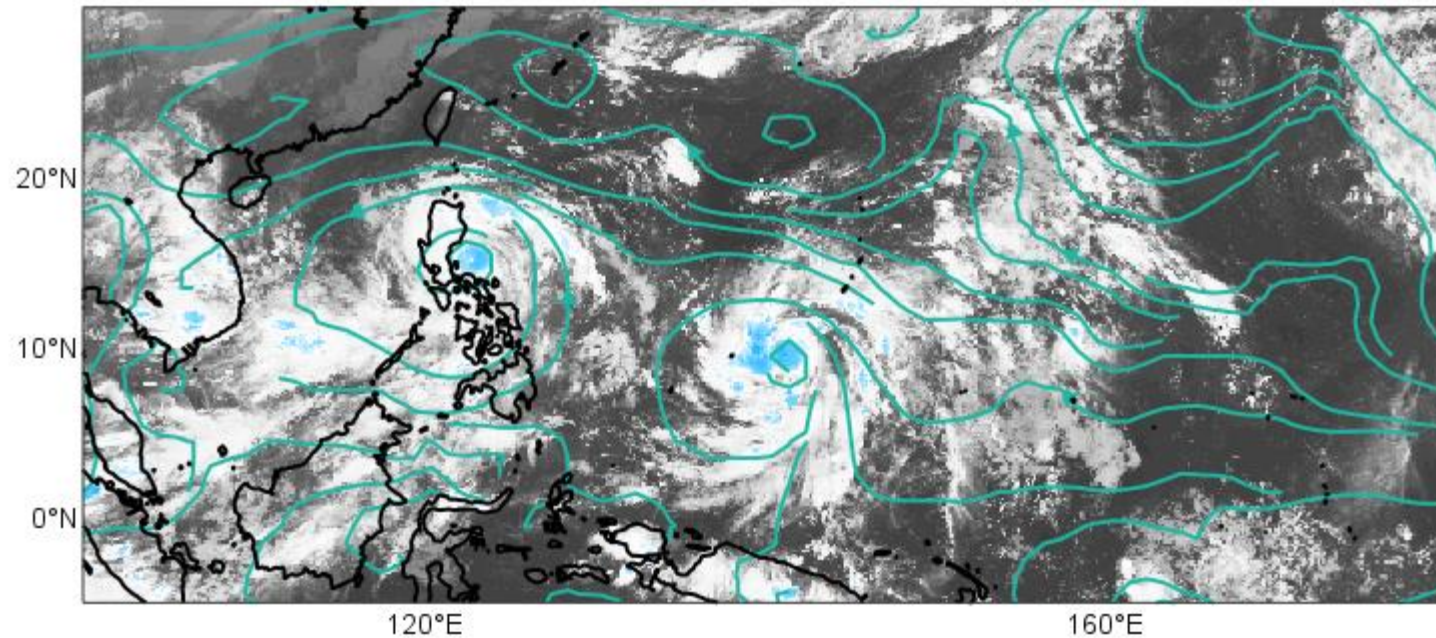


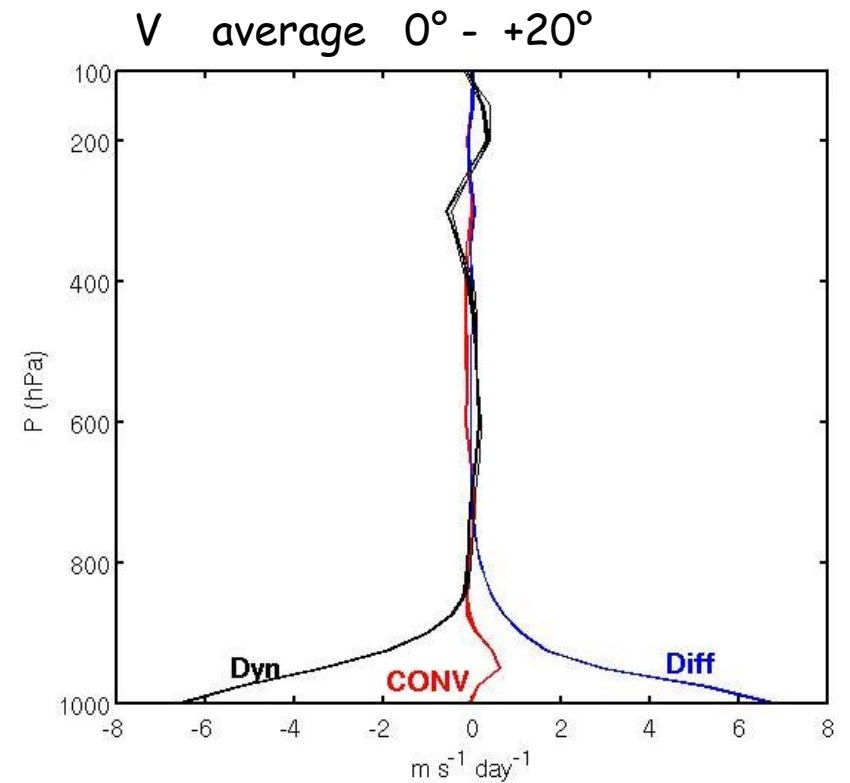
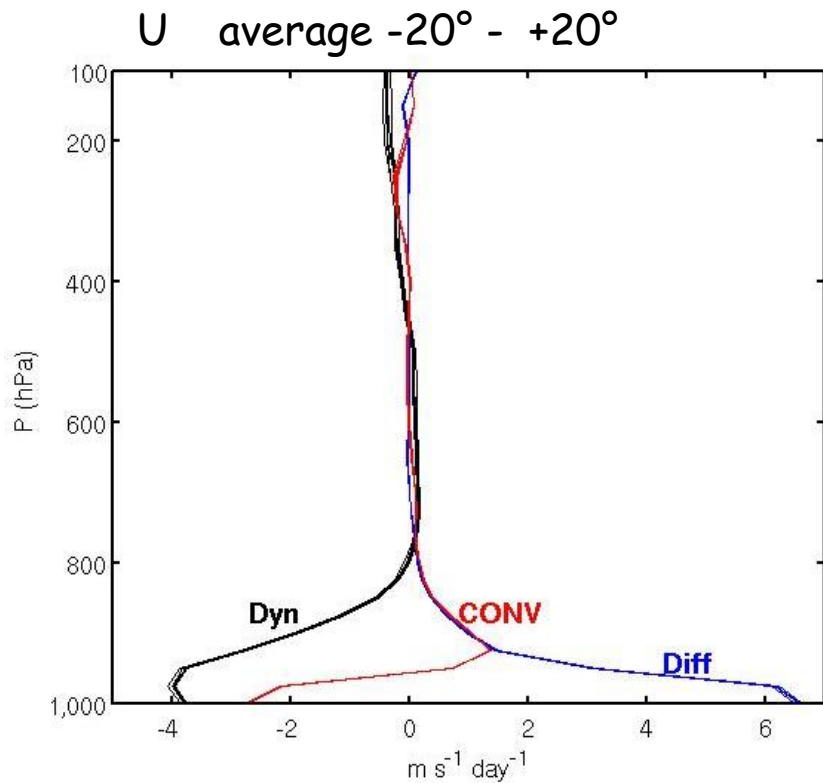
# “Winds of convection”



