

# **Global monitoring of root zone soil moisture from scatterometer data assimilation : methodology and validation**

**C. Albergel, P. de Rosnay, L. Isaken, J. Muñoz-Sabater <sup>(1)</sup>**

<sup>(1)</sup>European Centre for Medium Weather Forecast (ECMWF), Reading, UK

Thanks to : A. Al-Yaari, J.-P. Wigneron <sup>(2)</sup>, C. Massari, L. Brocca <sup>(3)</sup>, P. Laiolo, S. Gabellani, F. Delogu<sup>(4)</sup>, P. Struzik<sup>(5)</sup>

<sup>(2)</sup>INRA, UMR1391 ISPA, Bordeaux, France

<sup>(3)</sup>Research Institute for Geo-Hydrological Protection, CNR , Italy

<sup>(4)</sup>CIMA Research Foundation, Savona, Italy

<sup>(5)</sup>Institute of Meteorology and Water Management, Krakow, Poland

# H-SAF project of EUMETSAT

- **Satellite Application Facility on support to operational Hydrology and water management / CDOP-2 (Feb.2012 – Feb. 2017)**

- **Objectives** : to provide and validate new satellite-derived products from existing and future satellites with sufficient time and space resolution to satisfy the needs of operational hydrology

- **Precipitation**

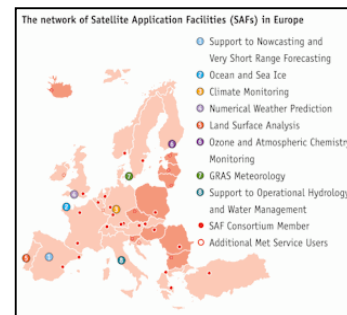
*(liquid, solid, rate, accumulated)*

- **Soil moisture**

*(large-scale, local-scale, surface, in the roots region)*

- **Snow**

*(detection, cover, melting conditions, water equivalent)*



<http://hsaf.meteoam.it>

# Soil moisture: Essential Climate Variable (GCOS)

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- **Crucial variable for numerical weather and climate predictions**
  - ➔ Influence weather through its impact on evaporation and other surface energy fluxes
    - Controls the partitioning of Energy (latent / sensible heat fluxes) at the soil-atmosphere interface
- **Key variable in hydrological processes**
  - Controls the partitioning of Precipitation into infiltration/runoff
  - Controls evaporation from bare soil, transpiration from vegetation
- **Impact on plant growth and carbon fluxes**

# Soil moisture: Essential Climate Variable (GCOS)

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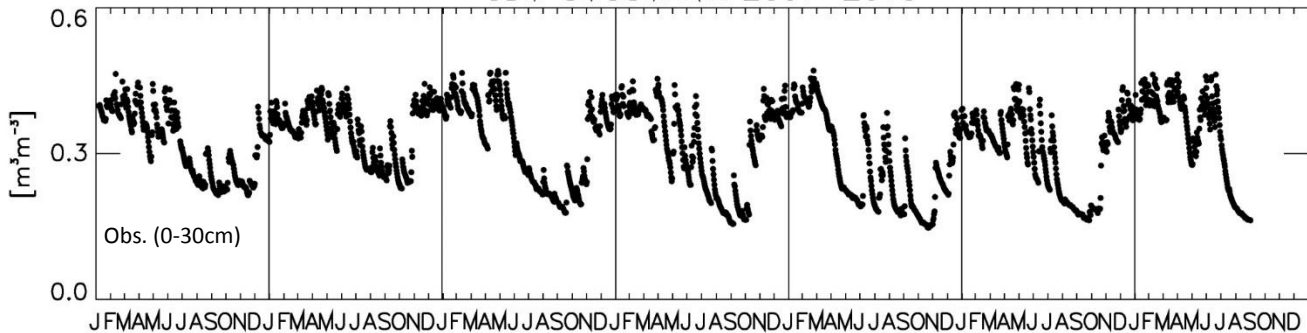
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# How to obtain soil moisture estimates?

