

# Some orographic effects on the large-scale circulation

- 1) The mid 1990's  
Enveloppe orography, the Pyrex campaign and theoretical progresses
- 2) Impacts and limitations of the Lott & Miller (1997) scheme.  
drag versus lift forces
- 3) Mountain torques and low-frequency variability  
Observational evidences that mountain forces affect LFV
- 4) Mountain torques and synoptic scale flows  
Observational and model evidences that lift forces matters.
- 5) Perspectives

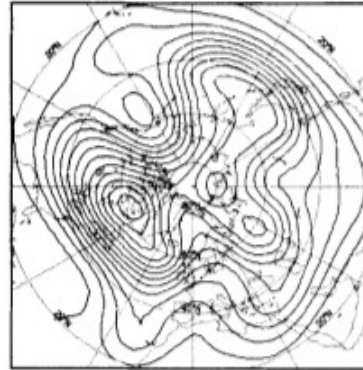
# Some orographic effects on the large-scale circulation, F. Lott

## 1) Envelope orography, the Pyrex campaign and theoretical progresses

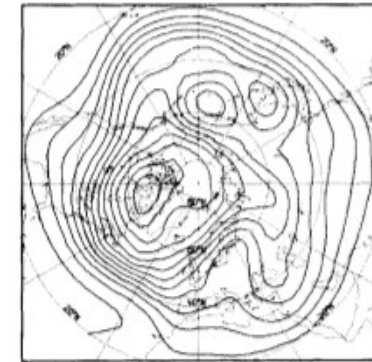
The envelope orography  
(Wallace, Tibaldi, and Simmons 1983)

500mb Geopotential

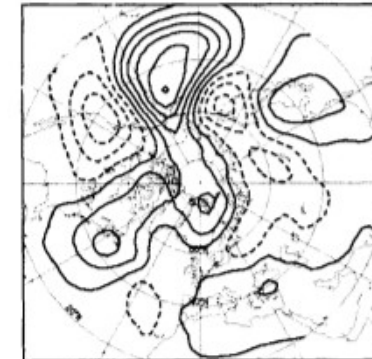
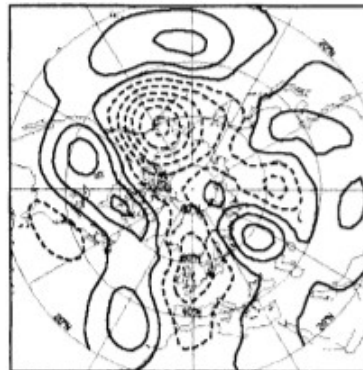
Case I



Case II

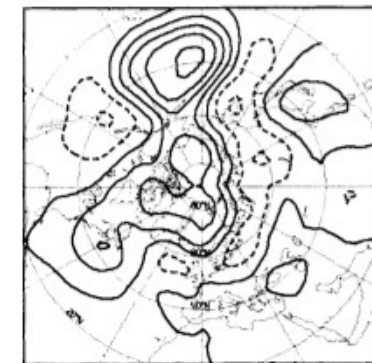
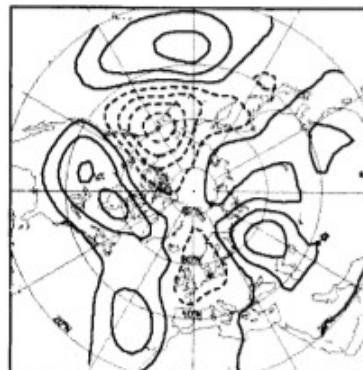


7-10 day error



Mean  
orography

7-10 day error



Envelope  
orography

Needed to be replaced in the 1990's (data assimilation, radiation problems...)

# Some orographic effects on the large-scale circulation, F. Lott

## 1) Envelope orography, the Pyrex campaign and theoretical progresses

The Pyrénées Experiment (Bougeault et al. 1990)

The central transect of PYREX

Mountain waves seen by 10 aircraft flights above the transect

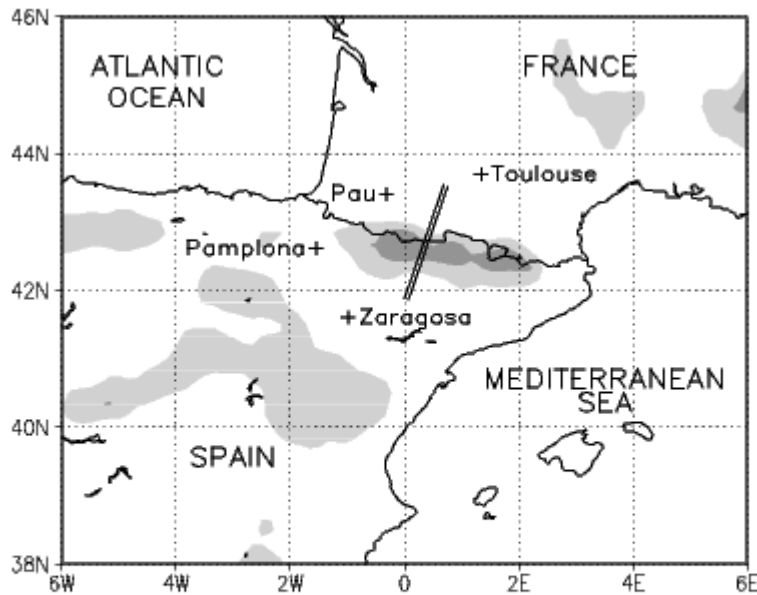
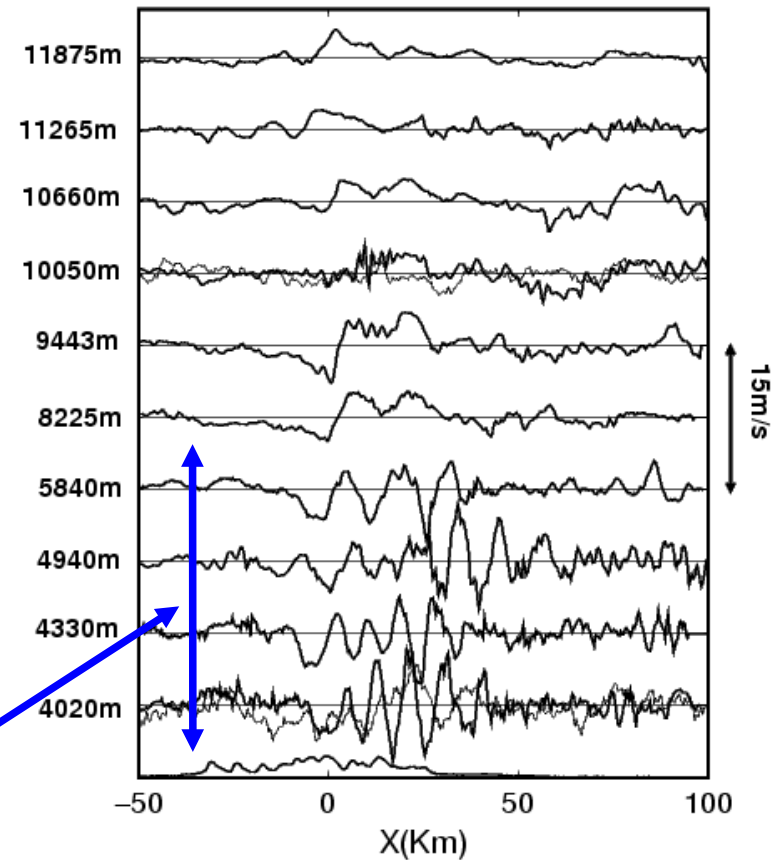


Figure 1: Smoothed terrain elevation and PYREX data used. + denotes the location of the high resolution soundings. The two thick lines indicate the airplane paths during the IOP 3. The light and dark shaded areas denote terrain elevation above 1000m and 1500m respectively.



Trapped waves  
(Georgelin et Lott 2001)

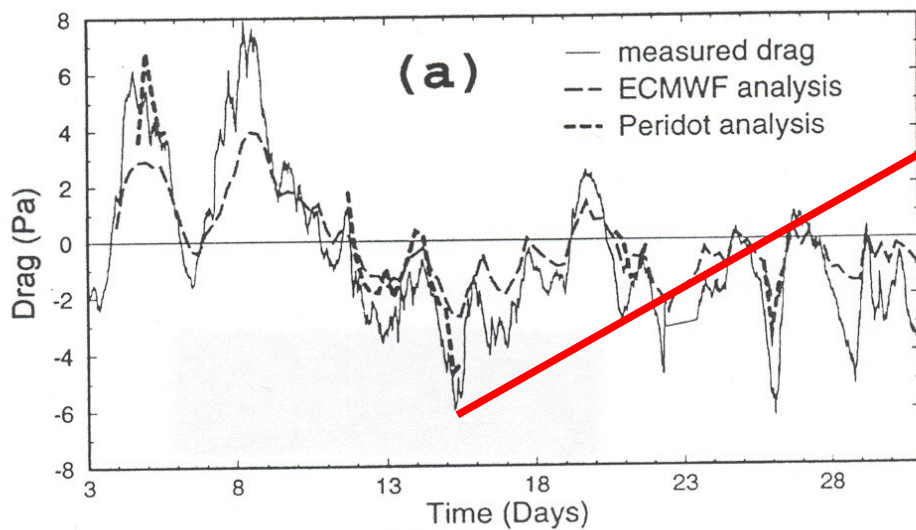
Figure 2: Observed vertical velocities from different Aircraft legs. 15 October 1990 around 6 UTC. Thick lower curve represent the Pyrénées, the thin curve at the Z=4km and Z=10km are red noises surrogate with characteristics adapted to the measured vertical velocity at that levels.

# Some orographic effects on the large-scale circulation, F. Lott

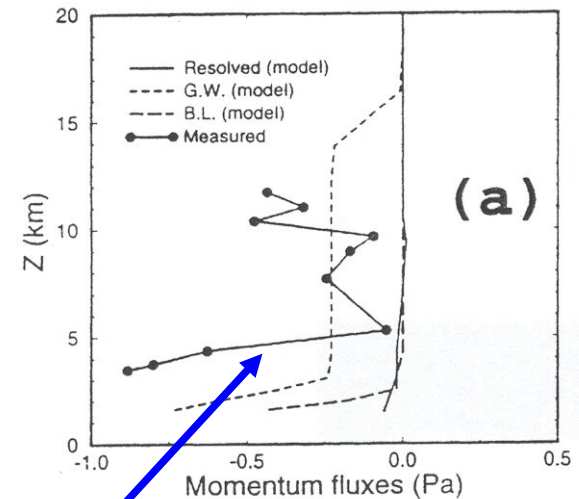
## 1) Envelope orography, the Pyrex campaign and theoretical progresses

Surface pressure drag measured by micro barographs located along the transect (October 1990)

Comparison with ECMWF and Pyrex analysis (Lott 1995)



Momentum flux measured by the aircrafts aloft the transect, the 15 October 1990



Effect of the trapped lee waves (Georgelin et Lott 2001)

Note that the momentum flux aloft is one order of magnitude smaller than the surface drag.

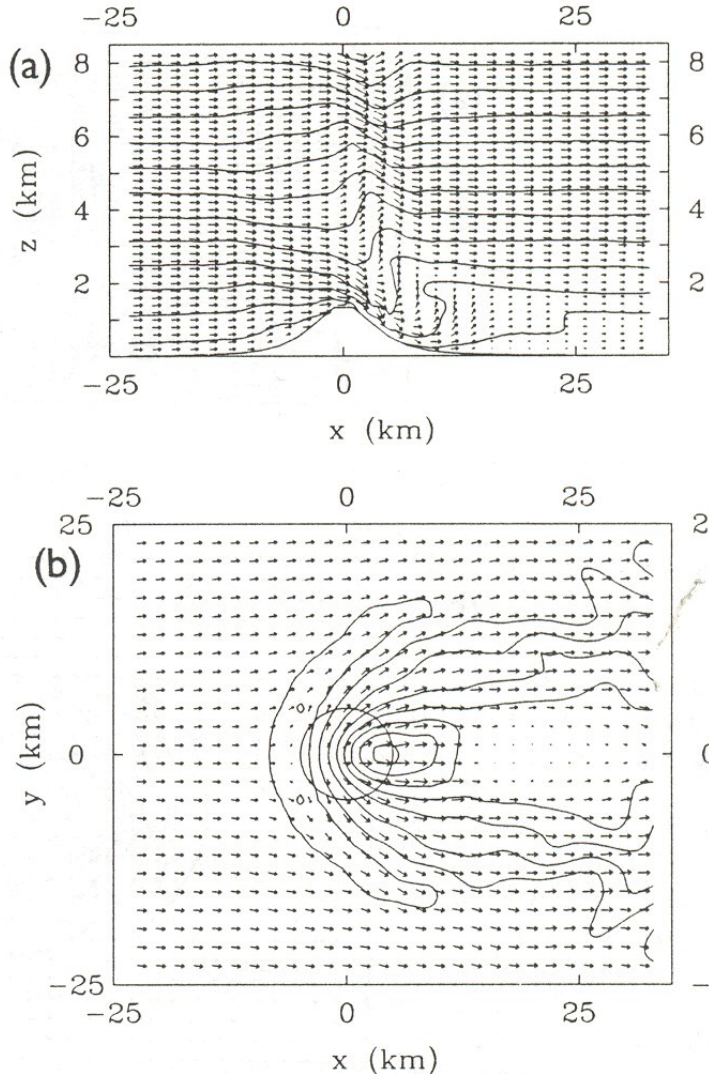
# Some orographic effects on the large-scale circulation, F. Lott

## 1) Envelope orography, the Pyrex campaign and theoretical progresses

### Mountain Waves (non-linear 3D effects from Miranda and James (1992))

$$U=10\text{m/s}, N=0.01\text{s}^{-1}, h_{\text{max}} \sim 1\text{km}$$

**Note:**



The fact that the isentropic surfaces are almost vertical downstream and at low level: this indicates wave breaking

The strong “Foehn” downstream

The residual gravity waves propagating aloft

The apparent deceleration of the low-level winds far downstream of the obstacle.

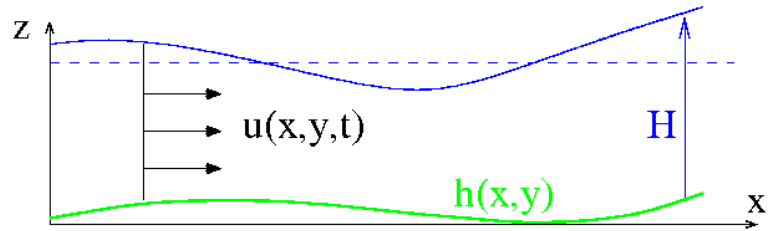
**Question:**

How can we quantify the reversible motion (due to the presence of the wave) from the irreversible effects that are due to wave breaking?