Peter Bechtold with special thanks to Martin Steinheimer , Michael Hermann&King-Fai Li

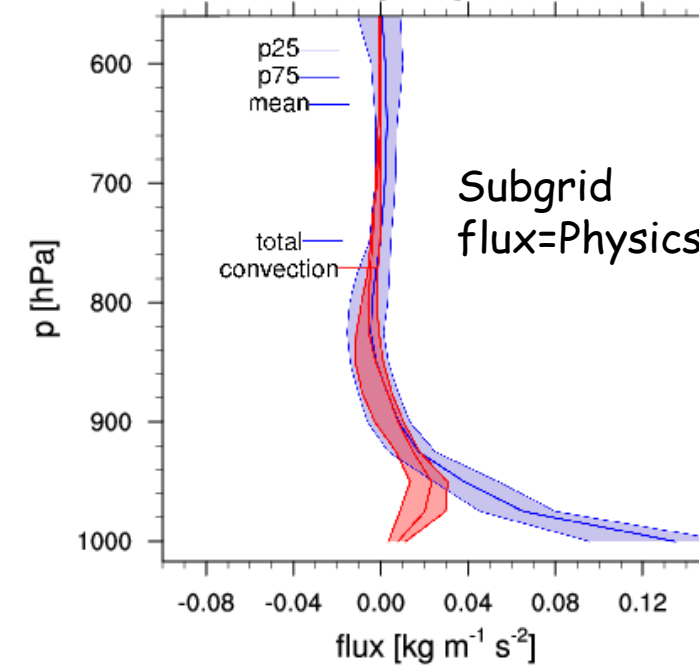
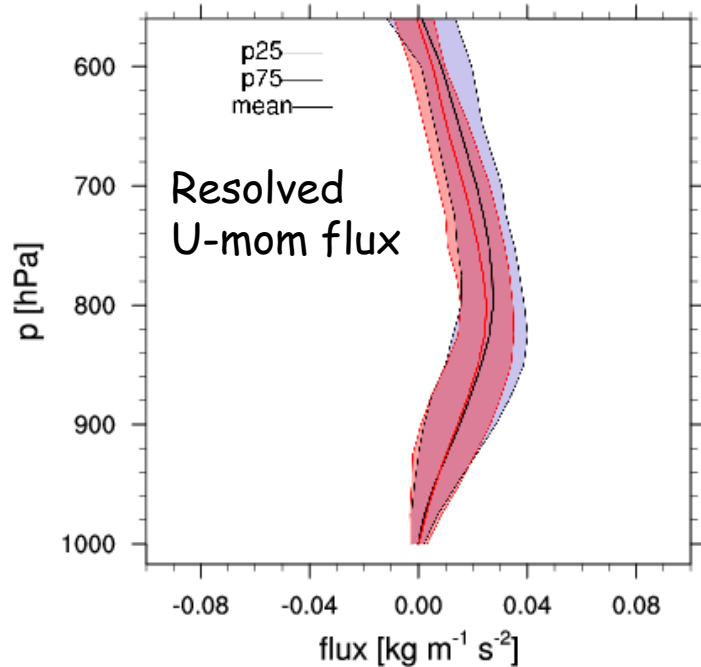
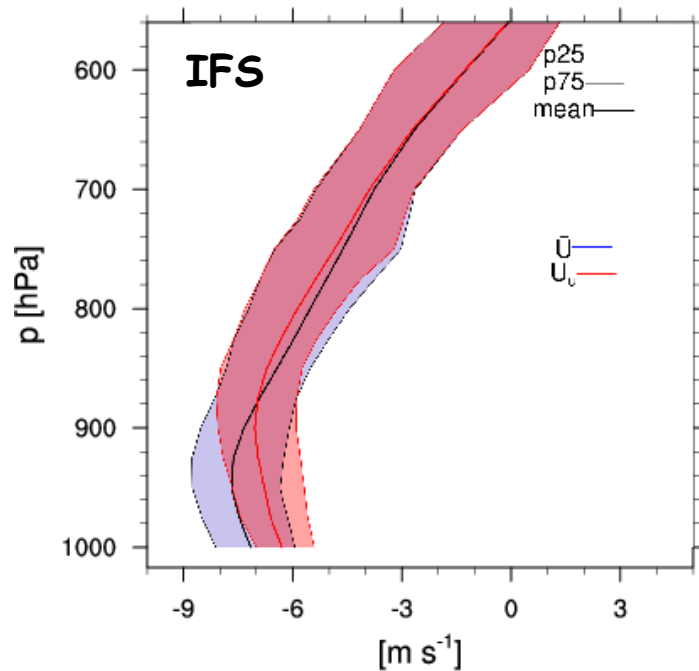
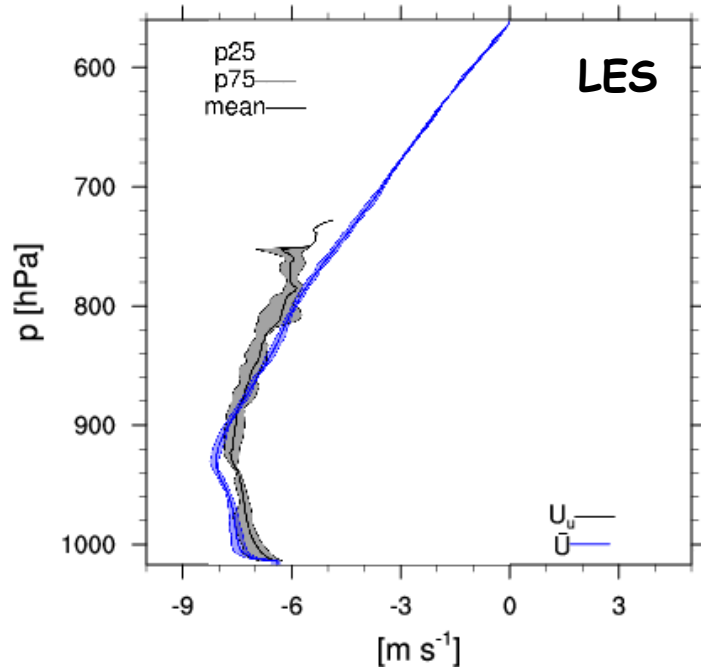
and J. Bidlot, E. Holm, A. Beljaars, R Forbes, Ž. Fuchs, L. Isaksen, D. Kim, J.-E Kim, M. Leutbecher, S-J Lock, P. Lopez, L. Magnusson, P. Ollinaho, I. Sandu, L. Schlemmer, S. Malardel, M. Rennie, M. Rodwell, A. Subramanian, F. Vitart, N. Wedi, N. Žagar, C. Zhang