**In situ  
measurements**



CDM SMOSMANIA 2007–2013



# How to obtain soil moisture estimates?

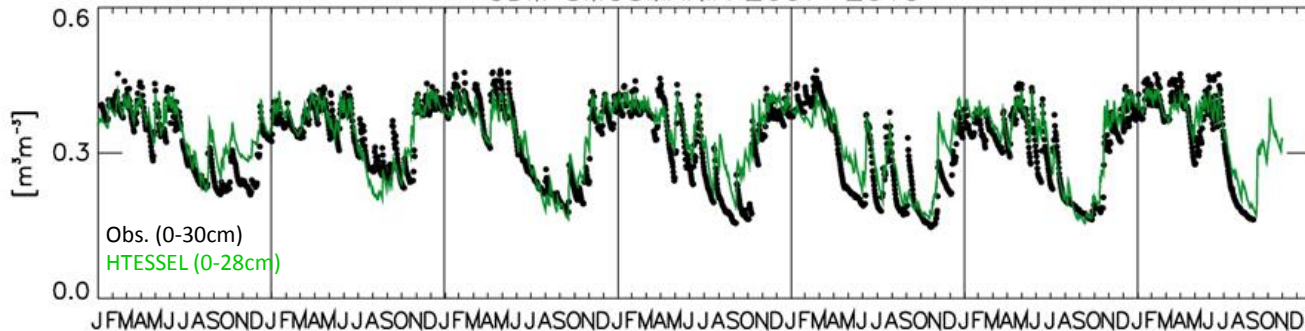


**In situ  
measurements**

**Land Surface Model**

HTESSEL forced by ERA-  
Interim near-surface  
meteorology

CDM SMOSMANIA 2007–2013



# How to obtain soil moisture estimates?

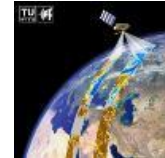
## In situ measurements



## Land Surface Model

HTESSEL forced by ERA-Interim near-surface meteorology

## Surface Soil moisture from space

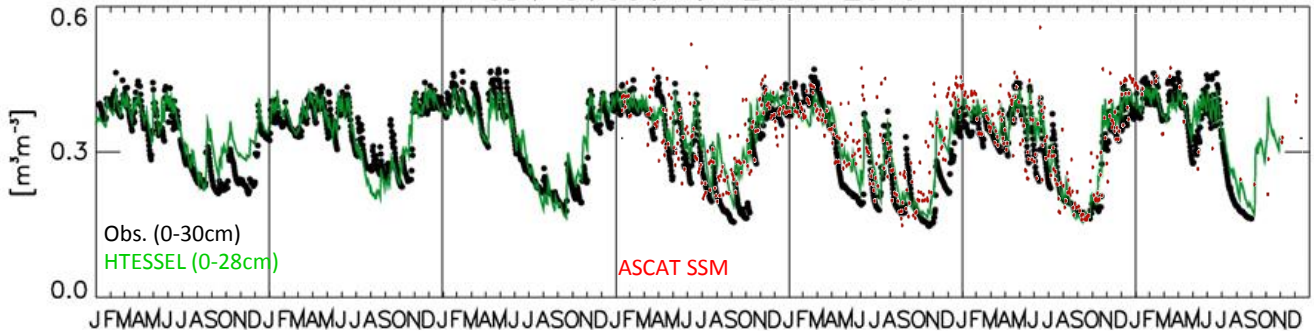


ASCAT

## SMOS

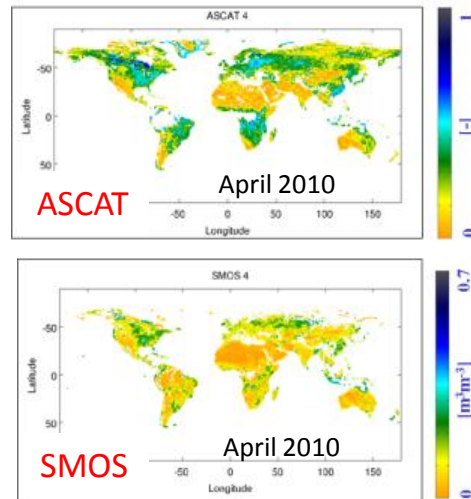
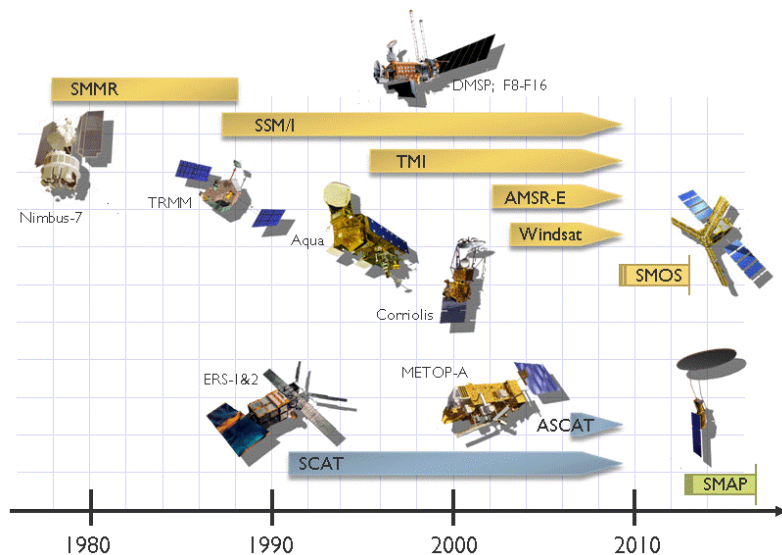


CDM SMOSMANIA 2007–2013



# How to obtain soil moisture estimates?

- **Spatial Remote Sensing** : unique opportunity to observe SM at a global scale

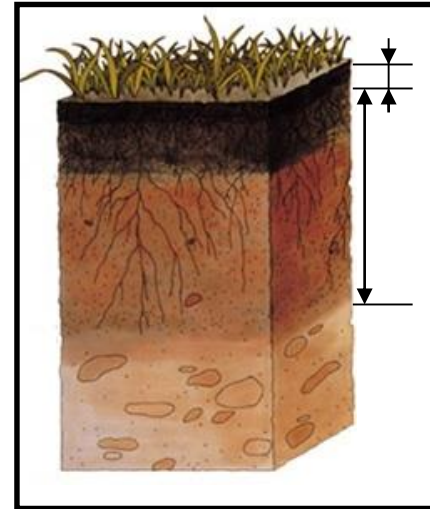


(Figure from : <http://www.esa-soilmoisture-cci.org>)



# Soil moisture analysis

- **Remote Sensing** : provides quantitative information about the water content of a **shallow near surface layer**
- Main variable of interest for applications such as meteorological modelling, hydrological studies : **root-zone soil moisture**
- **Assimilation algorithms** :



## → Soil Moisture Analysis

- Combines observations and model trajectory
- Propagates information from surface observations into deeper layers
- Provides values when there is no satellite data (from the model propagation)

# HSAF Project at ECMWF

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- Contributor to the core H-SAF soil moisture products
- ➔ Our H-SAF activities are centred around the development of surface and root zone soil moisture based on scatterometer data assimilation
- **SM-DAS-2 (H14), operational since 07/2012**
  - Surface and root zone liquid soil moisture index
  - Based on physical soil freezing processes representation, expressed into liquid soil moisture index by normalizing using the saturated soil moisture value (function of soil texture)
- **SM-DAS-3 (H27), end of CDOP-2**
  - Re-analysis of SM-DAS-2
  - ➔ Provide long time series of the liquid root zone soil moisture index (covering 1992-2014)