# Some orographic effects on the large-scale circulation, F. Lott

## 1) Envelope orography, the Pyrex campaign and theoretical progresses

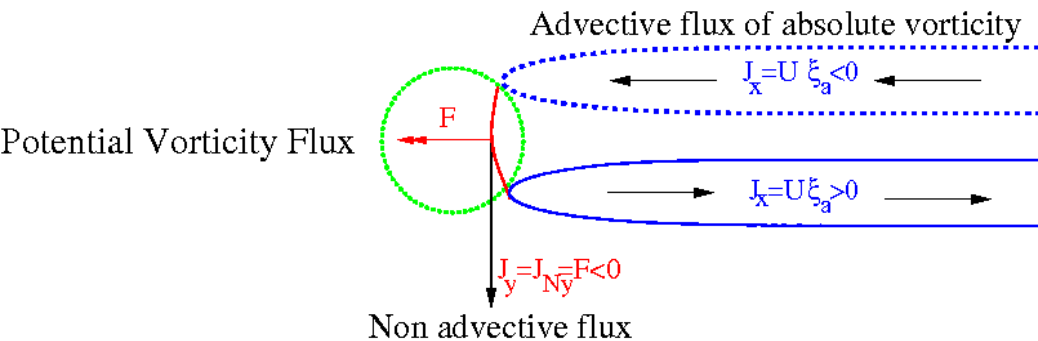
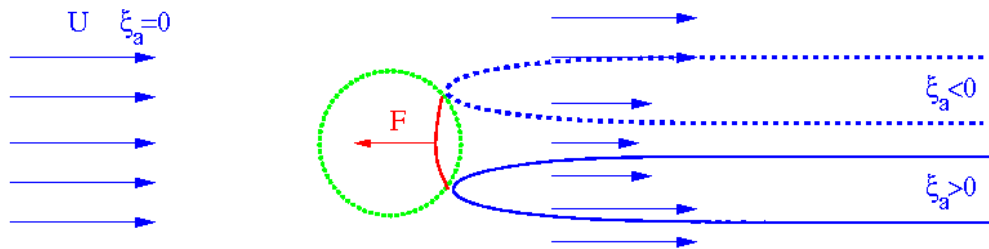
### Hydraulic Jumps in Shallow water



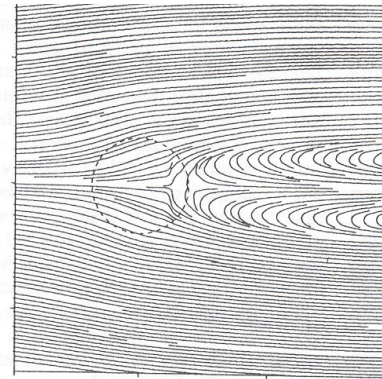
Equation for the absolute vorticity :

$$\frac{\partial \xi_a}{\partial t} + \vec{\nabla} \cdot (\vec{u} \xi_a + \vec{J}_N) = 0$$

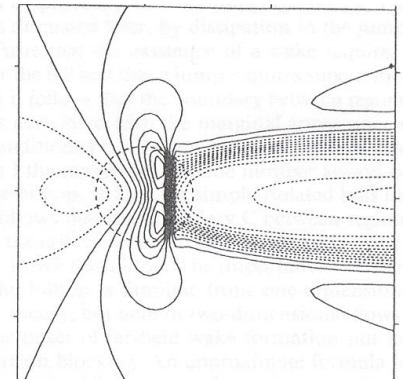
$\vec{J}_N$  : Non advective flux perpendicular to the drag.



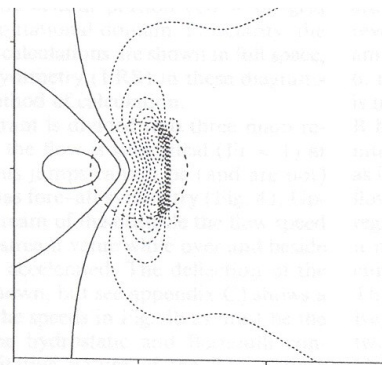
Streamfunction



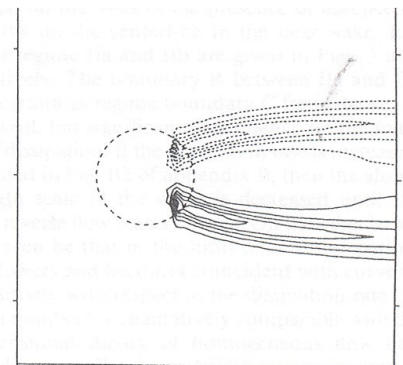
Wind intensity



Height



Vorticity



Schar et Smith 1992

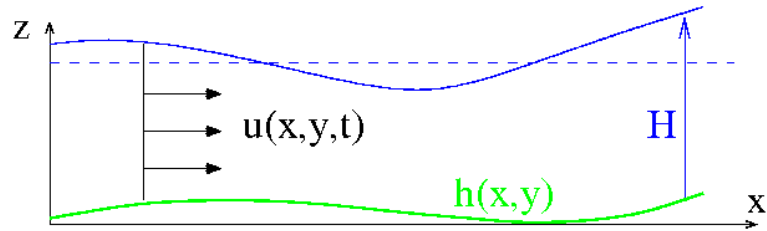
This is related to PV production :

$$\frac{D}{Dt} \frac{\xi_a}{H} = - \frac{\vec{\nabla} \cdot \vec{J}_N}{H}$$

# Some orographic effects on the large-scale circulation, F. Lott

## 1) Envelope orography, the Pyrex campaign and theoretical progresses

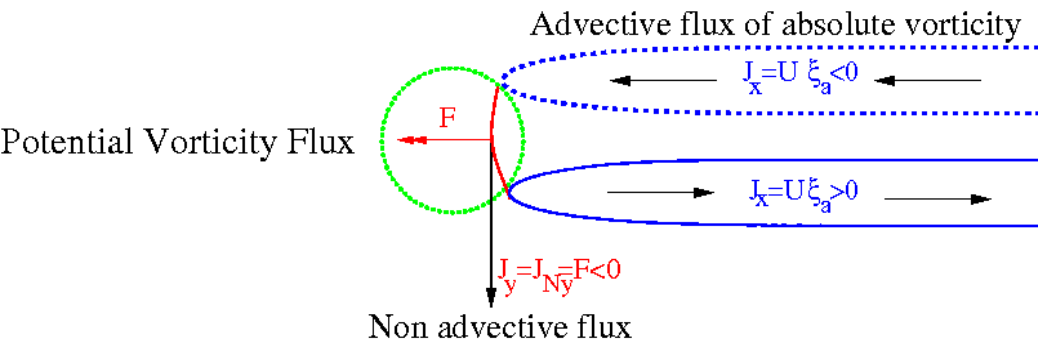
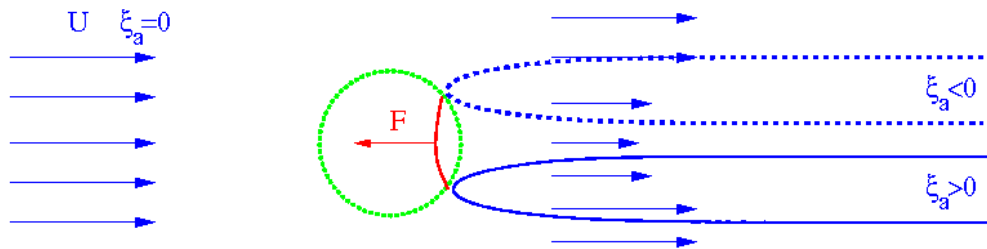
### Hydraulic Jumps in Shallow water



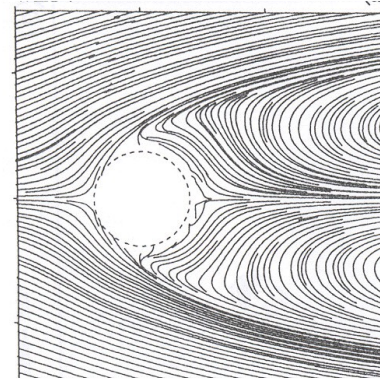
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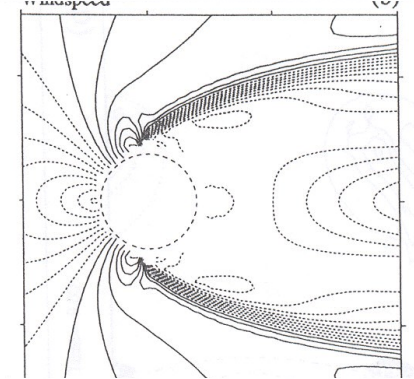
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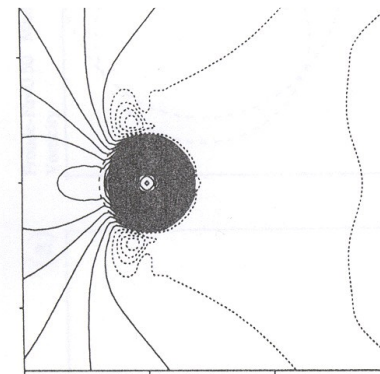
Streamfunction



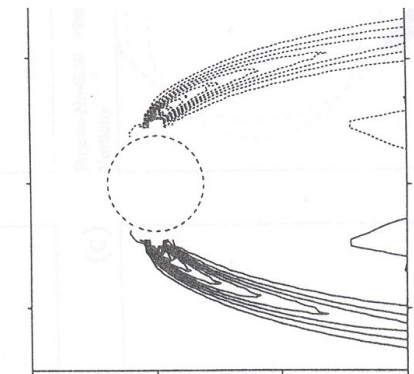
Wind intensity



Height



Vorticity



Schar et Smith 1992

This view of a fluid force producing a flux of PV can well be applied when the obstacle pierces the free surface

# Some orographic effects on the large-scale circulation, F. Lott

## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

Principles of the scheme:



Freely propagating gravity wave drag

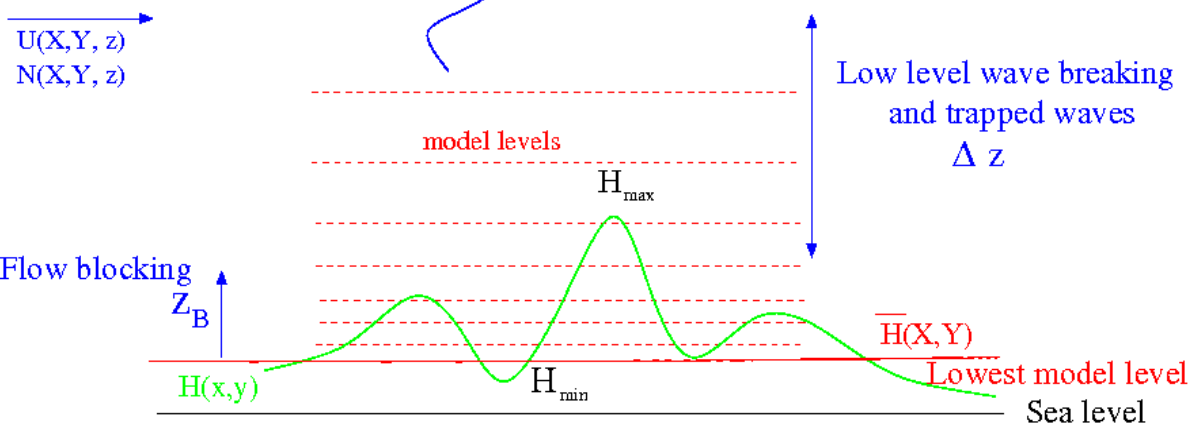
The scheme relies on few tunable non-dimensional parameters, all of Order 1

Breaking based on a total Richardson number criteria (**Ric**):

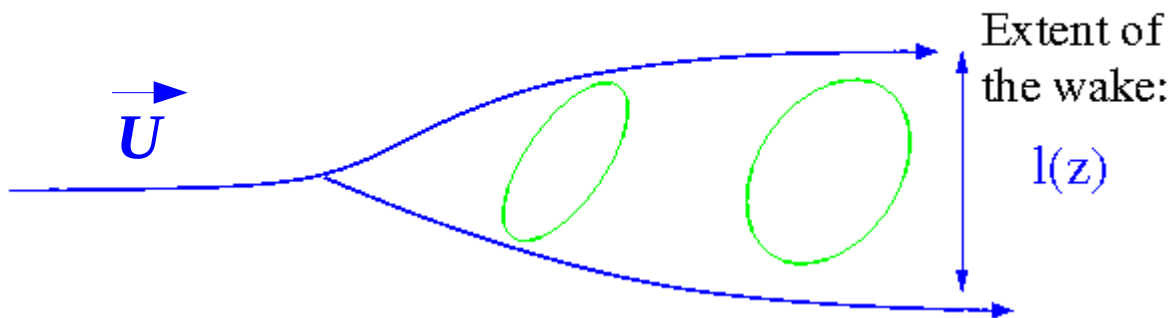
$$\text{Gravity wave drag (G)} \rho G N U (H_{SSO} - Z_B)^2$$

With directional effects and all SSO parameters calculated!  
(**Baines and Palmer 1990**)

$$\text{Flow blocking (H}_{NC}) \int_{Z_B}^{H_{max}} \frac{N}{U} dz < H_{NC}$$



Below  $Z_B$



Bluff body drag applied at each model layer that intersects the Subgrid Scale Orography (SSO):

$$D_B = \rho l(z) C_d \frac{\vec{U} \|\vec{U}\|}{2} \quad 8$$



# Some orographic effects on the large-scale circulation, F. Lott

## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

At a truncature T106, the SSO drag scheme makes up the total drag due to the Pyrénées (the resolution is too coarse to see this mountain explicitly).