# Tropical momentum tendencies



U, V compensate (conservation export/import of angular momentum)  
Upper troposphere not balanced (in model)

# (subtropical convective) momentum and fluxes against LES



# The full system and the omega (balance) equation

$$\frac{\partial u}{\partial t} + \vec{V} \vec{\nabla} u - f v = -\frac{\partial \phi}{\partial x} + g \frac{\partial}{\partial p} (F_{frict} + F_{conv})$$

$$\frac{\partial v}{\partial t} + \vec{V} \vec{\nabla} v + f u = -\frac{\partial \phi}{\partial y} + g \frac{\partial}{\partial p} (F_{frict} + F_{conv})$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial \omega}{\partial p} = 0$$

$$\frac{\partial T}{\partial t} + u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} + \omega \frac{T}{\theta} \frac{\partial \theta}{\partial p} = c_p^{-1} g \frac{\partial J}{\partial p}$$

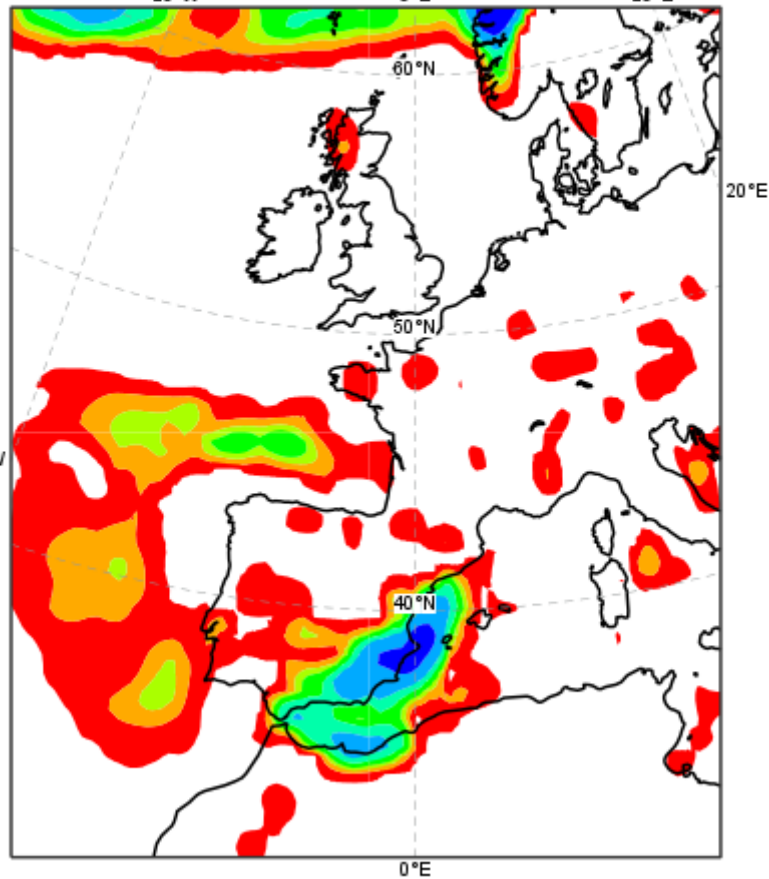
(J.R, Holton) Neglect **J** and **F** and via **quasi-geostrophic vorticity equation** get from geopotential tendency a diagnostic for  **$\omega$** , ie obtain divergence from temperature and rotational wind

$$\left( \sigma \vec{\nabla}^2 + f_0^2 \frac{\partial^2}{\partial p^2} \right) \omega = f_0 \frac{\partial}{\partial p} \left[ \vec{V}_g \cdot \nabla \left( \frac{1}{f_0} \nabla^2 \phi + f \right) \right] + \nabla^2 \left[ \vec{V}_g \cdot \nabla \left( -\frac{\partial \phi}{\partial p} \right) \right]; \sigma = -\frac{\alpha}{\theta} \frac{\partial \theta}{\partial p}$$