# SM-DAS-2 (H14) liquid root zone soil moisture index

**operational  
from Jul. 2012**

ECMWF Atmospheric conditions



SYNOP  
T2m RH2m

ASCAT  
Surface SM  
**METOP-A & B**

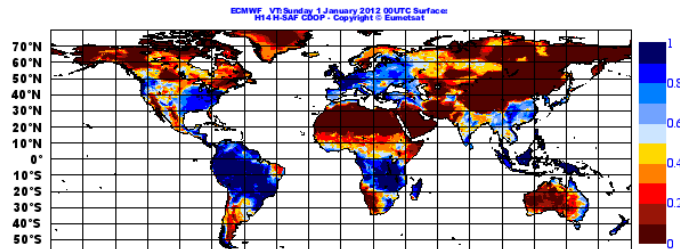
EKF  
Soil Moisture  
Analysis

**SM-DAS-2:  
Soil Moisture  
Profile**

~25km

**EKF corrects** the trajectory of the Land Surface Model (HTESSEL)

0-7cm, 7-28cm, 28-100cm, 100-289cm



<http://hsaf.meteoam.it/soil-moisture.php>

# SM-DAS-2 (H14) liquid root zone soil moisture index

## Simplified Extended Kalman Filter soil moisture analysis

(*de Rosnay et al., QJRMS, 2013*)

For each grid point, analysed state vector  $\mathbf{x}_a$ :

$$\mathbf{x}_a = \mathbf{x}_b + \mathbf{K} (\mathbf{y} - \mathcal{H}[\mathbf{x}_b])$$

$\mathbf{x}_b$  background state vector,

$\mathcal{H}$  non linear observation operator

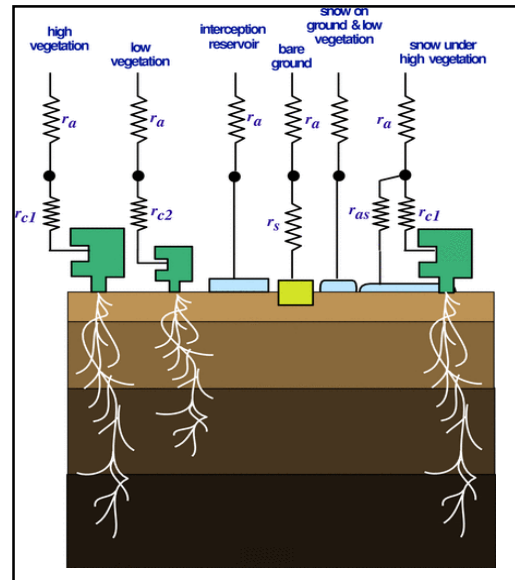
$\mathbf{y}$  observation vector

$\mathbf{K}$  Kalman gain matrix, function of  $\mathbf{H}$  (linearization of  $\mathcal{H}$ ),  $\mathbf{B}$  and  $\mathbf{R}$  (covariance matrices of background and observation errors)

### Observations used :

Conventional SYNOP observations (T2m, RH2m)

ASCAT surface soil moisture (METOP-A, B)



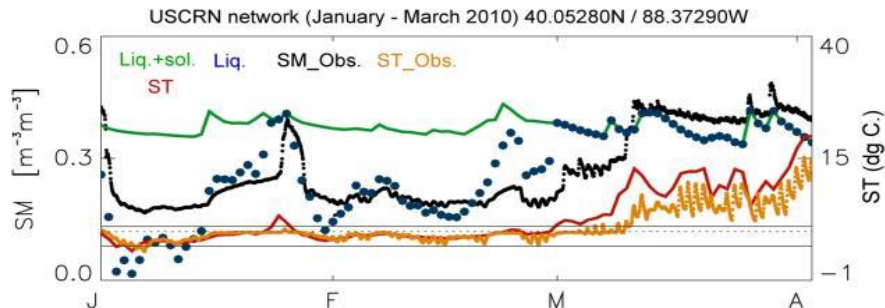
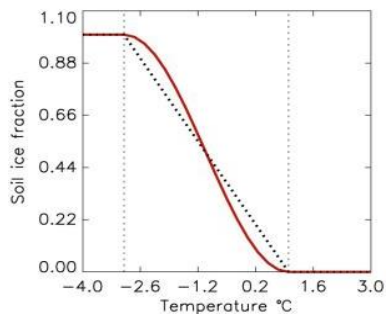
### LSM : HTESSEL

0-7cm, 7-28cm, 28-100cm,  
100-289cm

(*Balsamo et al., JHM, 2009*)

# SM-DAS-2 (H14) liquid root zone soil moisture index

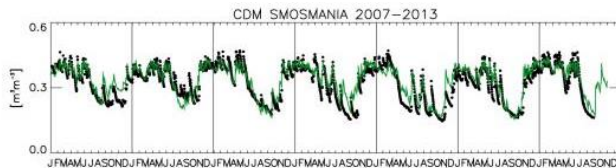
- Rational for having a liquid product :



2010-2013	Scores	Liq.+sol.	Liq.
USCRN (US) 1 station	R	0.72	0.74
	R Anomalies	0.56	0.57
	RMSD	0.074	0.069
	Bias	-0.024	-0.005

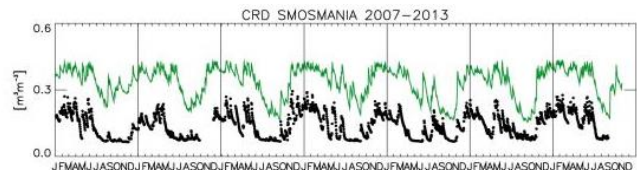
# SM-DAS-2 (H14) liquid root zone soil moisture index

- **Rational for having an index [0-1]** : Spatial variability of SM is very high, differences in soil properties → difference in the mean and variance