At T213, operational in 1997, the parametererized drag also made up well the differences between the explicit model drag and the measured (PYREX) drag

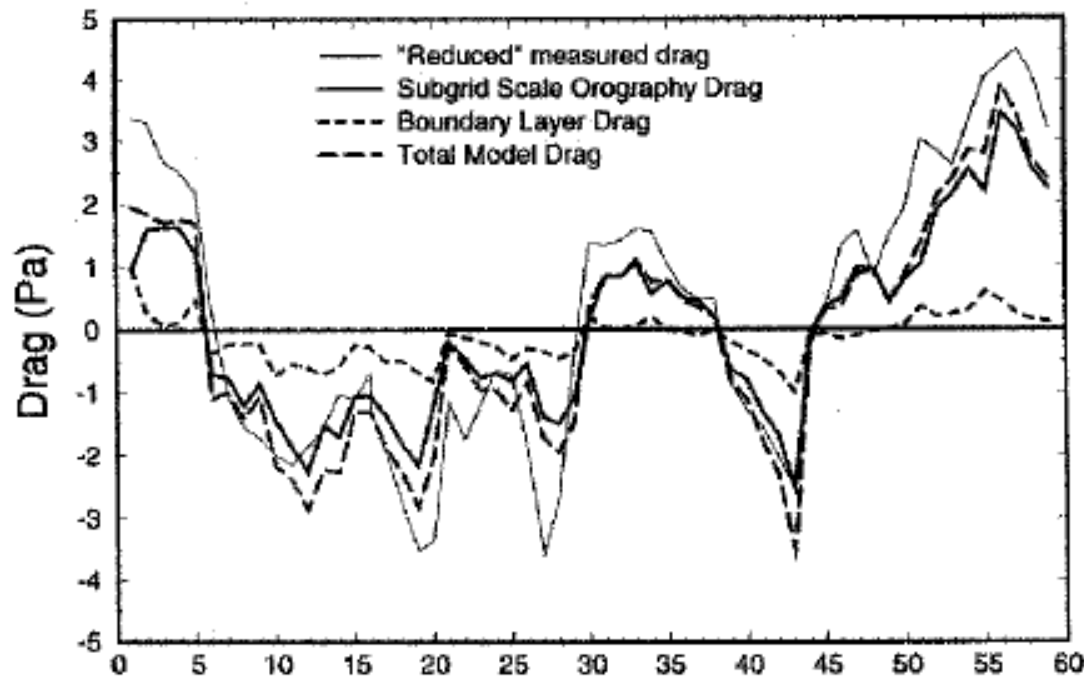


Figure 8. T106 forecasts: ECMWF model with mean orography and the new subgrid-scale orographic drag scheme. Parametrized mountain drags during PYREX. The comparison is limited to the 60 PIO cases defined in the text.

The scheme also improved the ECMWF forecast performances in terms of score, ect.,<sub>9</sub>

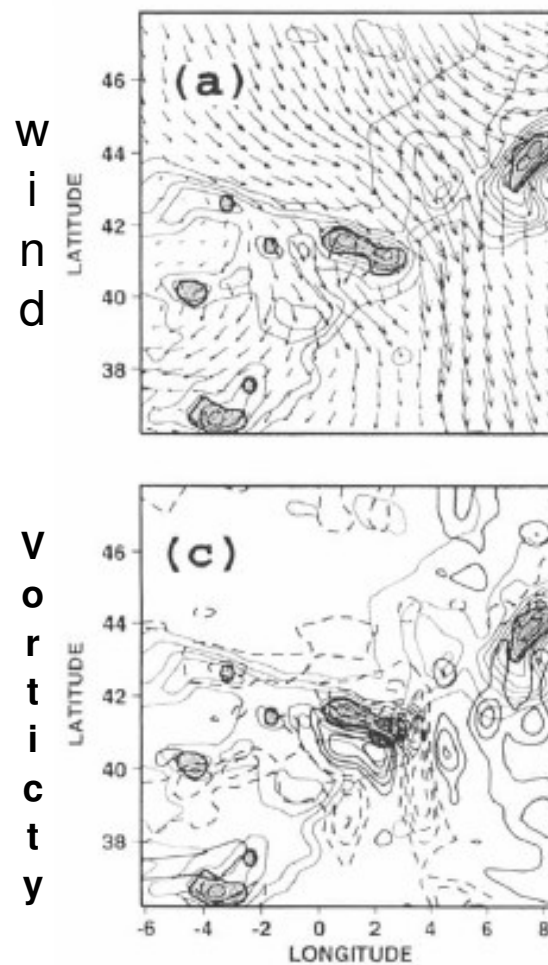
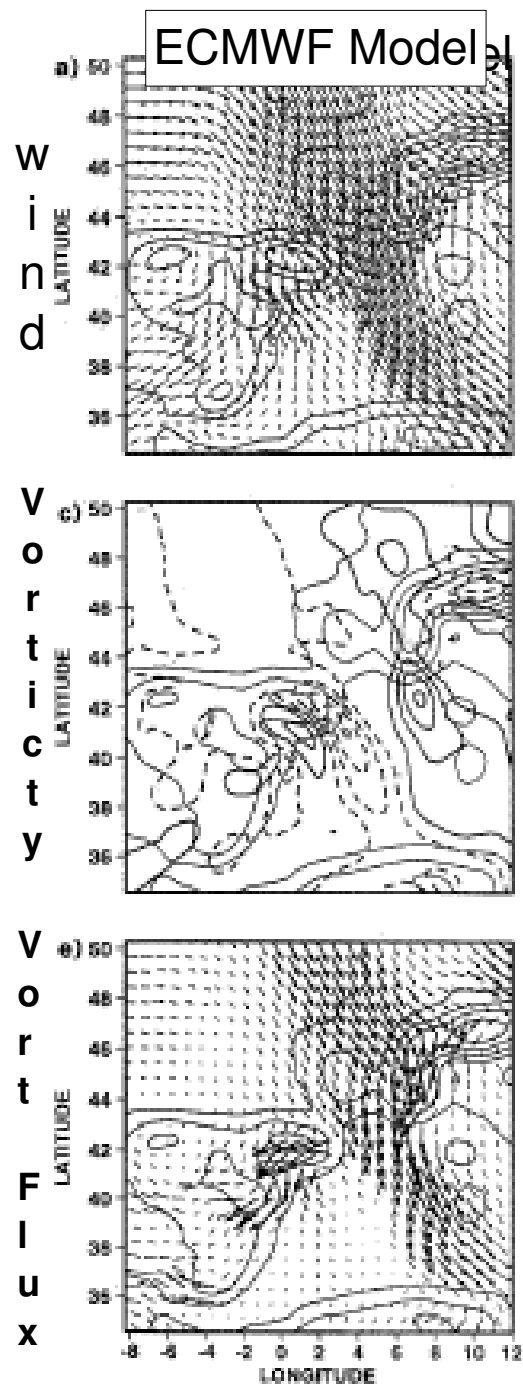
# Some orographic effects on the large-scale circulation, F. Lott

## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

The effect of the low level drag is to produce a low level wake, quite in agreement with the higher resolutions analysis

(Lott & Miller 1997)

$\theta=297\text{K}$ , 15 Nov 1990



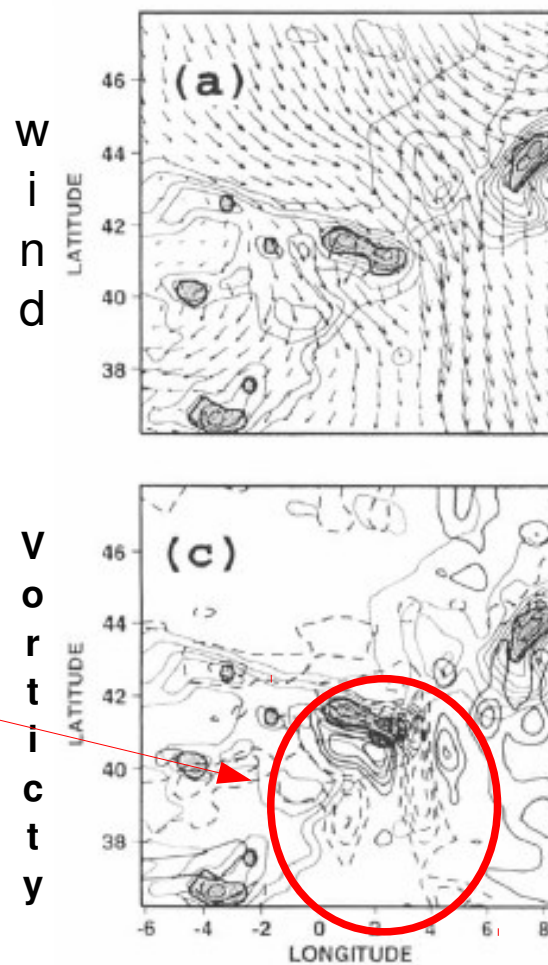
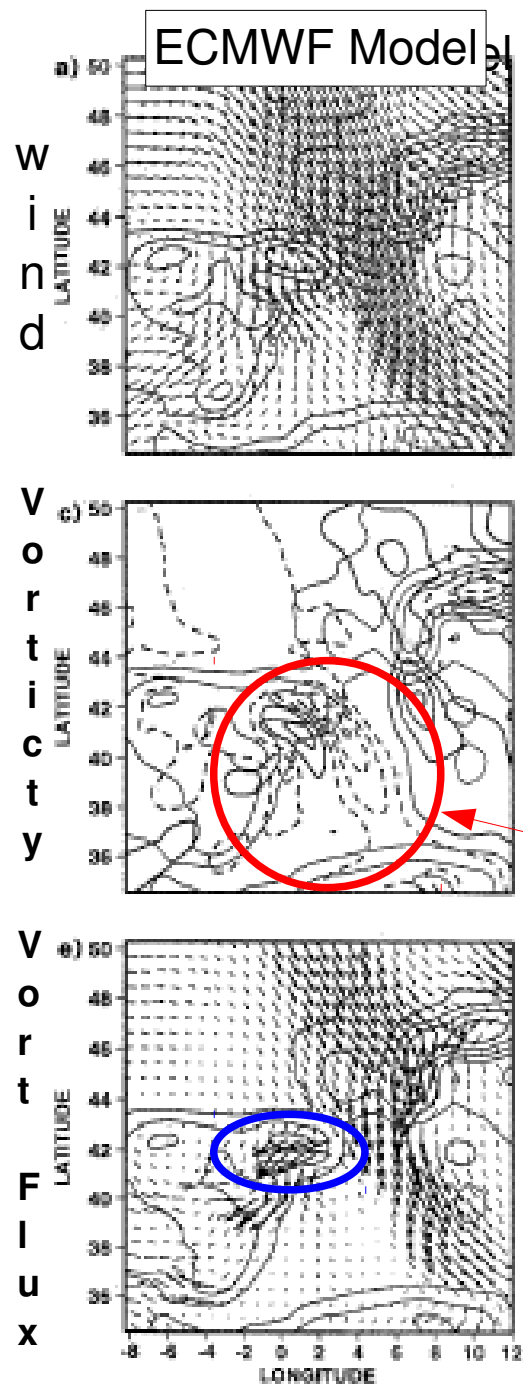
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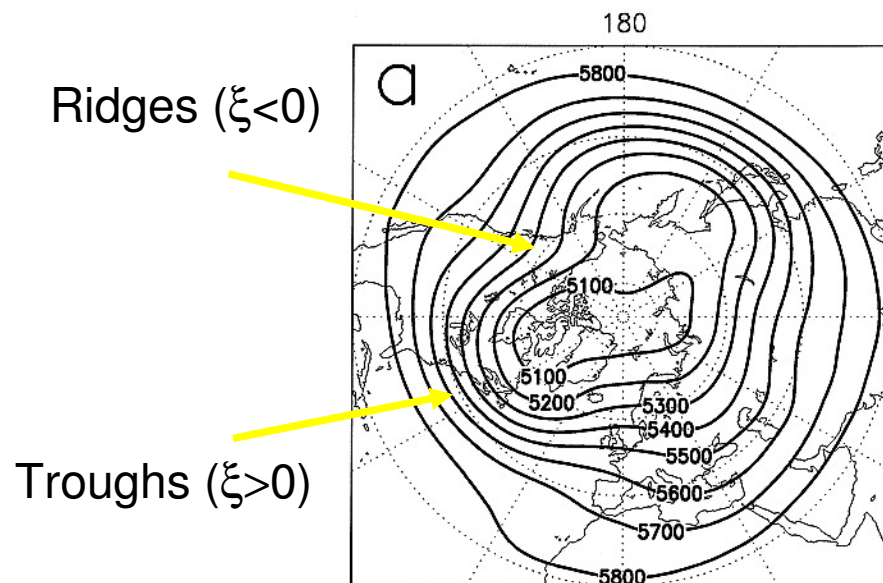
Non-advective PV flux  
due to low level drag

The scheme also improved the ECMWF forecast performances in terms of score, ect...

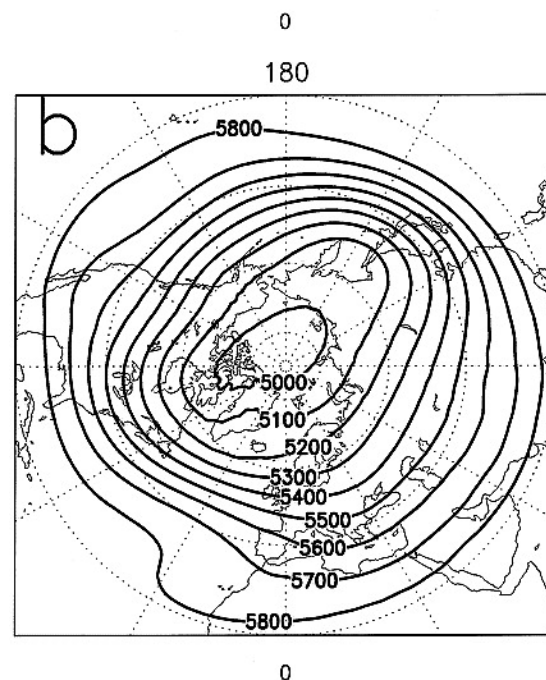
# Some orographic effects on the large-scale circulation, F. Lott

## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

Although the Lott and Miller (1997) SSO drag scheme improve the performances of the ECMWF forecasts (e.g. few days simulations), it does not improve much the structure of the steady planetary waves in climate simulations.