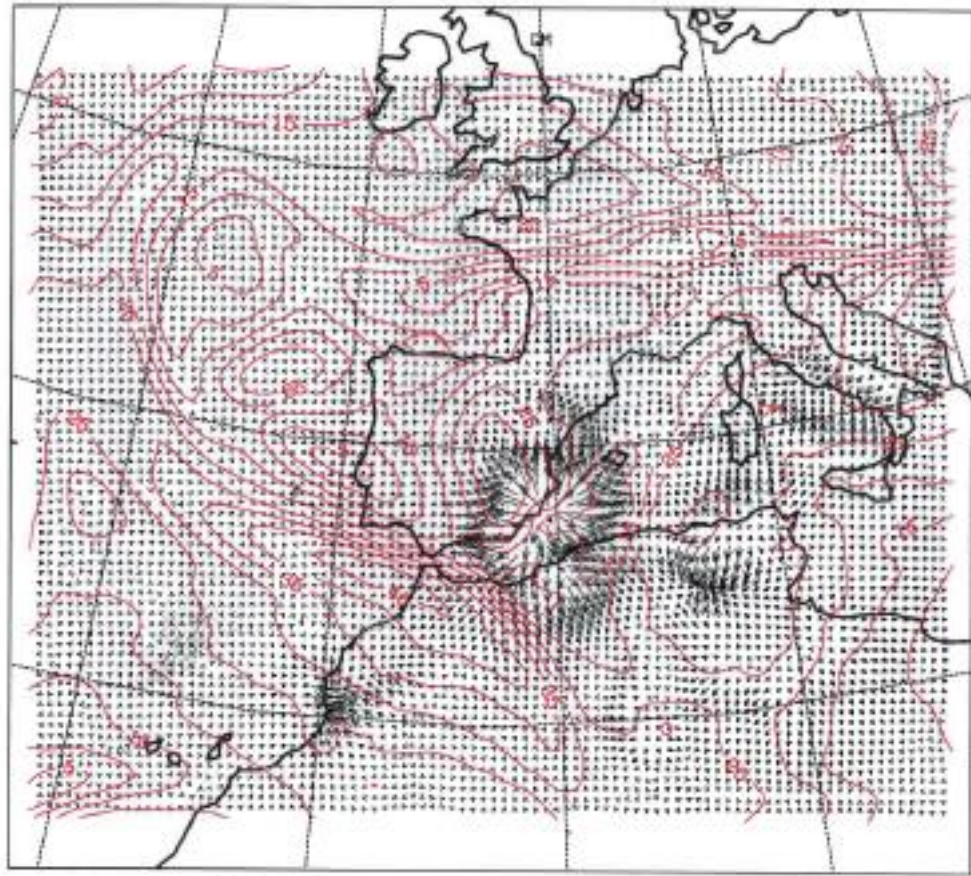
more evolved forms include the alternative balance approximation by Davis-Jones (1991). However there is very little on generalised omega equation with application to tropics, could only find Buamhefner (1968) and Dostalek (PhD 2012)

# Example of extraction of ageostrophic (divergent) wind

Thursday 08 October 1992 00 UTC east 1+12 VT: Thursday 08 October 1992 12 UTC surface Convective precipitation



AAB DB  $V_{agd}$  ( $m s^{-1}$ ), @ Analysed Wind Speed ( $m s^{-1}$ )  
300 mb 1200 UTC 08/10/92 J09R01



Contour Interval = 5  $m s^{-1}$

2.5  $m s^{-1}$

see Donadille, Cammas, Mascart, Lambert QJRMS 2001 and Mallet et al. 1999 QJRMS for discussion

# Lorenz Energy cycle and global energy flow

$$TPE = c_v T + \phi; \quad \frac{dTPE}{dt} = Q + \alpha \omega$$

α = specific volume

Net heating

$$\frac{dAPE}{dt} = NQ + \alpha \omega = N\bar{Q} + \bar{\alpha}\bar{\omega} + \overline{\alpha'\omega'}$$