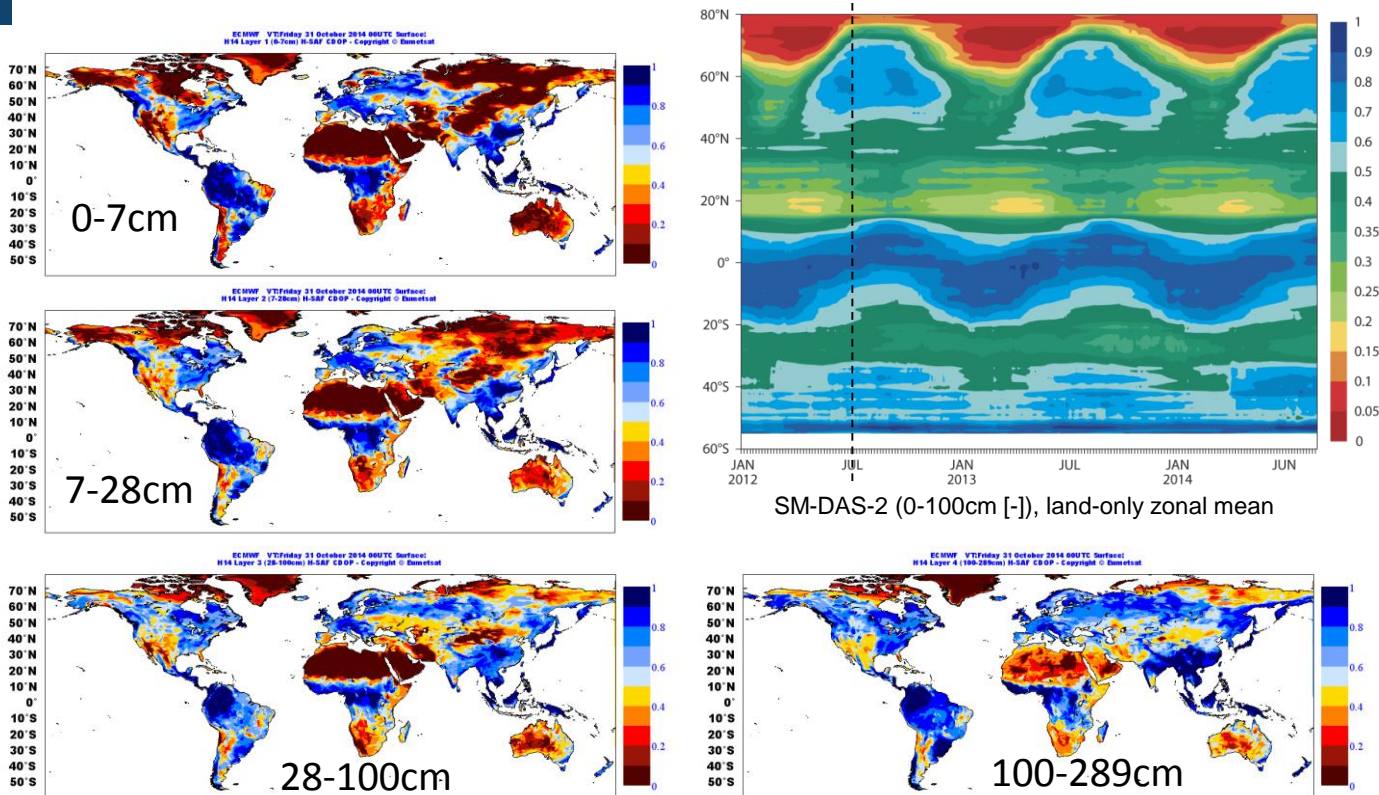
Obs. (0-30cm)

HTESSEL (0-28cm)



- True information of modelled/analysed soil moisture does not necessarily relies on their absolute magnitudes but instead on their time variations
- ➔ Represents the time-integrated impacts of antecedent meteorological forcing on the hydrological state of the soil system within the model

# SM-DAS-2 (H14) liquid root zone soil moisture index

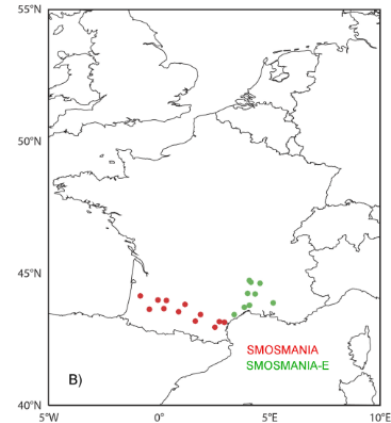
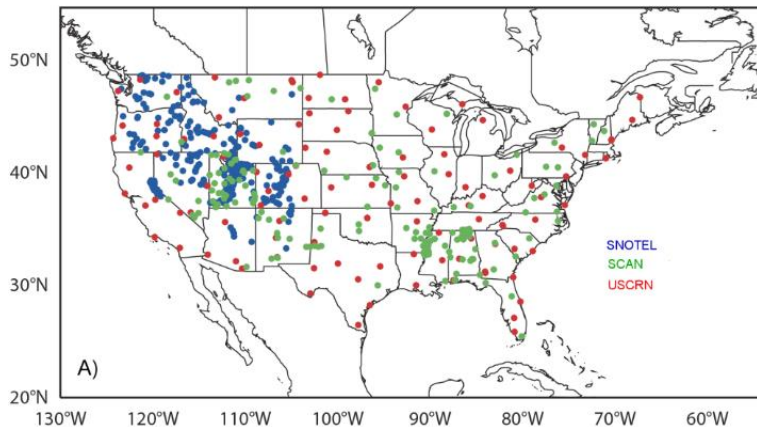