NCEP

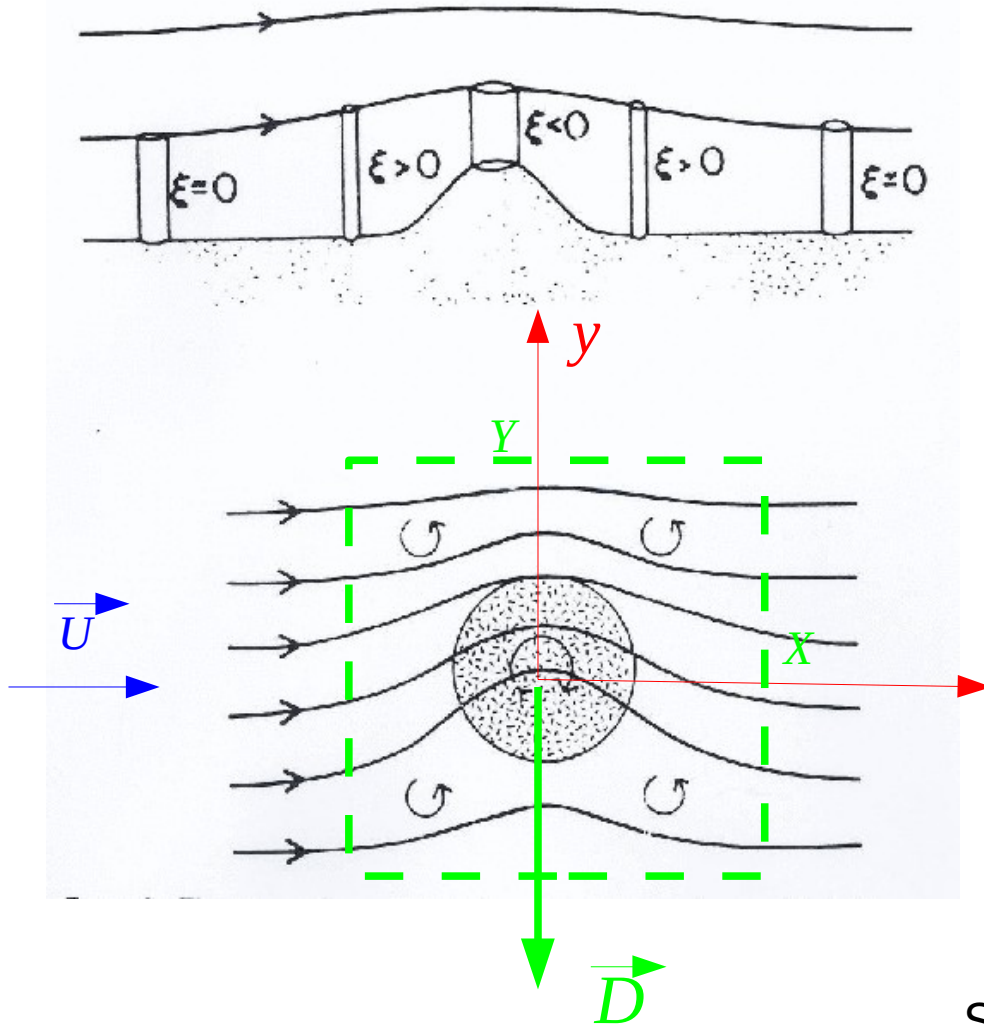


LMDz,  
no  
SSO  
Scheme

# Some orographic effects on the large-scale circulation, F. Lott

## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

To fix this problem remember that the forcing of the planetary waves by mountains is essentially due to vortex stretching ! A process that is associated to a large lift force.



During vortex stretching in the midlatitudes  
The mountain felt the background pressure meridional gradient in geostrophic equilibrium with the background wind :

$$P = P_s - f U y$$

$$\vec{D} = \frac{1}{4XY} \int_{-Y}^Y \int_{-X}^X + H \vec{\nabla} p \, dx \, dy$$

In the linear case:

$$\vec{D} = \vec{L} = -\rho f U \bar{H} \vec{y}$$

# Some orographic effects on the large-scale circulation, F. Lott

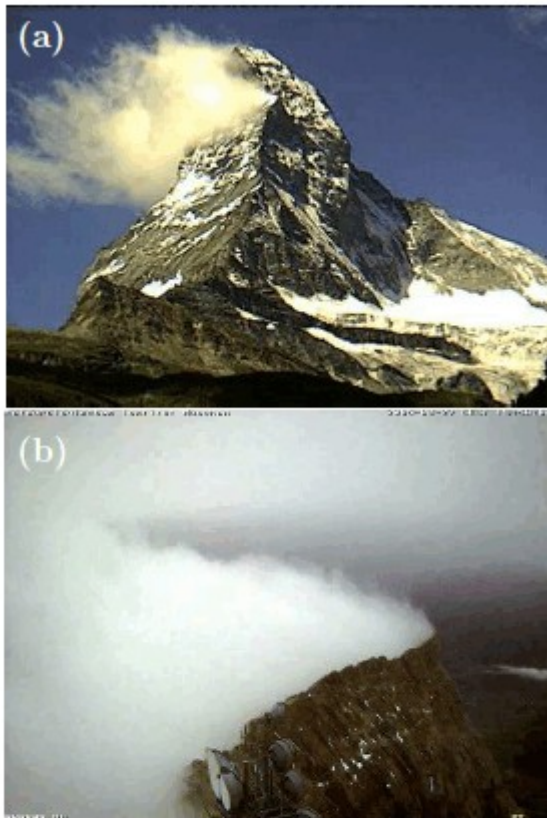
## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

Justification to enhance vortex stretching (almost the envelope orography concept)

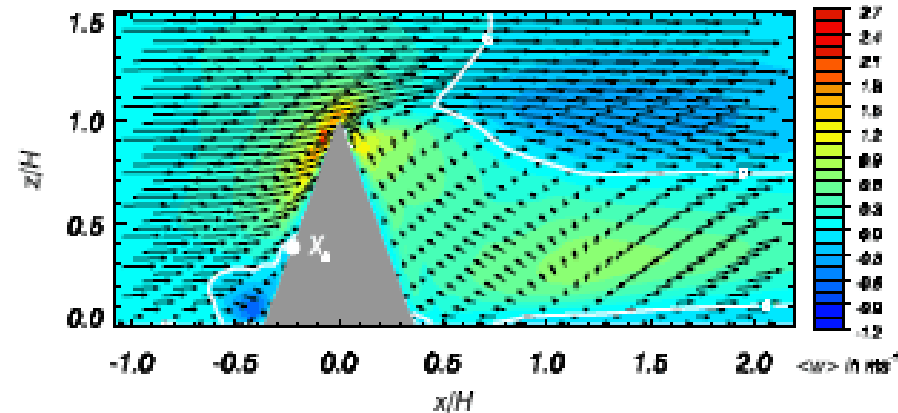
Neutral or Fast Flows :  $Fr^{-1} = \frac{N d}{U} \ll 1$

Nonlinear dynamics for  $S = h_{max}/d \sim 0(1)$

The dynamics at these scales explain the formation of the « banner » clouds alee of elevated and narrow mountain ridges (Reinert and Wirth, BLM 2009)



**Figure 1:** Banner clouds forming leeward of a pyramidal shaped mountain peak or a quasi 2D ridge. (a) Banner cloud at Matterhorn (Switzerland). (b) Banner cloud at Mount Zugspitze (Bavarian Alps). Mean flow from right to left.



Large eddy simulation

# Some orographic effects on the large-scale circulation, F. Lott

## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

A solution can be to higher up the mountains elevation by a fraction of its variance,  
This the concept of envelope orography (Wallace et al. 1983)

An other is to keep a mean orography and to apply the missing forces directly  
in the models levels that intersect the mountain peaks (Lott 1999).

Lift parameter of order 1 ( $C_l$ )

$$D_l = -\rho C_l f \left( \frac{H_{max} - z}{H_{max} - H_{mean}} \right) \vec{k} \times \vec{U}$$

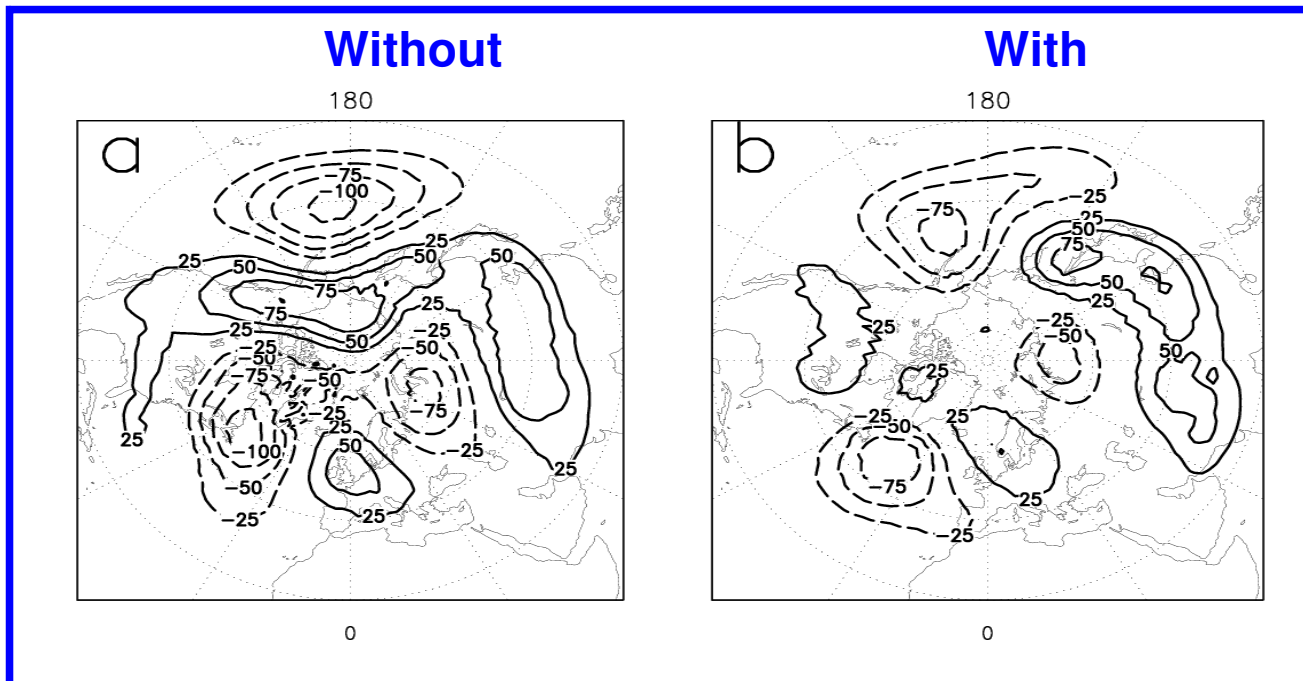
When integrated from  $H_{mean}$  to  $H_{max}$  this drag gives the Lift stress if  $C_l = 2$

# Some orographic effects on the large-scale circulation, F. Lott

## 2) Impact and limitations of the Lott & Miller (1997) scheme: drag versus lift forces

Simulation with mean explicit orography without and with the subgrid scale orographic drag scheme including enhanced lift

Error maps between the Geopotential height at 700hPa,  
NCEP reanalysis minus LMDz  
Winter months out of a 10years long simulation



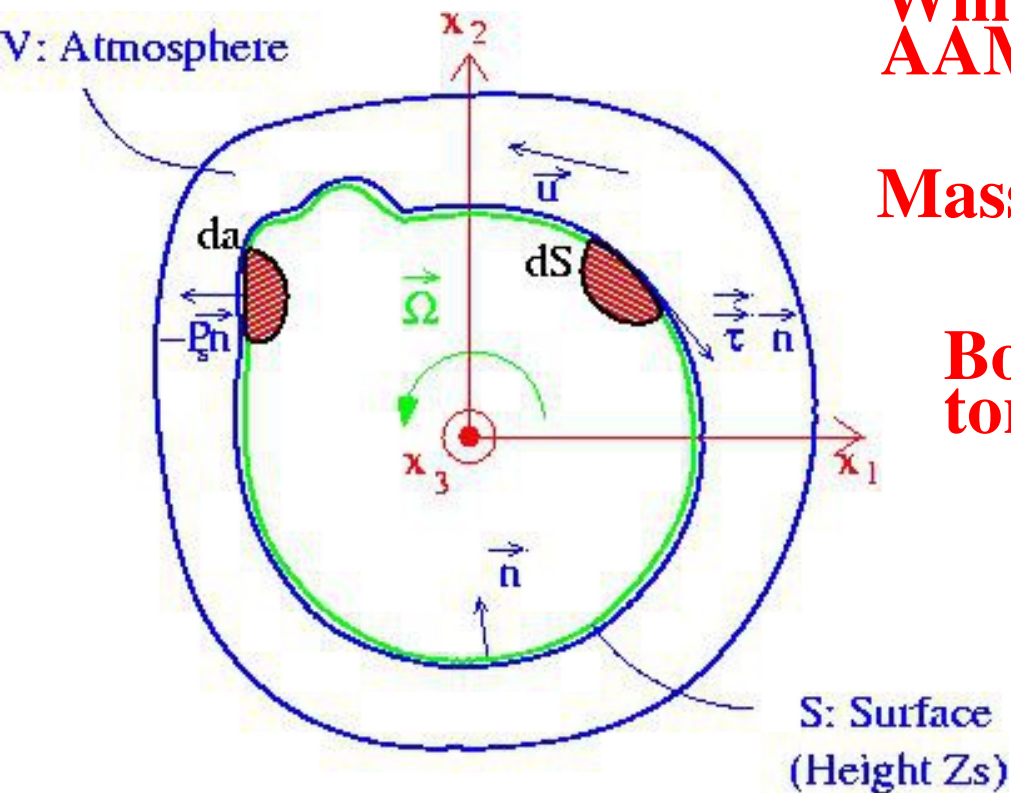


# Some orographic effects on the large-scale circulation, F. Lott

## 3) Mountain torques and low frequency variability

### Atmospheric Angular Momentum (AAM) Budget:

$$\frac{d}{dt}(M_R + M_O) = T_M + T_B$$



**Wind  
AAM:**

$$M_R = \int_V \rho r \cos \theta u dV$$

**Mass AAM:**

$$M_O = \int_V \rho \Omega r^2 \cos^2 \theta dV$$

**Boundary layer  
torque:**

$$T_B = \int_S r \cos \theta \tau dS$$

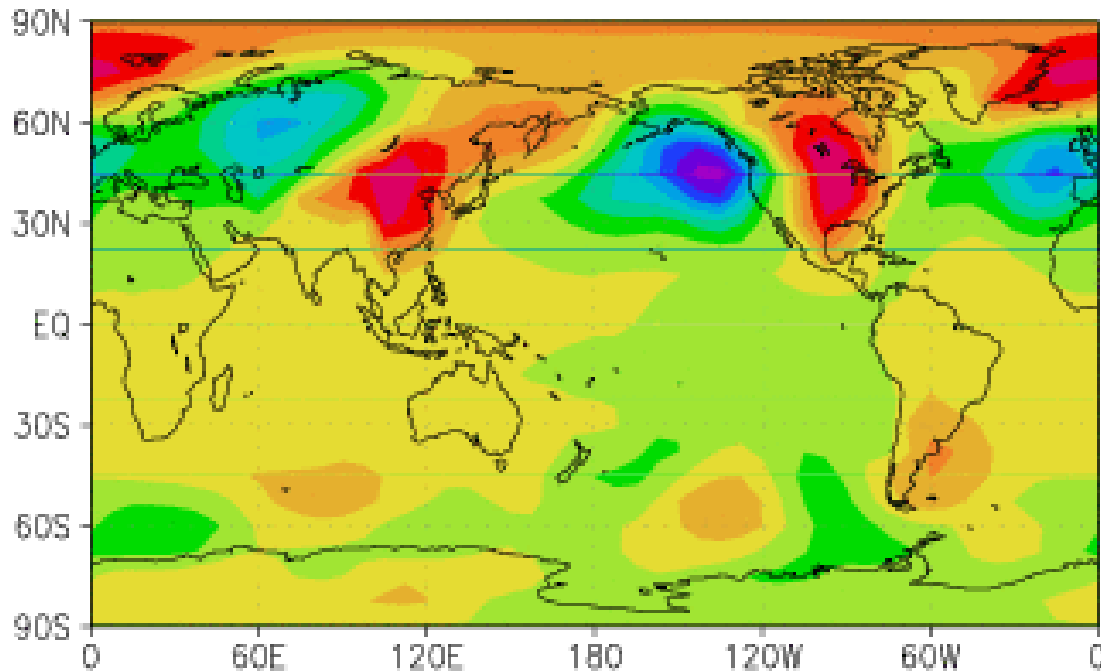
**Mountain  
torque:**

$$T_M = - \int_S P_s \frac{\partial Z_s}{\partial \lambda} dS$$

Budget well closed with the NCEP Data (1958-2003):  $r(dM/dt, T) = 0.87$   
 Almost perfectly with the LMDz model (1970-2000):  $r(dM/dt, T) = 0.97$

