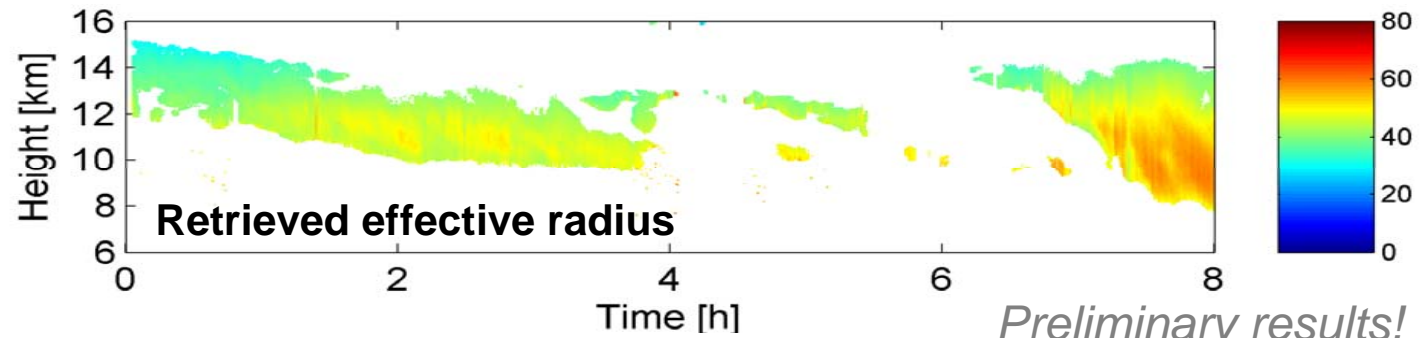
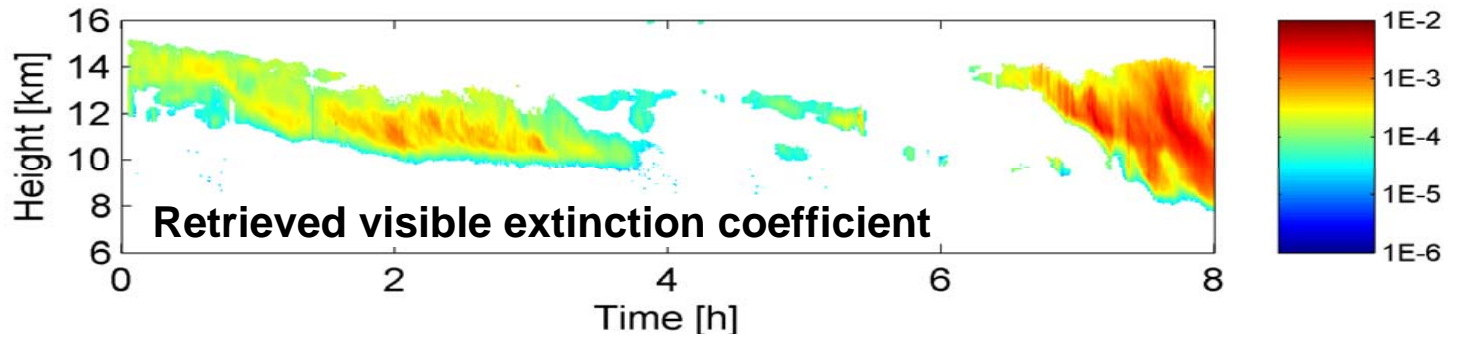