<http://hsaf.meteoam.it/soil-moisture.php>

# SM-DAS-2 (H14) : Evaluation

*Accuracy requirements for product SM-DAS-2 (H14) : Correlations values (R)*

Unit	Threshold	Target	Optimal
Dimensionless	0.50	0.65	0.80



Period considered: June-2012 to May-2013



# SM-DAS-2 (H14) : Evaluation

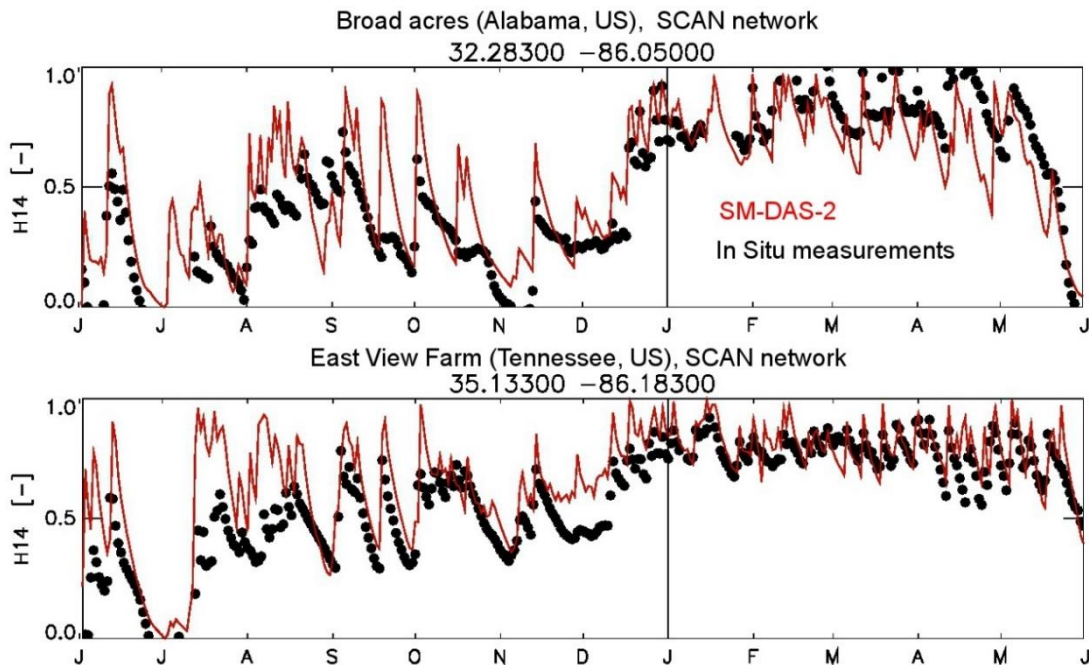


Illustration of soil moisture products time series, in situ data are in black, H14 / SM-DAS-2 is in red. Stations belong to the NRCS-SCAN network, in the US

# SM-DAS-2 (H14) : Evaluation

Period considered : June-2012 to May-2013

Country	Region (N stations*)	Bias (-)	Standard deviation (-)	RMSD (-)/[m3m-3]	R
France	SMOSMANIA-W (12 stations)	0.081	0.264	0.197 / 0.049	0.86
France	SMOSMANIA-E (9 stations)	-0.004	0.299	0.236 / 0.060	0.72
USA	NRCS-SCAN (79 stations)	-0.034	0.209	0.213 / 0.051	0.72
USA	NRCS-SNOTEL (144 stations)	-0.080	0.243	0.233 / 0.058	0.72
USA	NRCS-USCRN (80 stations)	0.020	0.231	0.215 / 0.053	0.72
Average weighted by the number of stations	326 stations	-0.036	0.234	0.222 / 0.055	0.73

**Considering all the stations, the averaged R value of 0.73 is above the target accuracy of 0.65**

\* Cases with pvalue <0.05 are considered, only

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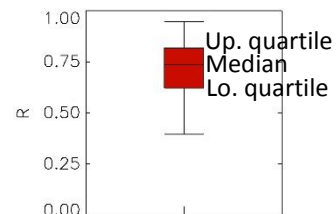
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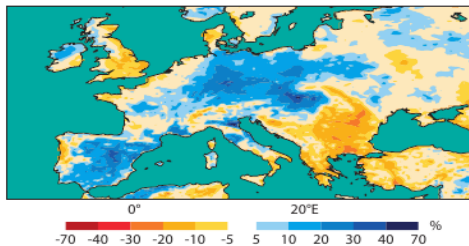
USA	NRCS-USCRN (82 stations)	0.007	0.236	0.206 / 0.045	0.71
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\* Cases with pvalue <0.05 are considered, only



# SM-DAS-2 (H14) : Flood in Central europe

**“ [...] Another key factor in determining whether flooding is likely to take place is how saturated the soils are. [...] As part of EUMETSAT’s Satellite Application Facility on Support to Operational Hydrology and Water Management (H-SAF), ECMWF has been producing the root zone soil moisture product (H14/SM-DAS-2).”**



**2: Difference in soil moisture saturation between 2013 and 2012 over Europe.** EUMETSAT H-SAF root zone (0–1 m) soil moisture difference, 2013 minus 2012, for 20 May to 3 June based on ASCAT data assimilation in the ECMWF Land Data Assimilation System.

F. Pappenberger, F. Wetterhall, C. Albergel, L. Alfieri, G. Balsamo, K. Bogner, T. Haiden, T. Hewson, L. Magnusson, P. de Rosnay, J. Muñoz-Sabater & I. Tsonevsky : *Floods in Central Europe in June 2013*. ECMWF newsletter 136, summer 2013, 9-11pp

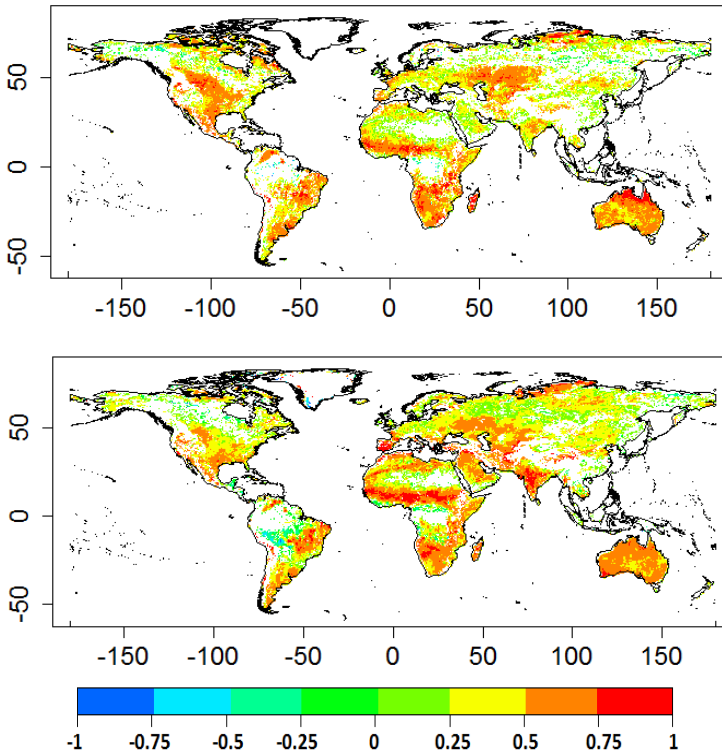
<http://www.ecmwf.int/publications/newsletters/>