**Some orographic effects on the large-scale circulation, F. Lott**  
**3) Mountain torques and low frequency variability**

**Composite of Sea Level Pressure (SLP) keyed to  $T_M$ :**



$$T_M = - \int_S P_s \frac{\partial Z_s}{\partial \lambda} dS$$

**Regression of the SLP  
variations on the mountain  
torque ( $T_M$ ) variations**

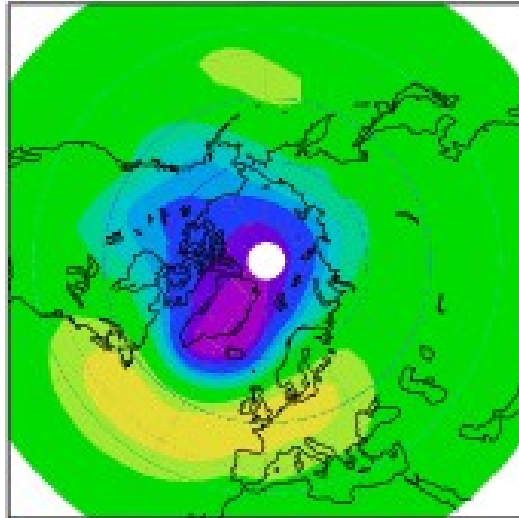
**DJF, NCEP data**

# Some orographic effects on the large-scale circulation, F. Lott

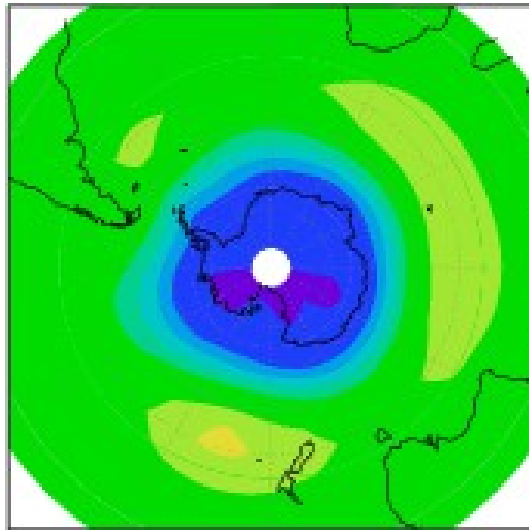
## 3) Mountain torques and low frequency variability

### NCEP ANA Arctic and Antarctic Oscillation

AO



AAO



- **AO and the AAO: first EOF of SLP winter variability for the NH and the SH respectively**
- The AO and the AAO first correspond here to reinforcement of the mid-latitude jet-stream (model and reanalysis)

Lott, Goudard, and Martin (Model data, JGR 2005)

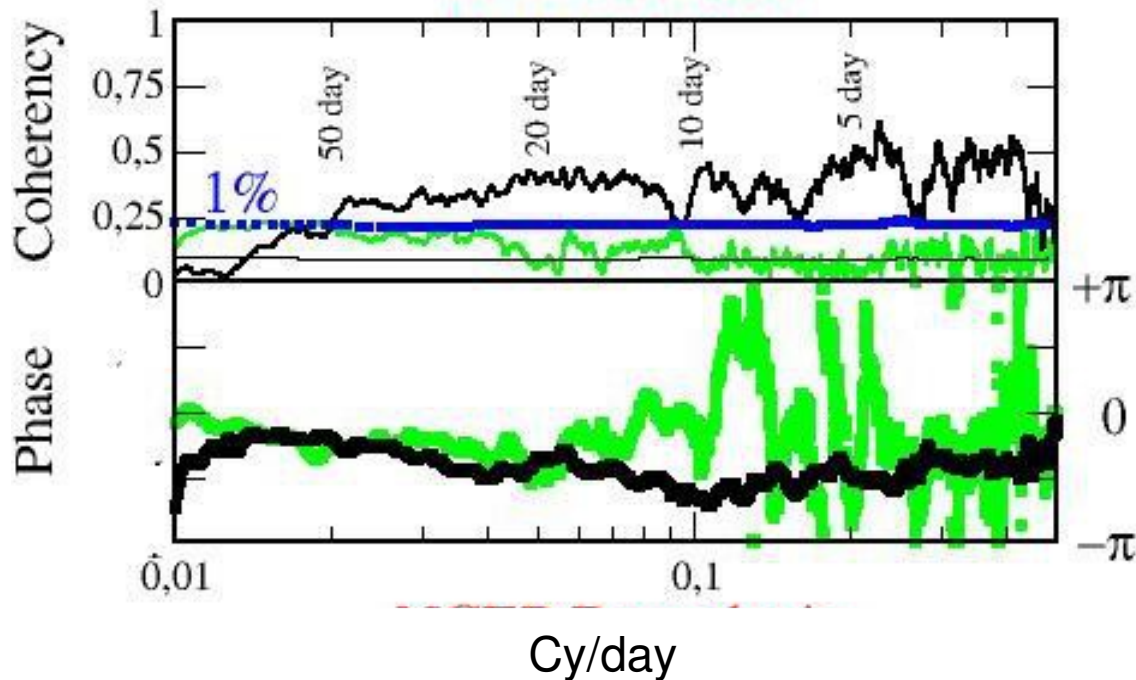
see also: Lott, Robertson, and Ghil (NCEP data, GRL 2001, JAS 2004a, b)

# Some orographic effects on the large-scale circulation, F. Lott

## 3) Mountain torques and low frequency variability

Mountain Torque, the AO and the AAO, Co-Spectral Analysis  
(NCEP Reanalysis and LMDz GCM data)

Cross Spectra:  $T_M$  and AO  
 $T_M$  and AAO  
LMDz Model



In the LMDz GCM and in the reanalysis, the mountain torque is in significant lead-lag quadrature with the AO

It is also important to contrast the Southern Hemisphere (AAO) and the Northern Hemisphere (AO), because there are much less mountains in the SH

The case of the AAO can be viewed as a natural null hypothesis of our results for the AO

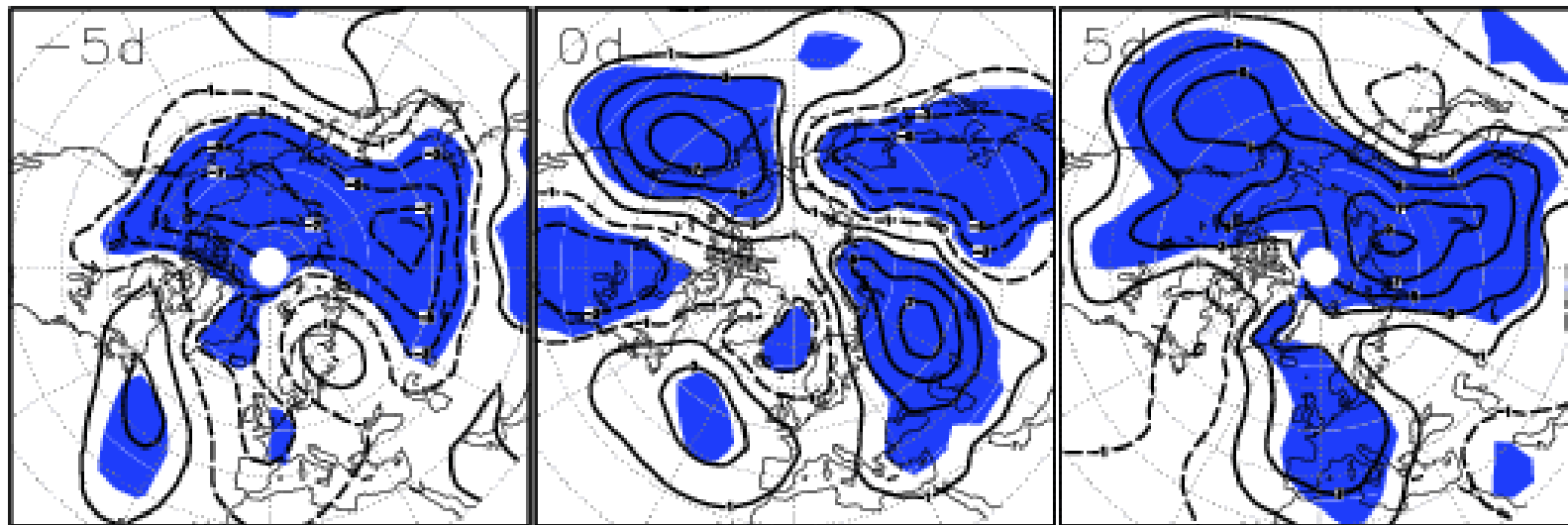
# Some orographic effects on the large-scale circulation, F. Lott

## 3) Mountain torques and low frequency variability

### Composite analysis

All data are filtered to retain the 10-150 day band (IS), 80 maps per composites.

SLP MAPS from the LMDz-GCM, keyed to minima in the IS  $T_M$



At 0day lag, the SLP composite presents a dipolar structure over the Rockies and the Himalayas corresponding to a negative mountain torque

At negative lag the circulation over the NH is predominantly anticyclonic. It is predominantly cyclonic at positive lag: the negative mountain torque has decelerated the flow significantly.

The maps at -5 day lag and +5 day lag project somehow onto the AO.

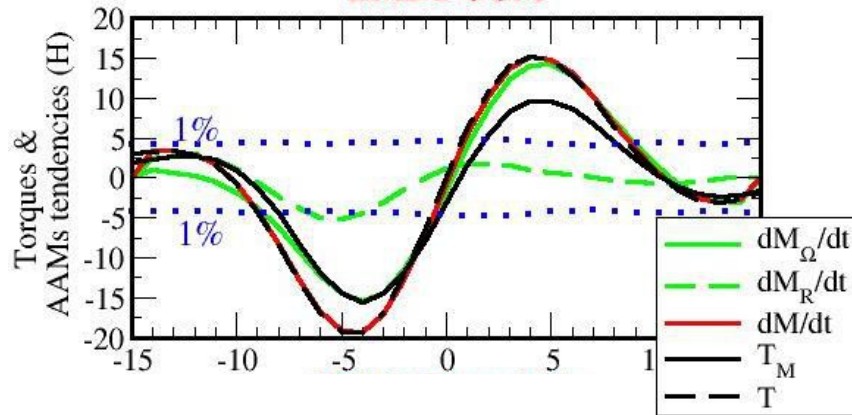
# Some orographic effects on the large-scale circulation, F. Lott

## 3) Mountain torques and low frequency variability

### Composite analysis

Composite AAM budget during the AO  
Intraseasonal (10-150 day) band

LMDz GCM



During AO cycles the AAM ( $M$ ) varies, and its variations are in good part driven by the mountain torque ( $T_M$ ).

The variations in  $M$  are essentially due to the mass AAM

( $M_O$ ) (the relative AAM  $M_R$  varies little).

During cycles in AAO the AAM ( $M$ ) varies little.

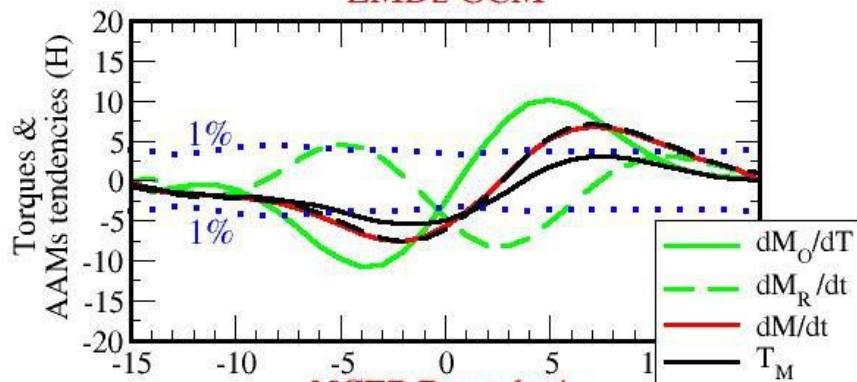
The mass AAM ( $M_O$ ) varies near as much as during the AO.

In this case, the changes in mass AAM ( $M_O$ ) are equilibrated by changes of opposite sign in wind AAM ( $M_R$ ).

The mountain torque ( $T_M$ ) does not play a substantial role.

Composite AAM budget during the AAO  
Intraseasonal (10-150 day) band

LMDz GCM

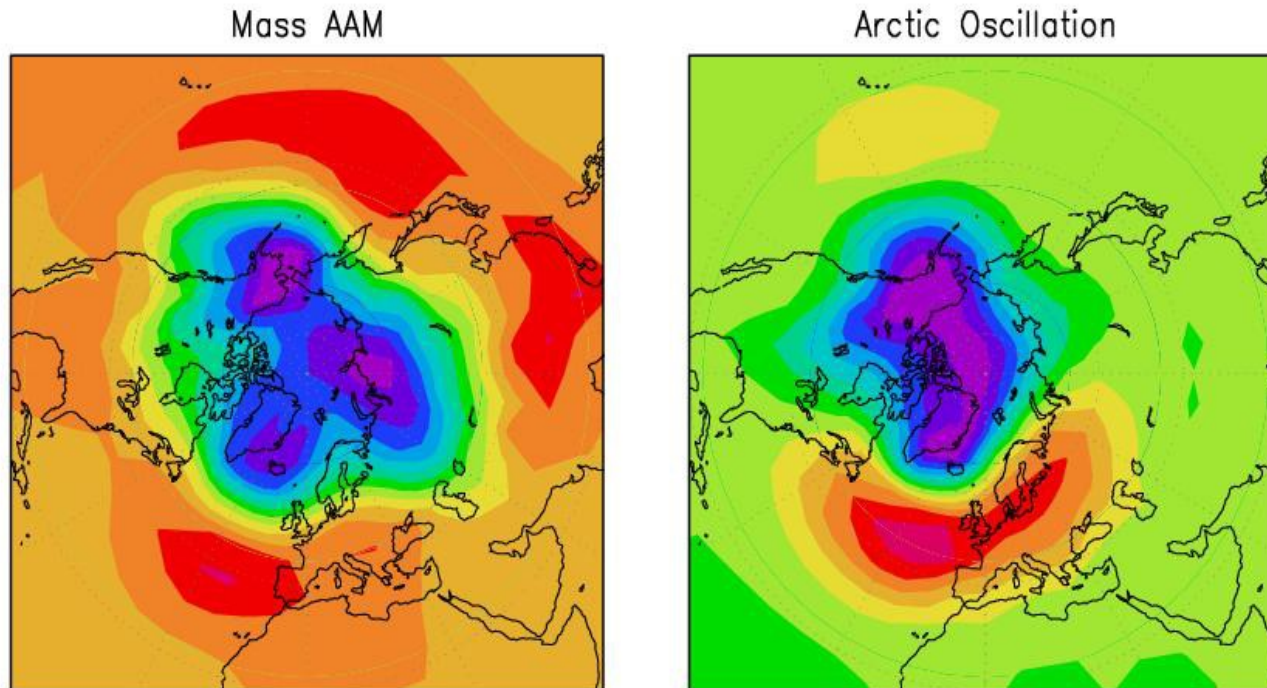


# Some orographic effects on the large-scale circulation, F. Lott

## 3) Mountain torques and low frequency variability

The role of  $M_0$  in the relationship between  $T_M$  and the AO?