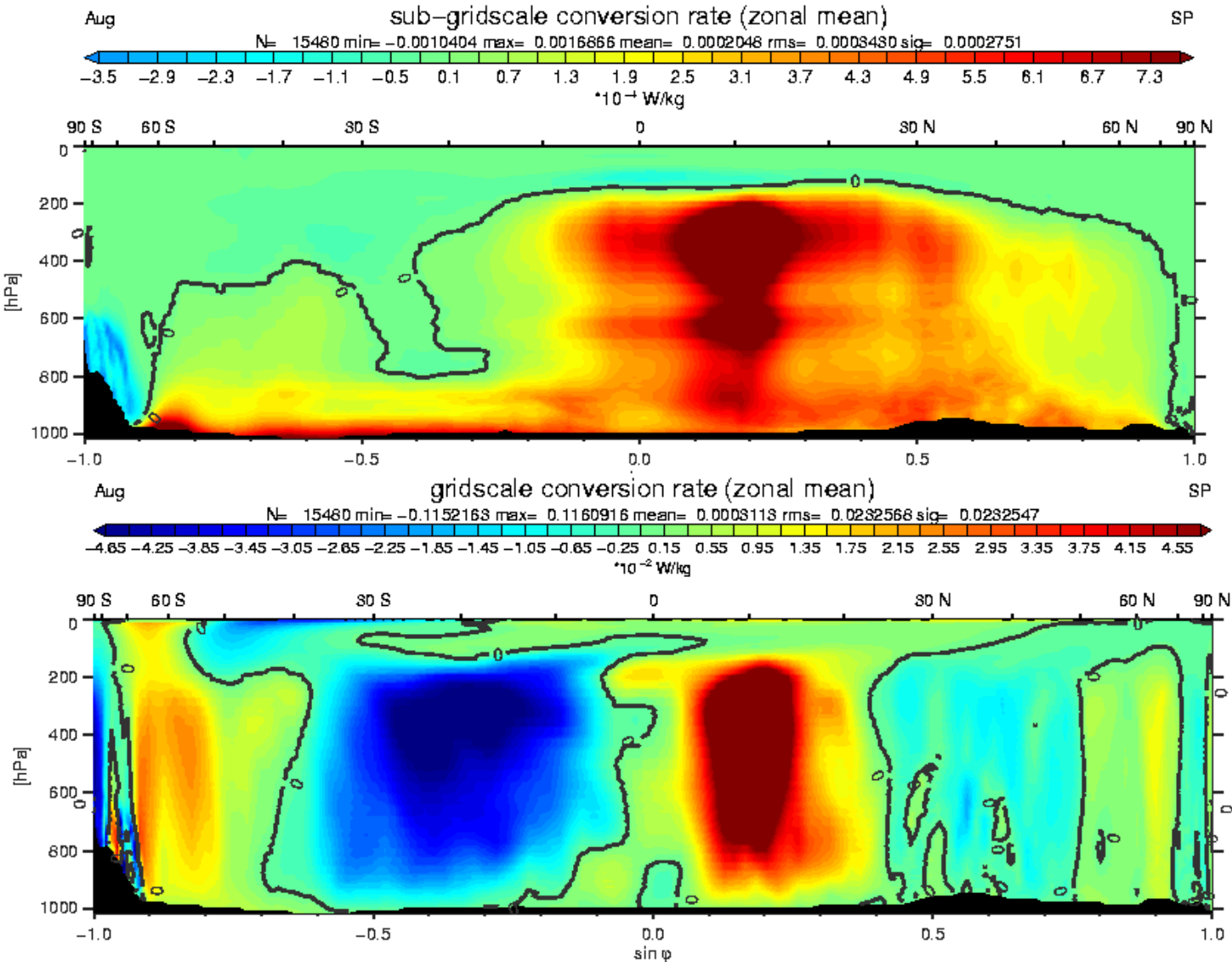
**Generation Conversion**

Lorenz efficiency factor

$$\frac{dK}{dt} = -\alpha \omega - D$$

kinetic energy

# Annual cycle of subgrid and grid-scale conversion rates (W/kg)

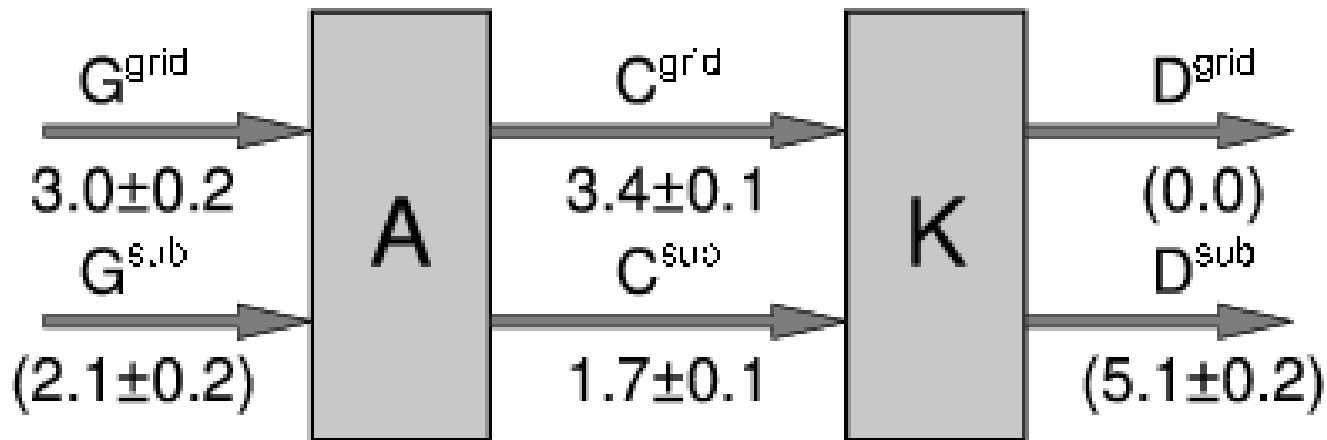


Convection so important because contribution always positive !

Grid-scale has positive and negative contributions to kinetic energy conversion rate

Radiation does not contribute to the conversion rates but to the generation rate, but even there has only at poles a positive contribution (cooling at cold places) but globally a negative contribution (as in Tropics it is cooling where it is warm)

# The Lorenz Energy diagram including physical (subgrid-scale) processes (W/m<sup>2</sup>)



Subgrid of similar importance than grid-scale, and convection is the most important subgrid process for conversion

The dissipation ( $D=3.4 \text{ W/m}^2=C^{\text{grid}}$ ,  $C^{\text{sub}}$  doesn't exist in model) is made up of surface dissipation and gravity wave drag ( $2.3 \text{ W/m}^2$ ), convective momentum transport ( $0.4 \text{ W/m}^2$ ), interpolation in semi-Lagrangien advection ( $0.5$ ), and horizontal diffusion ( $0.2 \text{ W/m}^2$ )

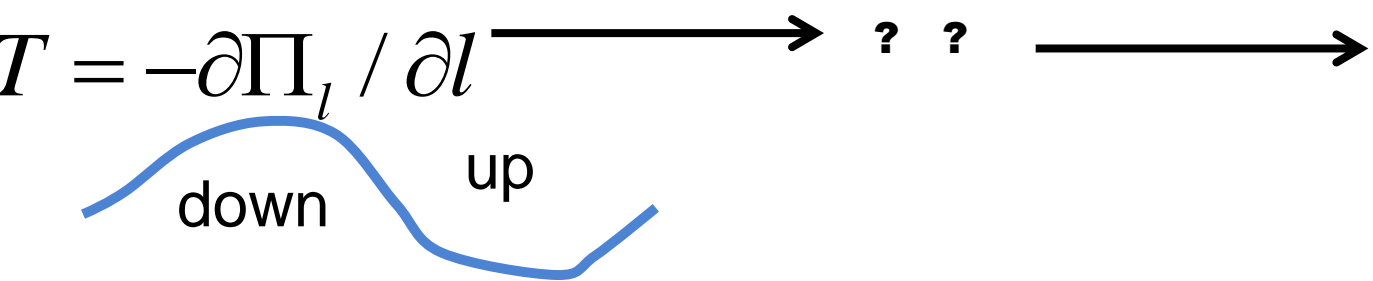
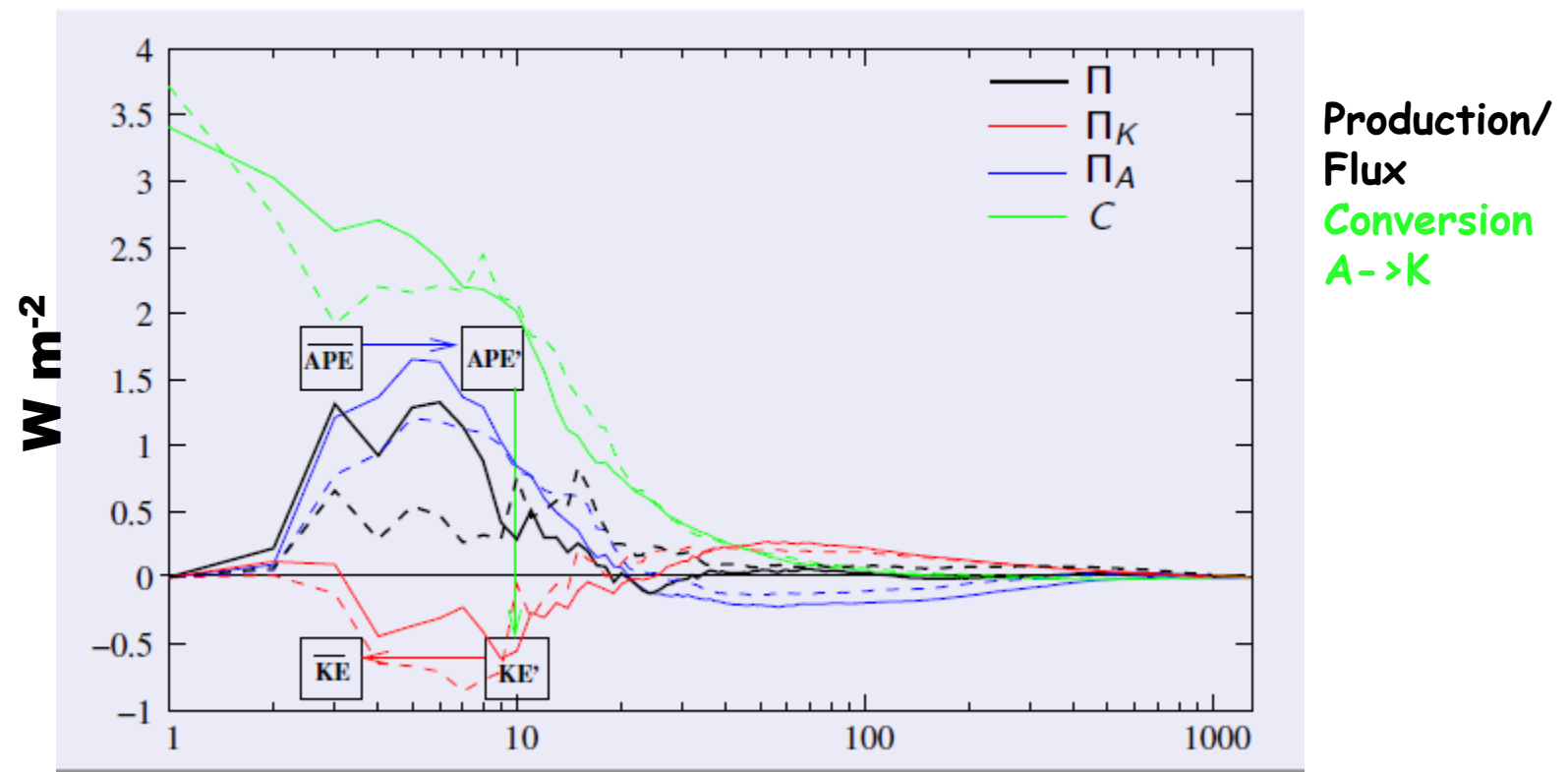
M Steinheimer, M Hantel, P Bechtold (Tellus, Oct 2008)