## Satellite-based passive microwave soil moisture dataset comparative study

SMOSL3 Vs. SM-DAS-2

AMSR-VUA Vs. SM-DAS-2

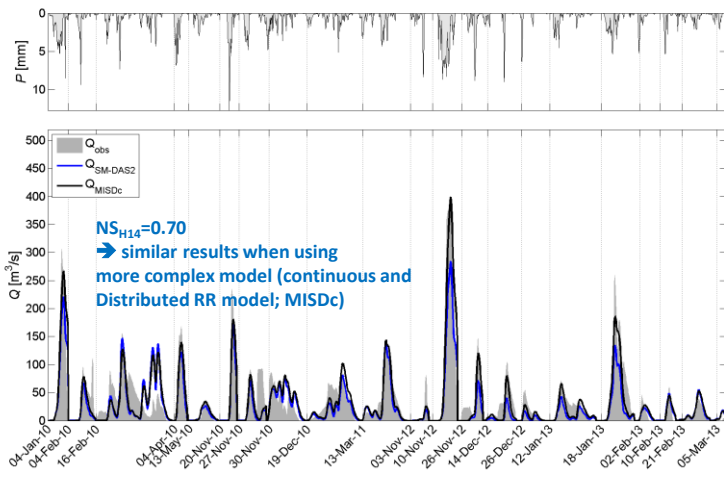
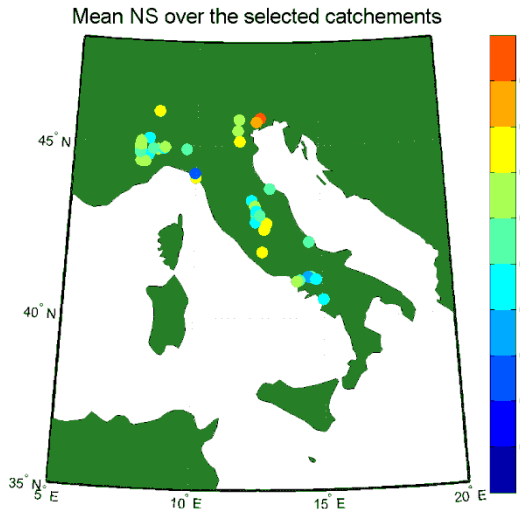
Both (SMOS and AMSR-VUA) successfully captured the seasonal SSM variations present in SM-DAS-2  
**Al-Yaari et al., 2014 (RSE)**



**Correlation coefficient**

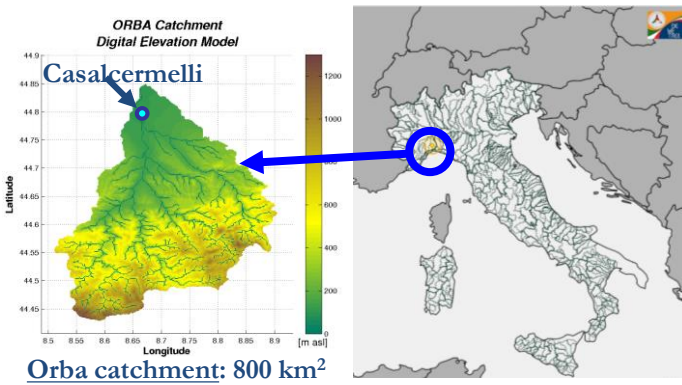
- H14 used for initializing simple event based rainfall-runoff model
- ➔ “Simplified Continuous Rainfall Runoff” model (SCRRM, Massari et al. 2014, HESS)

### Tiber River at Santa Lucia Area=840 km<sup>2</sup>

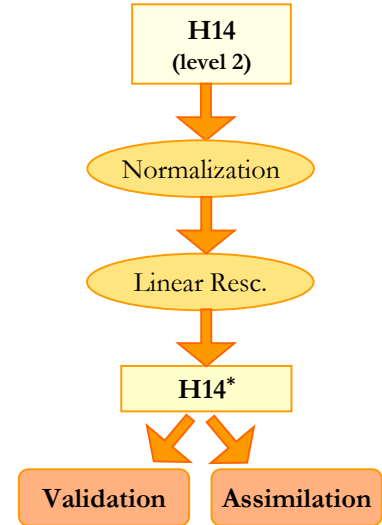


Application of the model to 35 Italian catchments 2010-2013...

<p>Yesterday 17:00-17:30</p>	<p>Assimilation of H-SAF soil moisture products for hydrological modelling in Mediterranean catchments</p>	<p>Christian Massari (CNR/IRPI)</p>
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For the period July 2012 – June 2013  
Validation of H14 using Continuum model  
Assimilation of H14 into Continuum model



Hydrol. Earth Syst. Sci., 17, 39–62, 2013  
www.hydrol-earth-syst-sci.net/17/39/2013/  
doi:10.5194/hess-17-39-2013  
© Author(s) 2013. CC Attribution 3.0 License.