Mass AAM ( $M_0$ ) and the Arctic Oscillation (AO)



DJF Regression of sea level pressure onto  
 $M_0$  (left) and the AO (right).

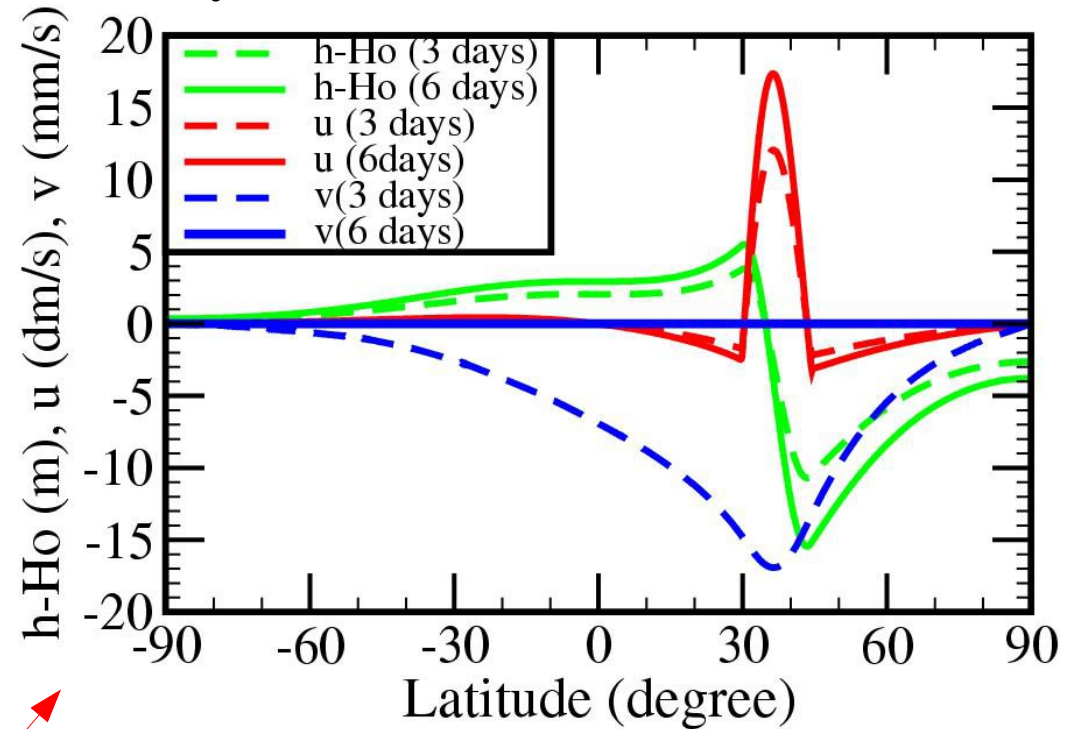
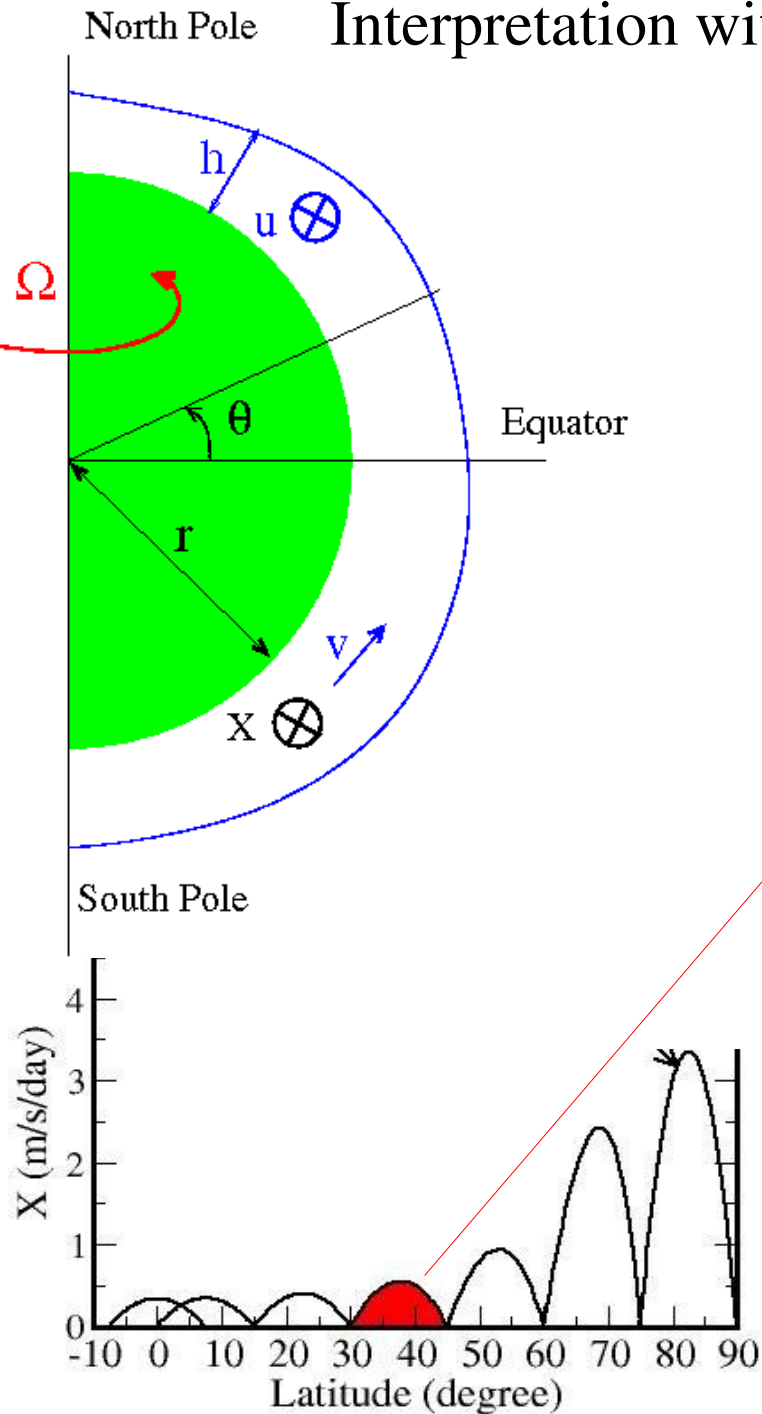
The good correlation between the two maps is at the origin of the relationships between the mountain torque ( $T_M$ ) and the AO

Lott and d'Andrea (QJ 2005)

# Some orographic effects on the large-scale circulation, F. Lott

## 3) Mountain torques and low frequency variability

Interpretation with an axi-symmetric shallow water model



**The force  $X$  ( $>0$ ) is equilibrated by a meridional ageostrophic velocity  $v$  ( $<0$ ) via the Coriolis torque.**  
 **$v$  transports mass from the polar latitude towards the Equator ( $h < 0$ , north of  $40^\circ N$ ,  $h > 0$  south of  $40^\circ N$ )**  
**Mass AAM  $> 0!$ .**

**$u$  is in geostrophic balance with  $h$  WIND AAM  $> 0$**   
**The relative amplitude of the mass and wind AAM depends on the latitude of the force**



# Some orographic effects on the large-scale circulation, F. Lott

## 3) Mountain torques and low frequency variability

Extension : Relations with the local and global weather regimes also exist :  
Pacific and Atlantic Blockings (Lott Robertson Ghil 2004b)

Limitations : Almost no evaluation of the parameterized forces. For reanalysis in  
Lott Ghil Roberstson (2001, 2004a, b) this is because the data do not close well enough the  
AAM budget.

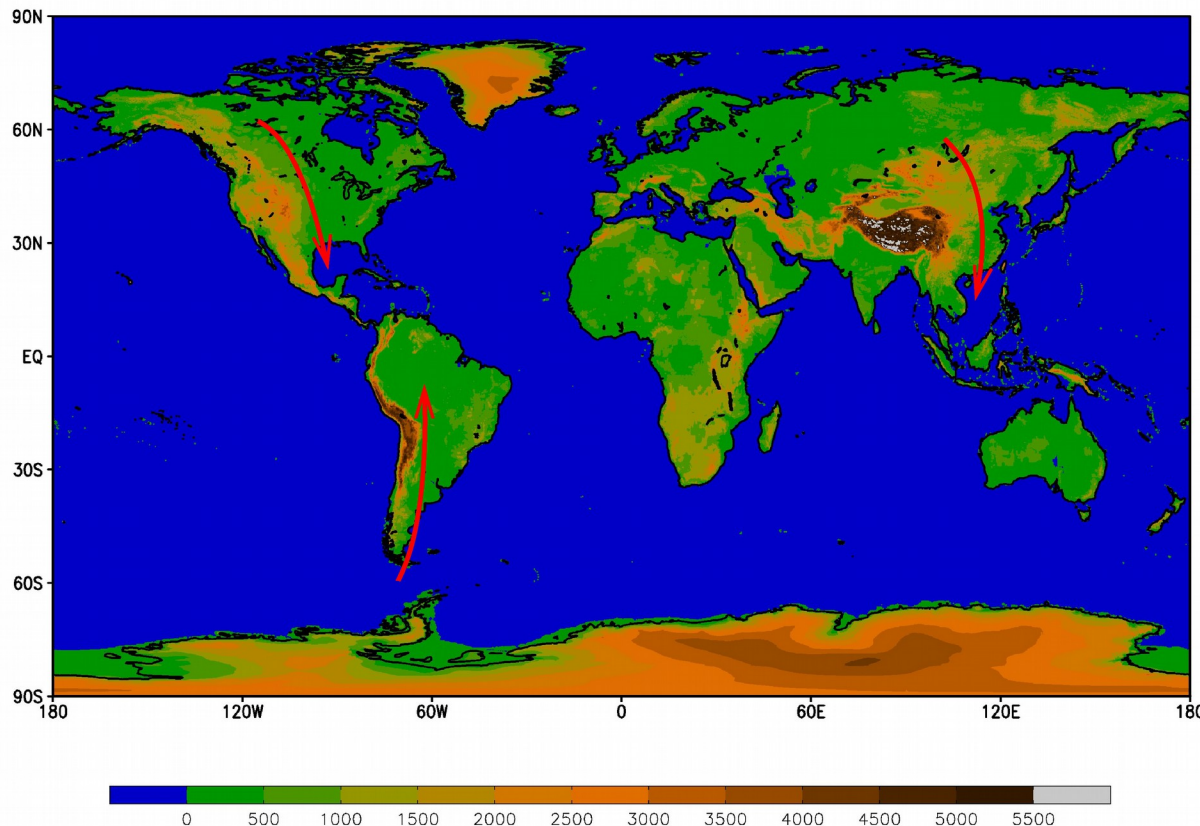
For the model datas in Lott Goudard Martin ( 2005), it plays a small rôle longer simulations  
are needed.

But more indirect more effect of the parameterized torques has not been analysed.  
It can be done by modifications of the SSO scheme as in Sandu et al. ~(2015)

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

Not cyclogenesis but **cold surges**:  
regional scale phenomena present on 3 continents



*World topography and location of the cold surges  
(adapted by S. Mailler from Garreaud, 2000)*

- Dominant features of the winter climate in north and south America, and in east-Asia
- (not so ) Recent examples :
- Cold wave in China (Jan.-Feb. 2008)
- 
- Cold wave in the southern United States (jan. 2010)
- 
- Cold wave in south America (Jun. 2010)

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

Equatorial mountain torques can be viewed as dynamical forcing of the atmospheric motion?

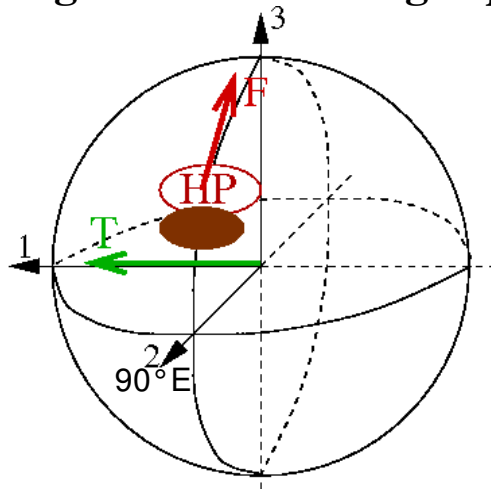
$$\frac{d(\overline{M}_\Omega + \overline{M}_r)}{dt} + \overline{\Omega} \times \overline{M}_r = \overline{T}_M + \overline{T}_f$$

$\overline{M}_r$	Wind angular momentum	$\overline{T}_f$	Parameterized torque
$\overline{M}_\Omega$	Mass angular momentum	$\overline{T}_M$	Pressure torque

### Configurations creating Equatorial Mountain Torques (Himalayas)

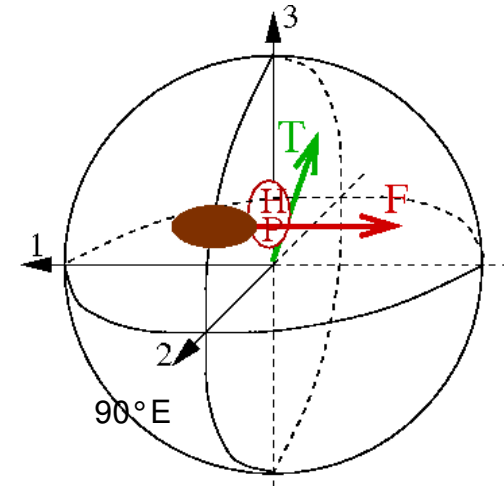
$T_{M,1} > 0$

Greenwich axis



$T_{M,2} < 0$   
( $T_{M,3} > 0$ )