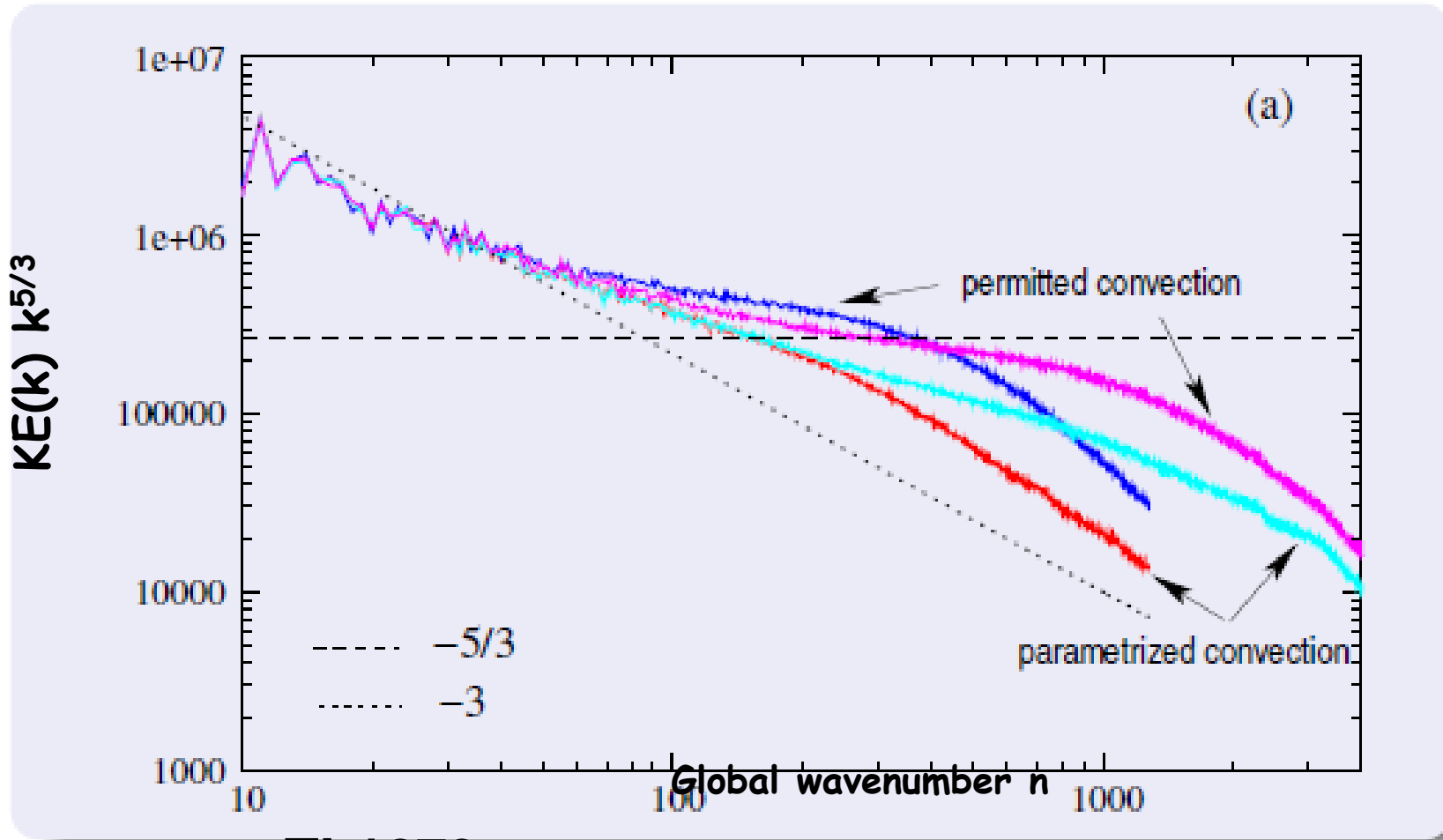
# Scale dependent APE - KE analysis

S. Malardel and N. Wedi

following Augier and Lindborg (2013)