Hydrology  
and Earth System  
Sciences

**Continuum model** → Distributed and physically-based hydrological model  
(Silvestro et al., 2013)

**Exploiting remote sensing land surface temperature in distributed hydrological modelling: the example of the Continuum model**

F. Silvestro<sup>1</sup>, S. Gabellani<sup>1</sup>, F. Delogu<sup>1</sup>, R. Rudari<sup>1</sup>, and G. Boni<sup>1,2</sup>

<sup>1</sup>CIMA research foundation, Savona, Italy

<sup>2</sup>DIBRIS, University of Genova, Genova, Italy

Correspondence to: F. Silvestro (francesco.silvestro@cimafoundation.org)

Received: 24 May 2012 – Published in Hydrol. Earth Syst. Sci. Discuss.: 14 June 2012

Revised: 12 December 2012 – Accepted: 20 December 2012 – Published: 11 January 2013

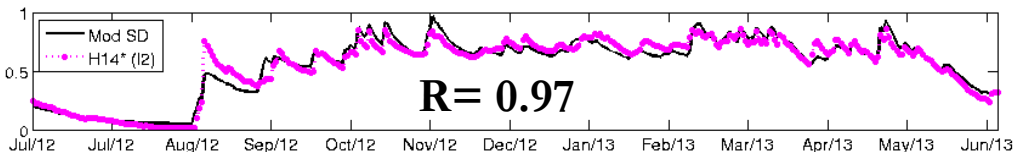
Slides provided by P. Laiolo, S. Gabellani, F. Delogu, CIMA Research Foundation, Italy

09:30-10:00

Assimilation of satellite soil moisture data in a distributed hydrological model: impact on the hydrological cycle in some Italian basins

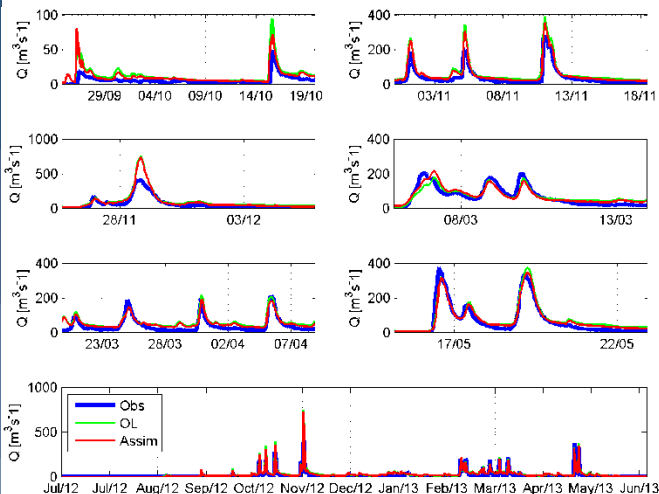
Simone Gabellani (CIMA foundation)

# Validation results



Mean  
values at  
basin scale

## Assimilation results



### MAE, RMSE, NS – Annual analysis

Experiment	MAE	RMSE	NS
OL	17.4	25.3	0.63
Assim H14	15.2	22.5	0.70

### NS coefficient – Seasonal analysis

Experiment	Summer	Autumn	Winter	Spring
OL	-2.64	0.57	0.52	0.78
Assim H14	-1.14	0.69	0.54	0.83

Slides provided by P. Laiolo, S. Gabellani, F. Delogu, CIMA Research Foundation, Italy

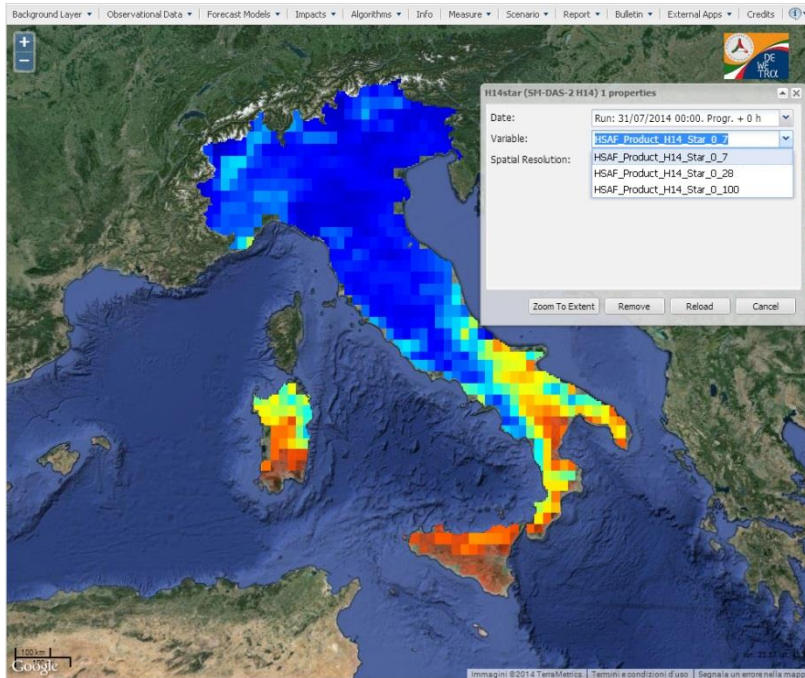
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# SM-DAS-2 (H14) liquid root zone soil moisture index



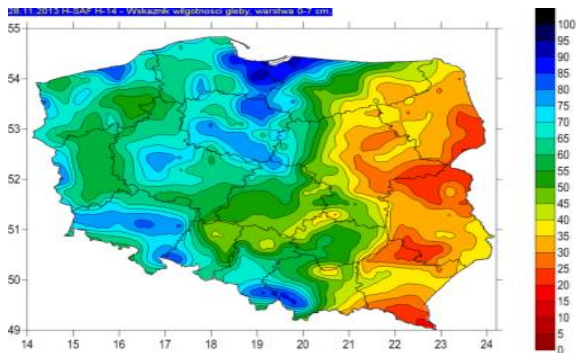
SM-DAS-2 product is operationally used by Italian Civil Protection and published on Dewetra platform

Real-time integrated system for hydro-meteorological and wildfire risk forecasting (monitoring and prevention)

Based on the rapid availability of different data to help establishing risk scenarios

# SM-DAS-2 (H14) liquid root zone soil moisture index

- Use soil moisture product H-SAF H-14 for operational monitoring of the area of Poland
- To be used in agrometeorological service
- To be implemented as an input to hydrological model