# Results

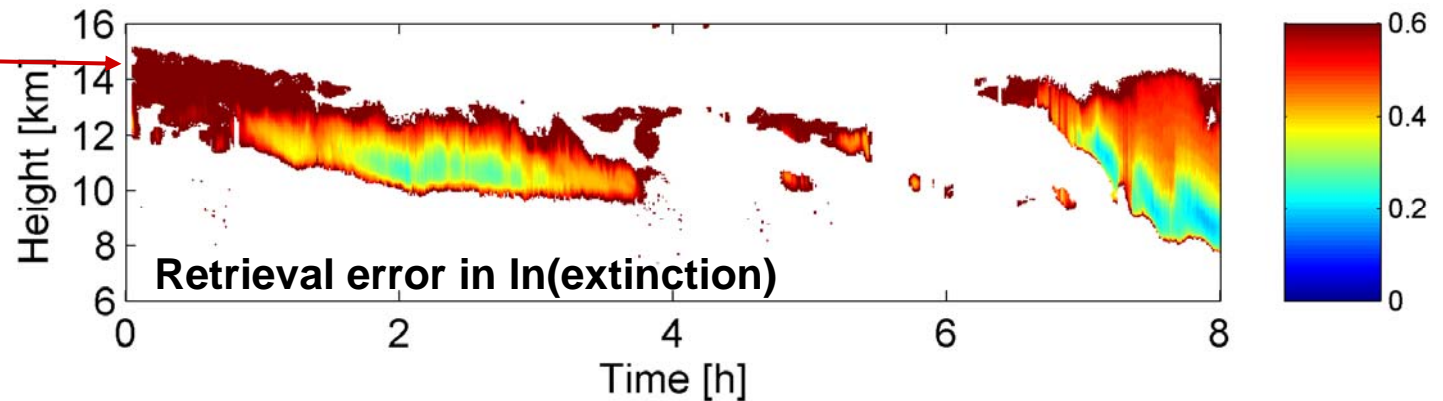
Radar+lidar only

Retrievals in regions where only the radar or lidar detects the cloud

Large error where only one instrument detects the cloud



*Preliminary results!*

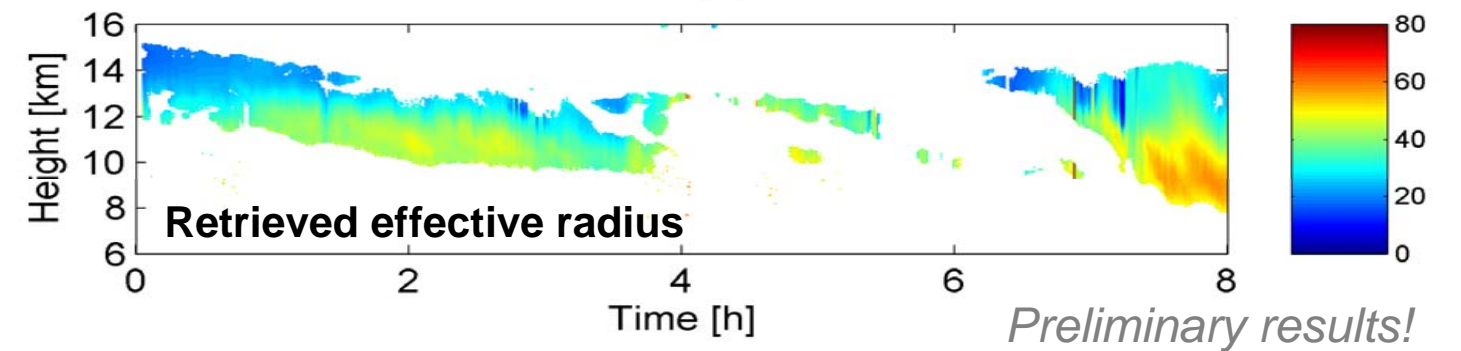
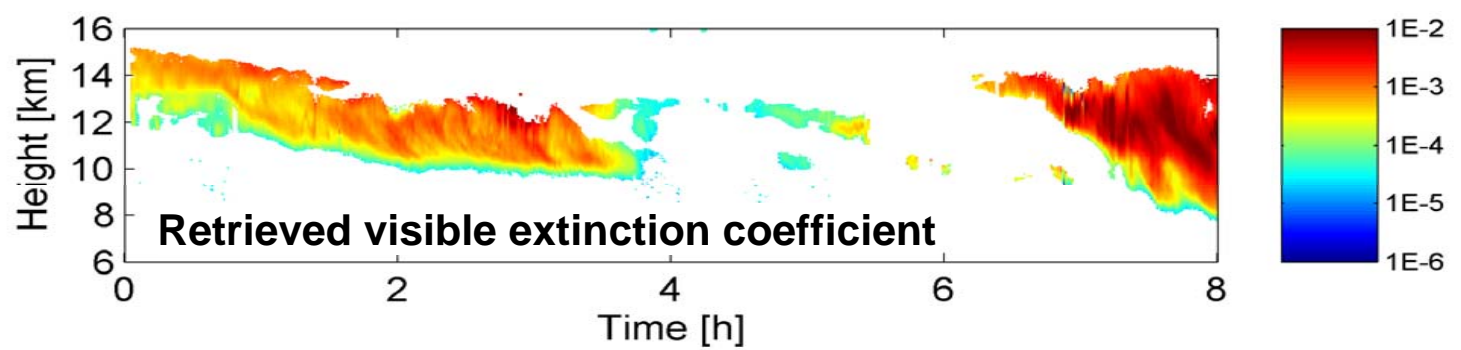