Greenwich axis



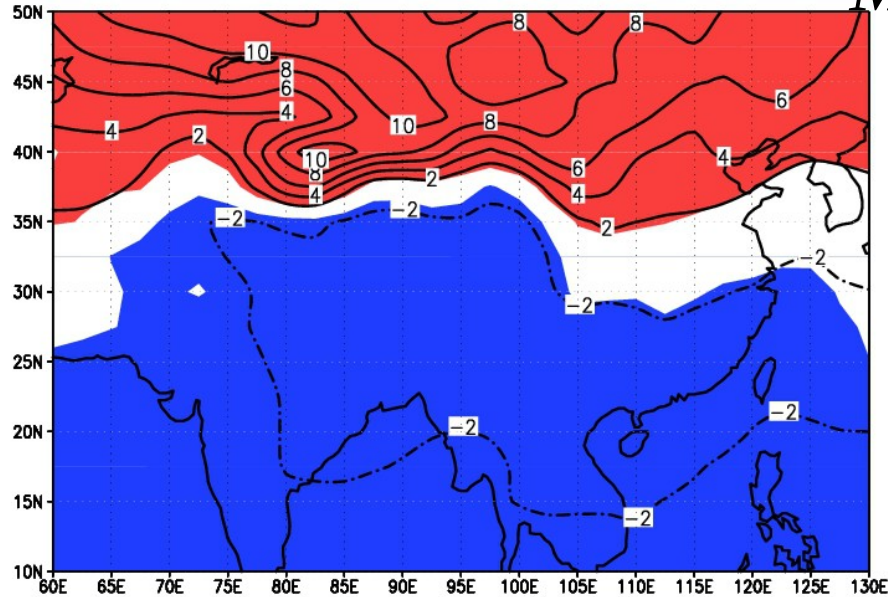
**F**: reactive force applied by the mountain on the atmosphere **T**: Torque applied by the mountain on the atmosphere

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

$T_{M1}^{TP}$  Tibetan Plateau

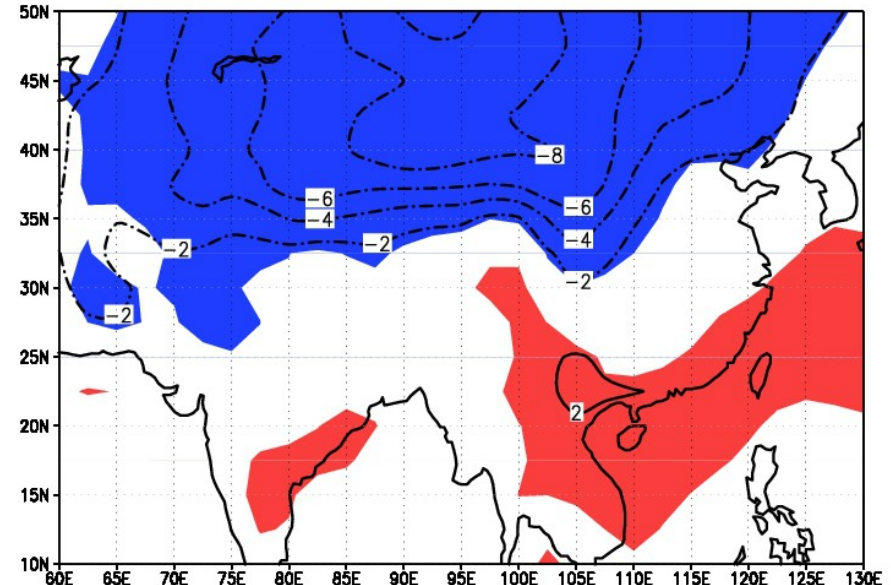
Composite keyed on



**Surface pressure**

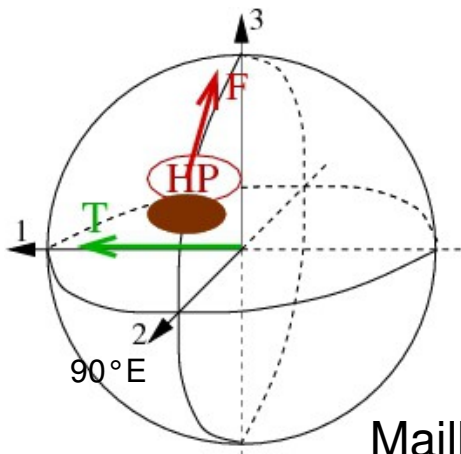
*CI : 2hPa, 99% levels are shaded*

Day 0



**Surface T**

*CI : 1K, 99% significance levels shaded*



Situation corresponding to positive peaks of :  $T_{M1}^{TP}$

- High pressure and low temperature in Siberia, corresponding to a strengthening of the Siberian High
- Low pressure in the subtropics

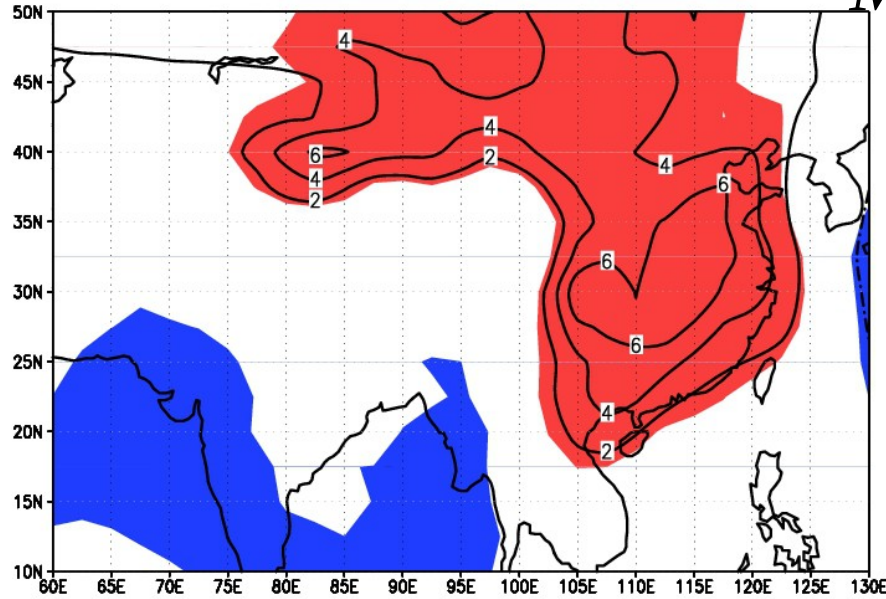
Mailler and Lott (Reanalysis JAS 2009, LMDz model MWR 2015)

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

Composite keyed on

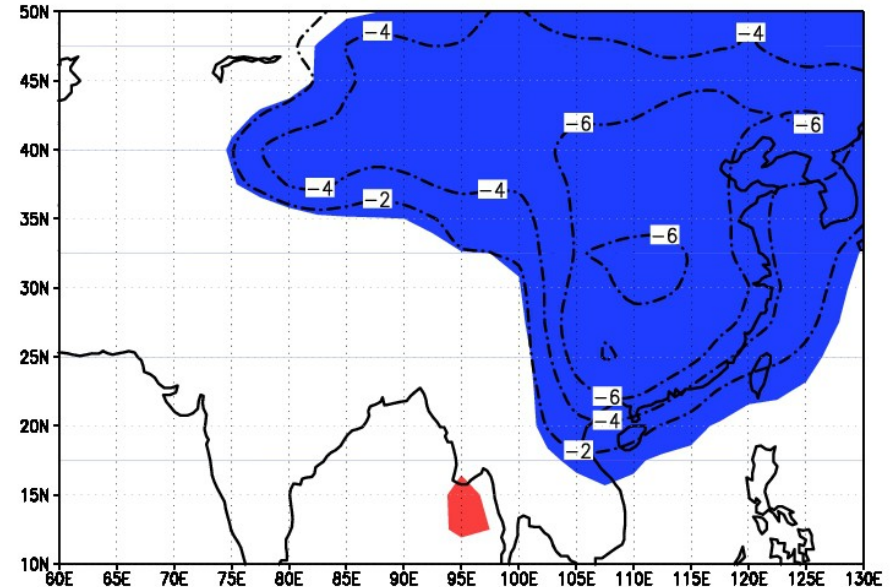
$T_{M1}^{TP}$  Tibetan Plateau



**Surface pressure**

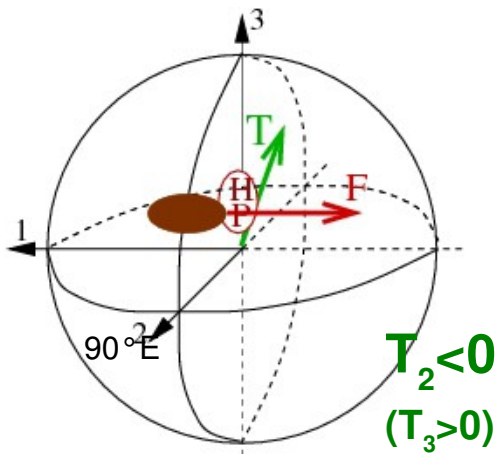
*CI : 2hPa, 99% levels are shaded*

Day 2



**Surface T**

*CI : 1K, 99% significance levels shaded*



Situation two days after positive peaks of  $T_{M1}^{TP}$

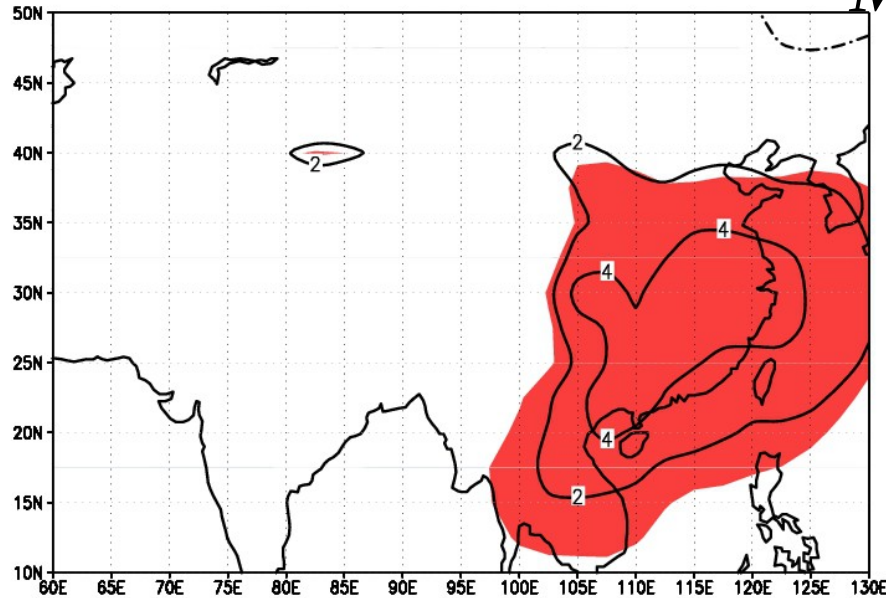
- Significant high pressure and low temperature in eastern China
- Extends to the subtropics
- Typical of east-asian cold surges
- High pressure east of the TP explains the negative  $T_{M2}^{TP}$

Mailler and Lott (Reanalysis JAS 2009, LMDz model MWR 2015)

# Some orographic effects on the large-scale circulation, F. Lott

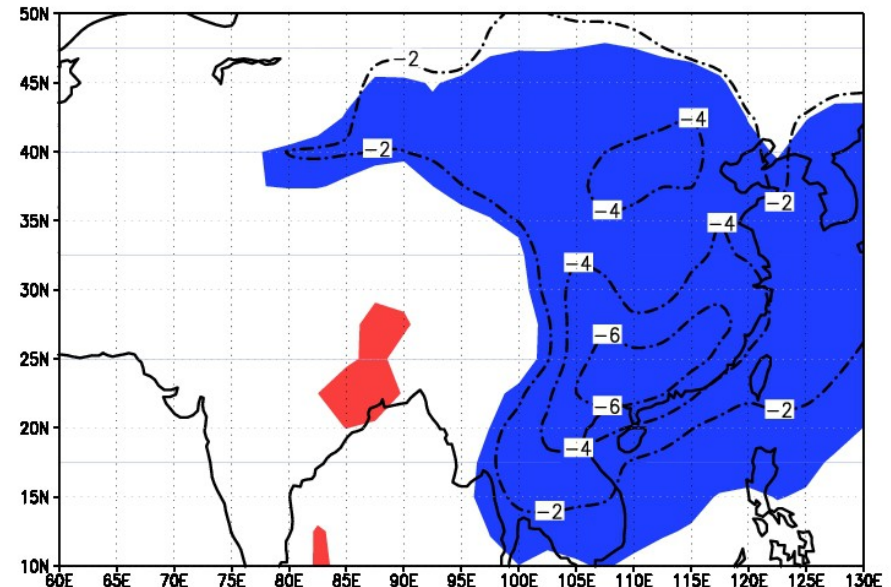
## 4) Mountain torques and synoptic scale flows

Composite keyed on  $T_{M1}^{TP}$  Tibetan Plateau

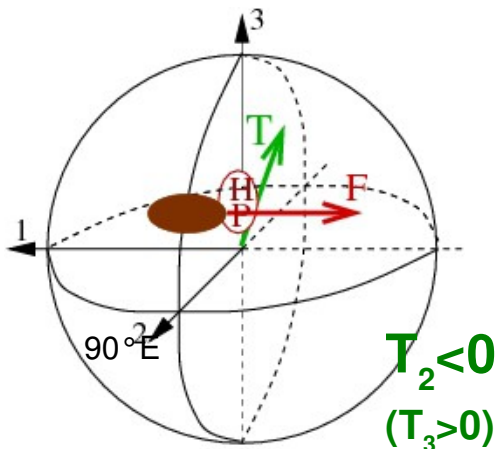


**Surface pressure**  
*CI : 2hPa, 99% levels are shaded*

Day 4



**Surface T**  
*CI : 1K, 99% significance levels shaded*



Situation 4 days after positive peaks of  $T_{M1}^{TP}$

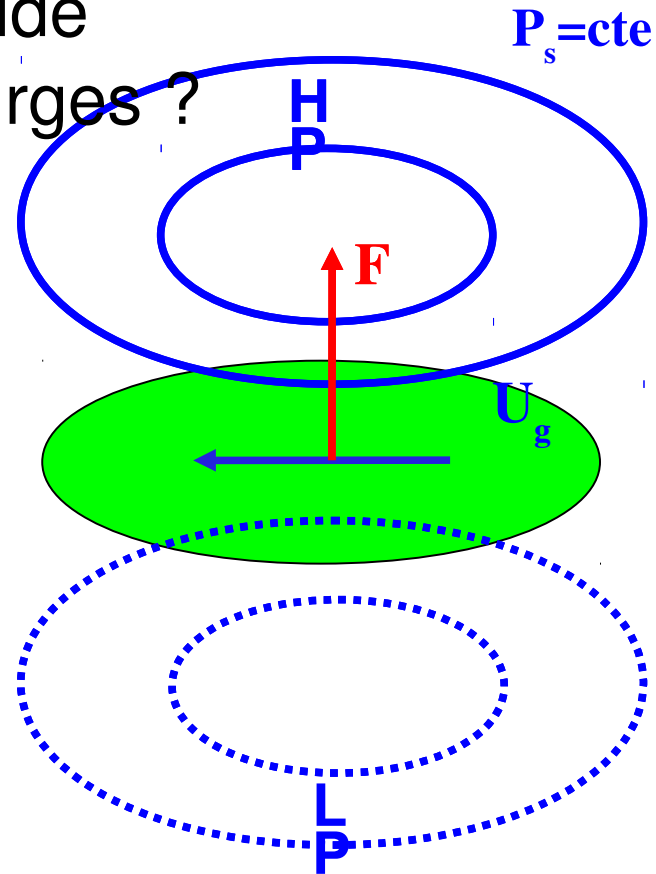
- Cold surge is mature and strongly affects tropical regions
- Anomalies of surface temperature and surface pressure reach 4 hPa and 6K respectively

*Impact on winter East Asia winter monsoon : Mailler and Lott (GRL 2009)*

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

How a transverse torque  
of the right amplitude  
can produced Cold surges ?