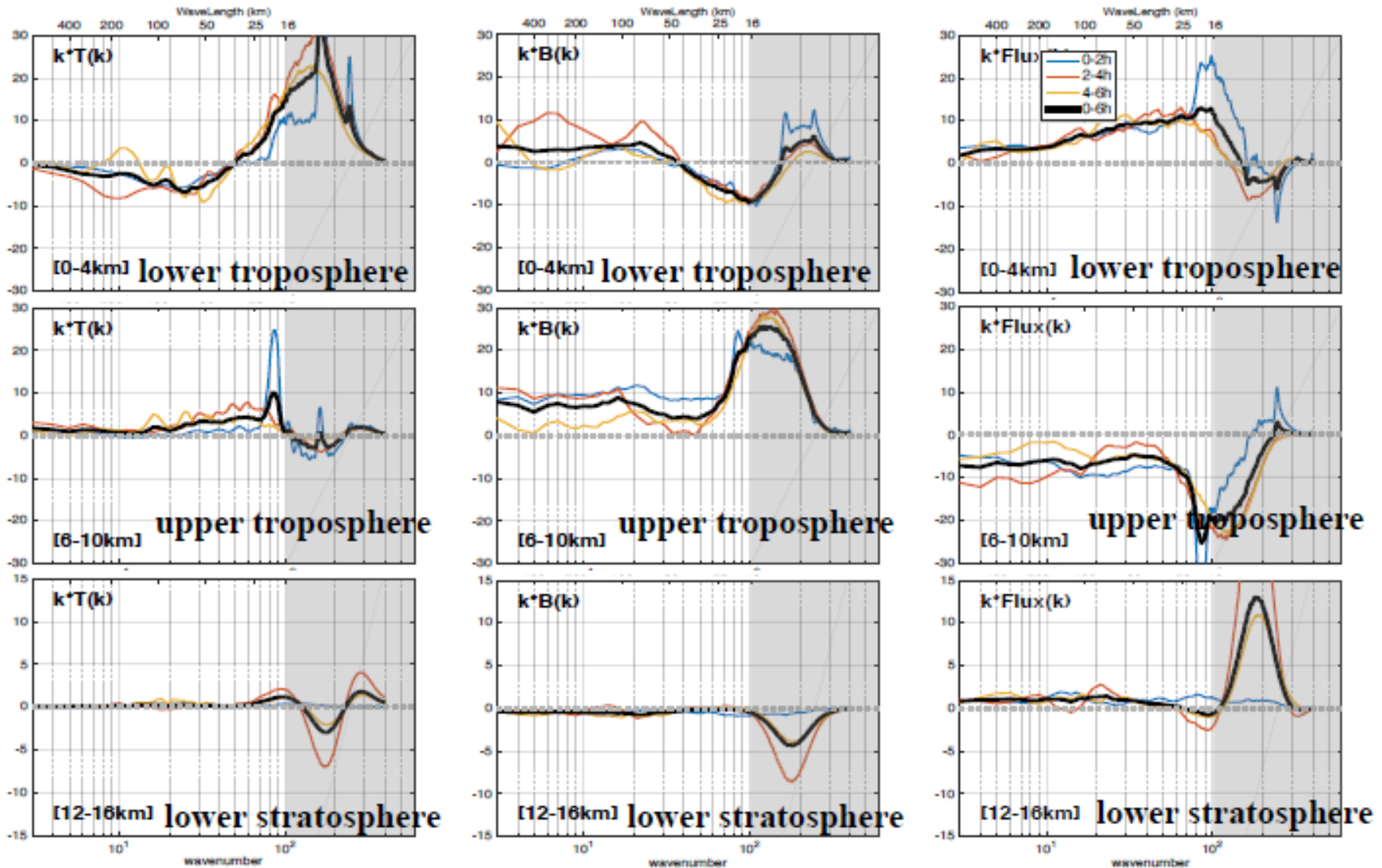


# Resolved kinetic energy spectra with and without parametrized deep convection (S. Malardel & N. Wedi)



TL1279 = 16 km with and without deep  
TL4000 = 5 km with and without deep

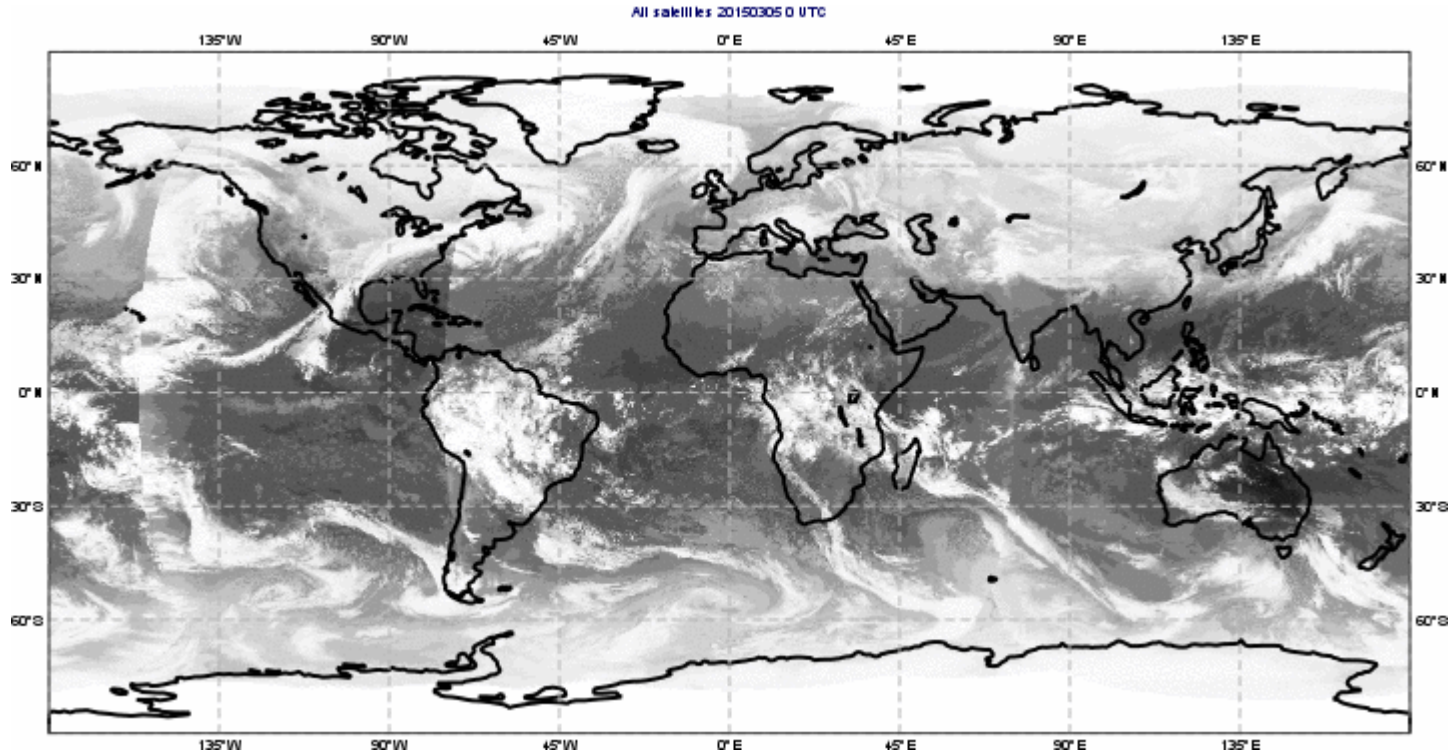
# KE Spectra budget across scales at different altitudes