# Results

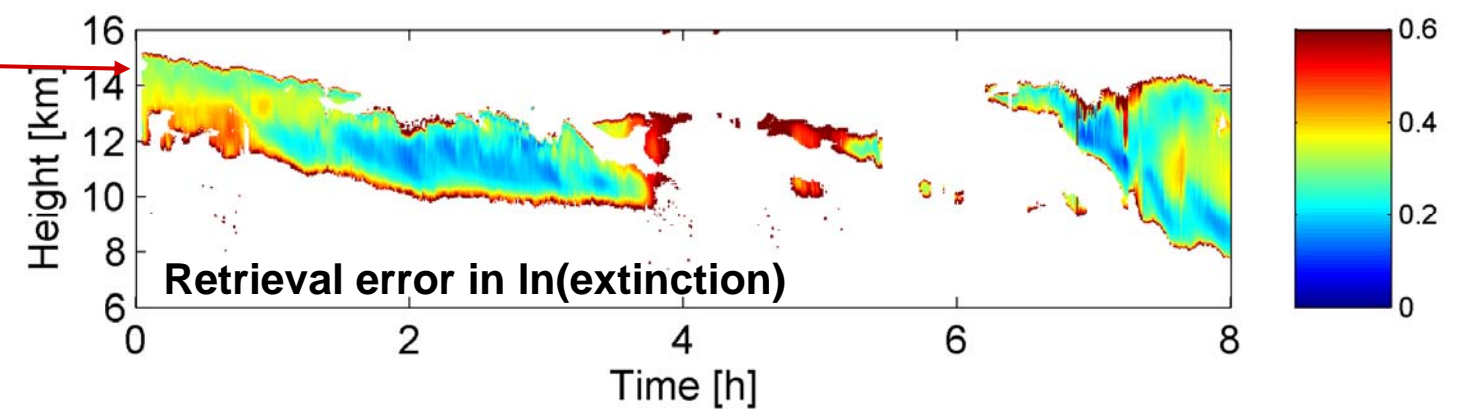
Radar, lidar,  
SEVERI radiances

TOA radiances  
increase the  
optical depth  
and decrease  
particle size  
near cloud top

Cloud-top  
error is  
greatly  
reduced



*Preliminary results!*



# Future work

- Ongoing Cloudnet-type evaluation of models
  - A large quantity of ARM data already processed
  - Would like to be able to evaluate model clouds in near real time (within a few days) to inform model update cycles
  - *BUT need to establish continued funding for this activity!*



*For quicklooks and further information:*

[www.cloud-net.org](http://www.cloud-net.org)

- Variational retrieval method
  - Apply to more ground-based data
  - Apply to CloudSat/Calipso/MODIS (when Calipso data released)
  - New forward model including wide-angle multiple scattering for both radar and lidar
  - Evaluate ECMWF and Met Office models under CloudSat
  - Could form the basis for radar and lidar assimilation