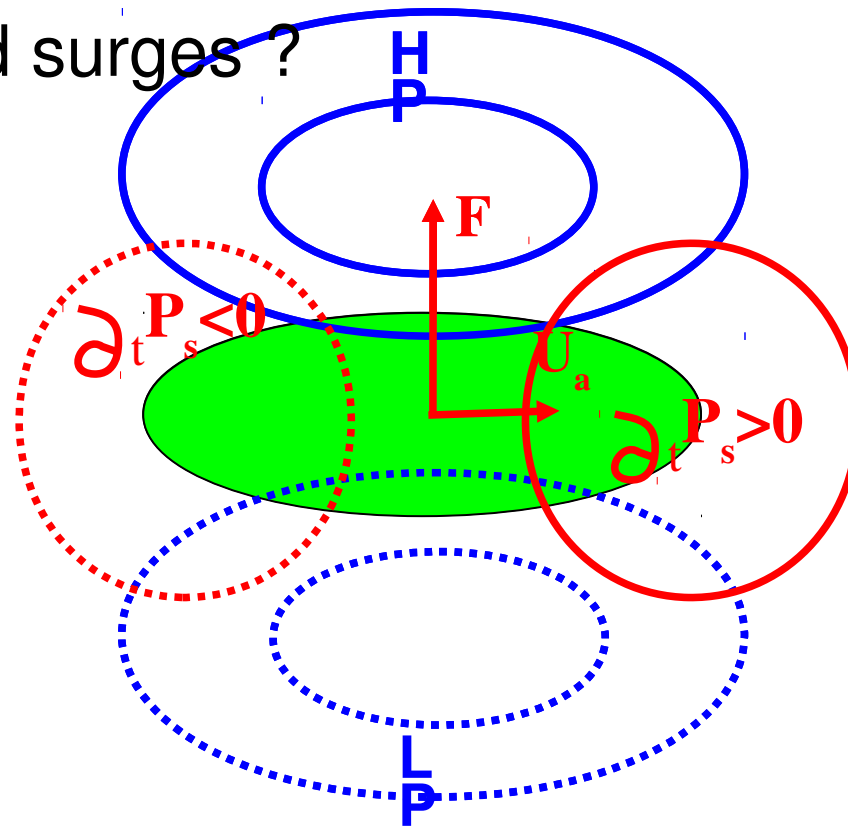


- $U_g$ : Geostrophic wind
- $F$ : Reactive force on the flow
- Mountain is in green
- $P_s$ : Surface pressure

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

How a transverse torque  
of the right amplitude  
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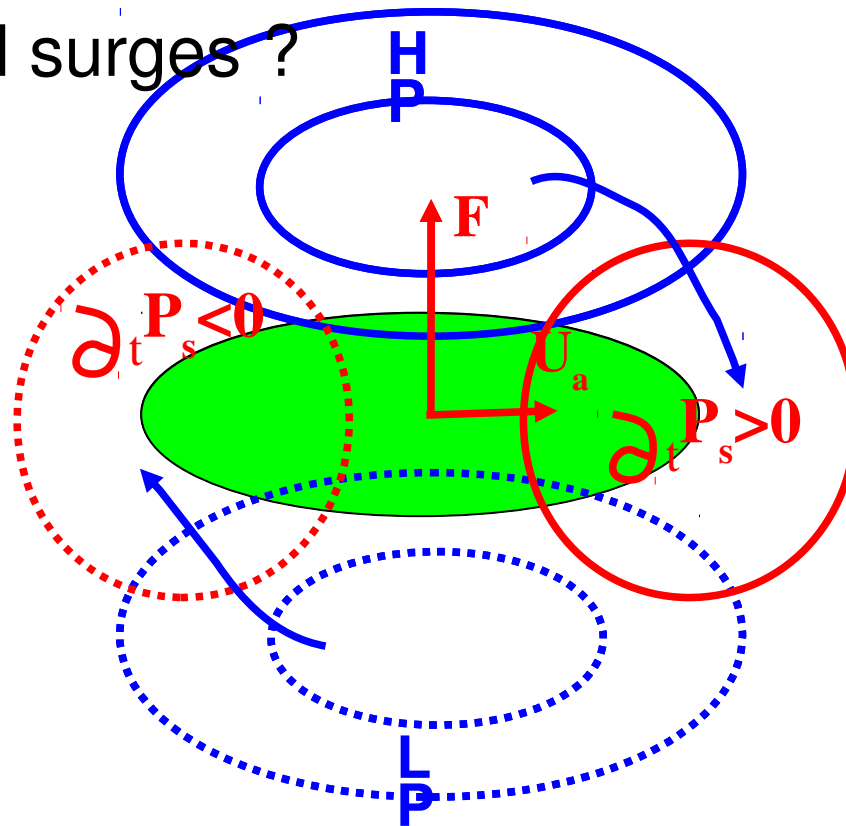
$U_a$  ageostrophic wind:  
Equilibrate  $F$  via Coriolis  
Transport mass from left to the right here



# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

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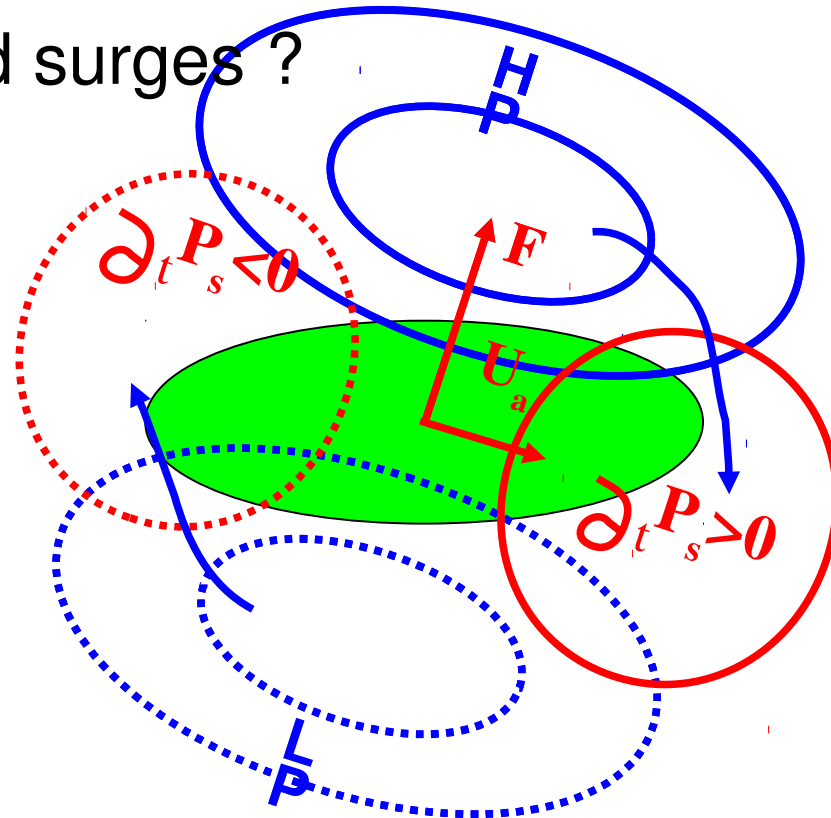


$U_a$  ageostrophic wind:  
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# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

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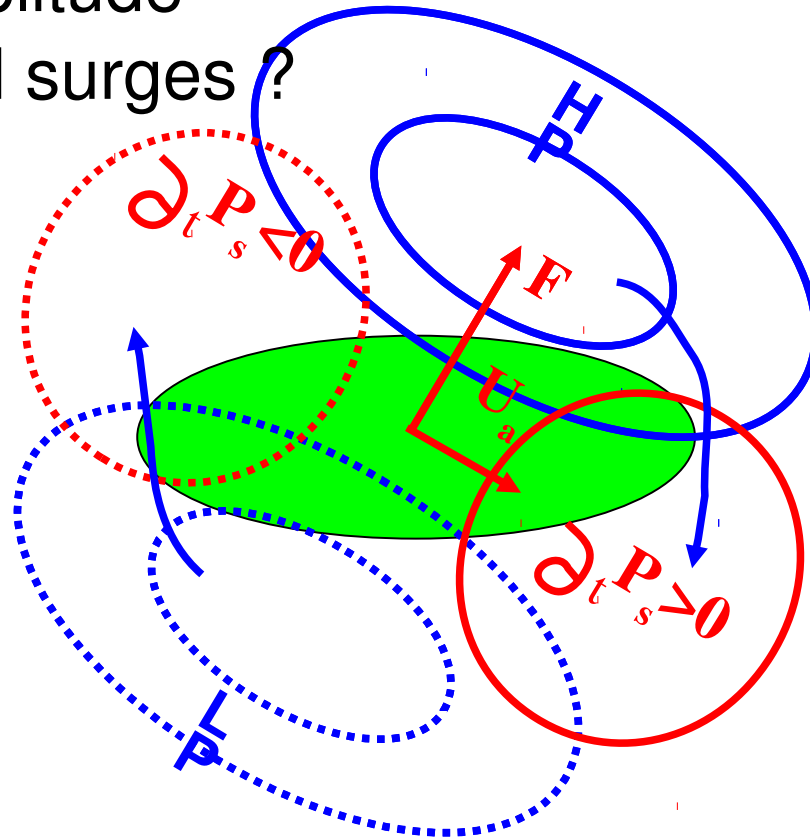


$\mathbf{U}_a$  ageostrophic wind:  
Equilibrate  $\mathbf{F}$  via Coriolis  
Transport mass from left to the right here

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

How a transverse torque of the right amplitude can produced Cold surges ?



Here we treat a pressure force on the same footing as a parameterized Force

Can be justified in the QG case.

Useful to tune parameterization ?

$U_a$  ageostrophic wind:  
Equilibrate  $F$  via Coriolis  
Transport mass from left to the right here

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Mountain torques and synoptic scale flows

### Contribution of the parameterized torques

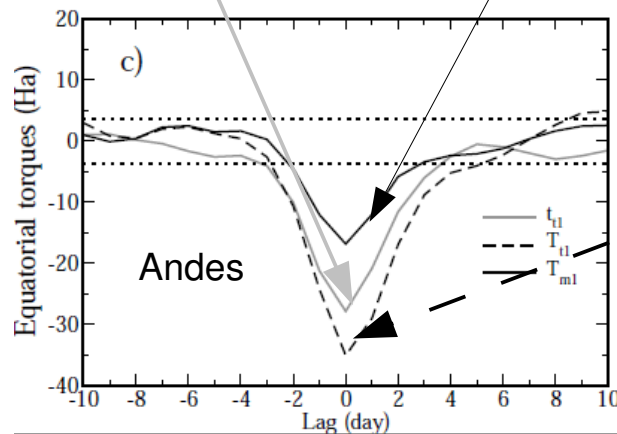
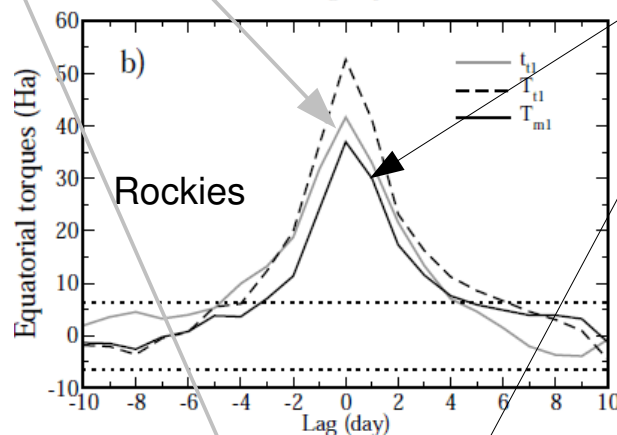
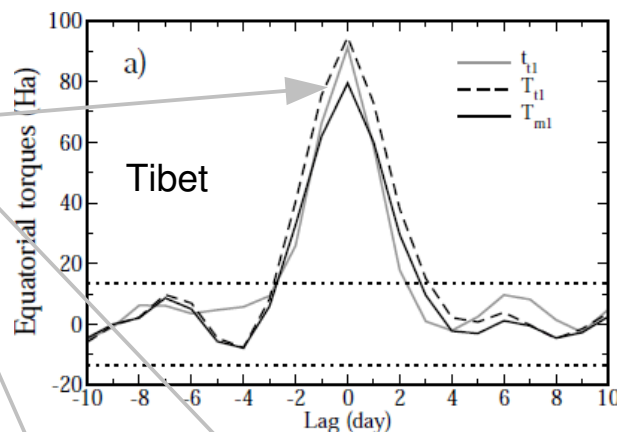
Mountain torque  
when SSO forces  
are nul

Mountain torque  
With SSO forces

SSO effects on cold surges  
are quite small :

There is a compensation between  
parameterized and  
resolved torques.

Composites of  $T_{M1}$ ,  
during cold surges  
with and without  
SSO forces



SSO + Pressure  
torques

# Some orographic effects on the large-scale circulation, F. Lott

## 4) Perspectives

- Reconciliate SSO schemes and boundary layer schemes  
(They often do the same things at low level)
- How to treat large slope effects in global model (air isolation in valleys rather than in an ad hoc way like envelope orography or enhanced lift)
- Make SSO schemes more stochastic to treat better a large ensemble of waves  
(3D-Critical levels and trapped waves need that, if significant....)
- Re-evaluate impacts of SSO on planetary waves and LFV (Sandu et al. 2015))  
Including interaction with the resolved drag (Van Nieckerk et al. 2016)
- Better understand the upscale route of orographic effects :  
mesoscale->synoptic->planetary->zonal mean  
(Even using theory : Martin and Lott (2007) shows that GWs  
reduce lee cyclogenesis in the Eady model forced by orography)