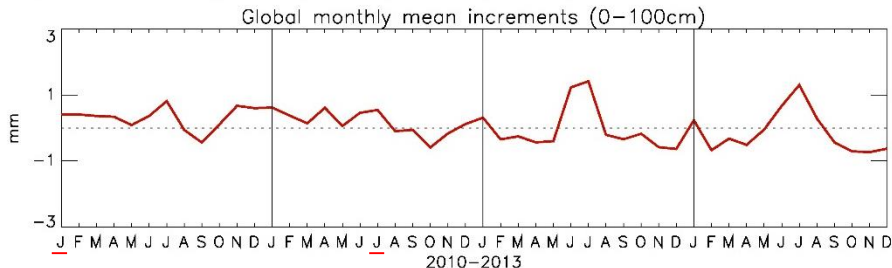
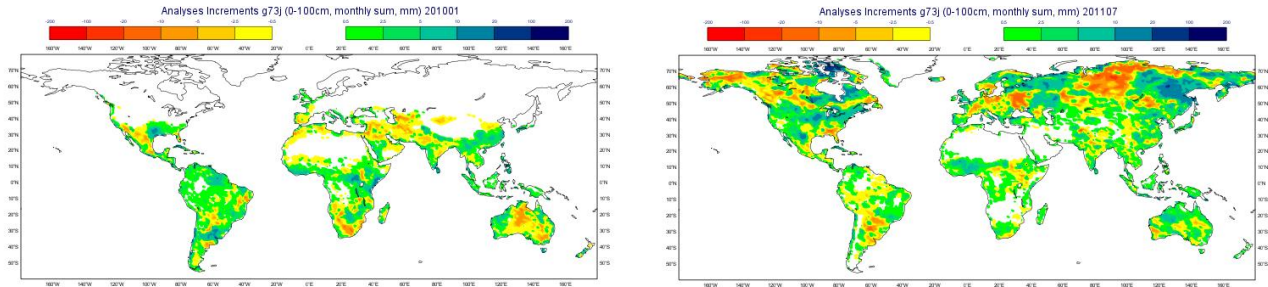
Visualisation from IMGW internal web page; in winter “ [...] frozen surfaces are well detected as dry upper layer”

*dr inż. Piotr Struzik*  
(personal communication, Jan.-2014)

<http://agrometeo.pogodynka.pl/obrazysatelitarne>

# SM-DAS-3 (H27) liquid root zone soil moisture index

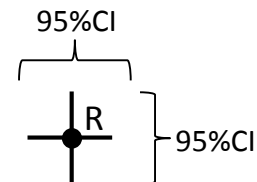
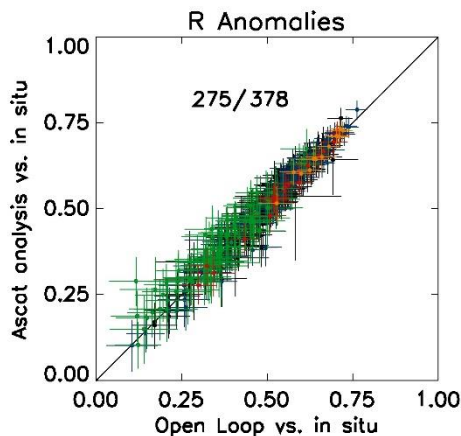
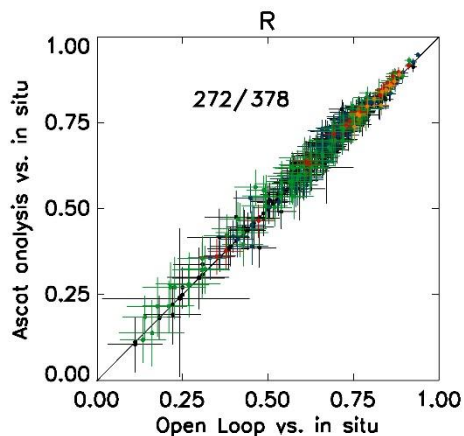
- **Re-analysis of SM-DAS-2**
- ➔ Provide long time series of the liquid root zone soil moisture index (covering 1992-2014, 16km) using ASCAT, ERS-1&2 and screen level variables



# SM-DAS-3 (H27) liquid root zone soil moisture index

- **Re-analysis of SM-DAS-2**

- ➔ Provide long time series of the liquid root zone soil moisture index (covering 1992-2014, 16km) using ASCAT, ERS-1&2 and screen level variables
- ➔ Preliminary evaluation vs. in situ data (2011-2013)



SCAN (USA)  
USCRN (USA)  
PBO\_H2O (USA)  
REMEDIHUS (Sp.)  
SMOSMANIA (Fr.)

# Summary

- **SM-DAS-2 (H14), operational since 07/2012**
  - Very good ability to reproduce soil moisture variability
  - ➔ Used as a benchmark for satellite-derived soil moisture products
  - Positive impact when used in hydrological modelling
  - Operationally used by the Italian Civil Protection, Polish agromet. service

Albergel, C., de Rosnay, P., Gruhier, C., Muñoz-Sabater, J., Hasenauer, S., Isaksen, L., Kerr, Y. & Wagner, W.: **Evaluation of remotely sensed and modelled soil moisture products using global ground-based in situ observations.** *Remote Sensing of Environment*, 118, 215-226, 2012.

- **SM-DAS-3 (H27), end of CDOP-2**
  - Re-analysis of SM-DAS-2 using consistent production algorithm and observations to provide long time series of the liquid root zone soil moisture index (covering 1992-2014)

<http://hsaf.meteoam.it>

<http://hsaf.meteoam.it/soil-moisture.php>

The EUMETSAT  
Network of  
Satellite Application  
Facilities



## **Global monitoring of root zone soil moisture from scatterometer data assimilation : methodology and validation**

Thank you for your attention!

Contact : [clement.albergel@ecmwf.int](mailto:clement.albergel@ecmwf.int)

[http://hsaf.meteoam.it \(/soil-moisture.php\)](http://hsaf.meteoam.it (/soil-moisture.php))

# H14 Liquid Root Zone Soil Moisture index