**T(k):** nonlinear transfer term across scales; **B(k)** buoyancy term; **Flux(k):** vertical transport

From F. Zhang 2016 ECMWF presentation, in revision for JAS

# The global circulation and its modes (waves)



Analytical: solve shallow water system (e.g Orland and Alexander, 2011, Žagar et al. 2015)

$$U = U_0 f(y) e^{i(kx - \omega t)} G(z); \quad f(y) = e^{-\frac{y^2}{2}}; \quad G(z) = e^{-\left(\frac{z}{2H}\right)} \operatorname{Re}(e^{imz})$$

$$V = \check{V}(y) f(y) e^{i(kx - \omega t)} G(z); \quad \check{V}(y) = \text{Legendre polynomial (Hermite)}$$

# The shallow water system, the Gill (1980) model and the weak temperature gradient

$$\frac{\partial u'}{\partial t} - \beta y v' = -g \frac{\partial h'}{\partial x}$$

$$\frac{\partial v'}{\partial t} + \beta y u' = -g \frac{\partial h'}{\partial y}$$

$$\cancel{\frac{\partial h'}{\partial t}} + H \left( \frac{\partial u'}{\partial x} + \frac{\partial v'}{\partial y} \right) = \left( \begin{array}{l} -\varepsilon u' \\ -\varepsilon v' \\ +HQ - \varepsilon h' \end{array} \right)$$

WTG

Dissipation+Heating

$$-\varepsilon u'$$

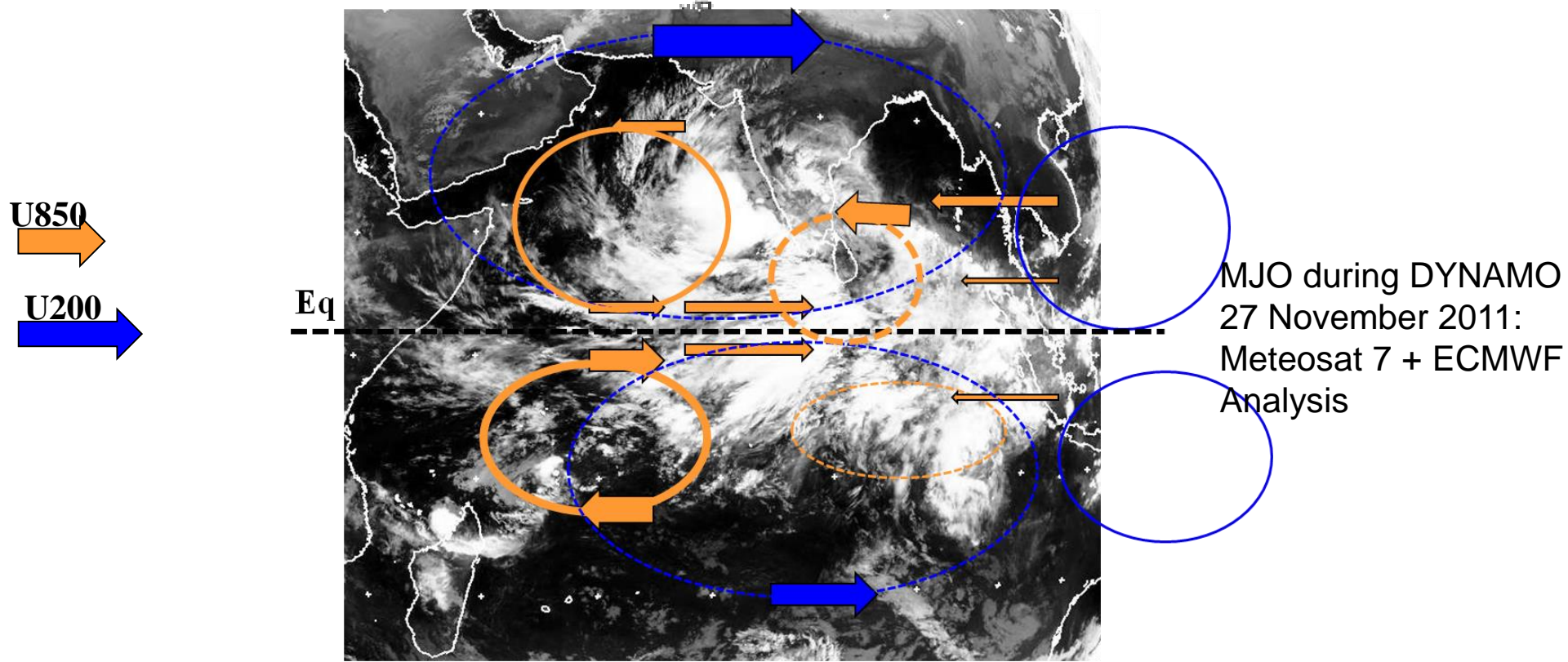
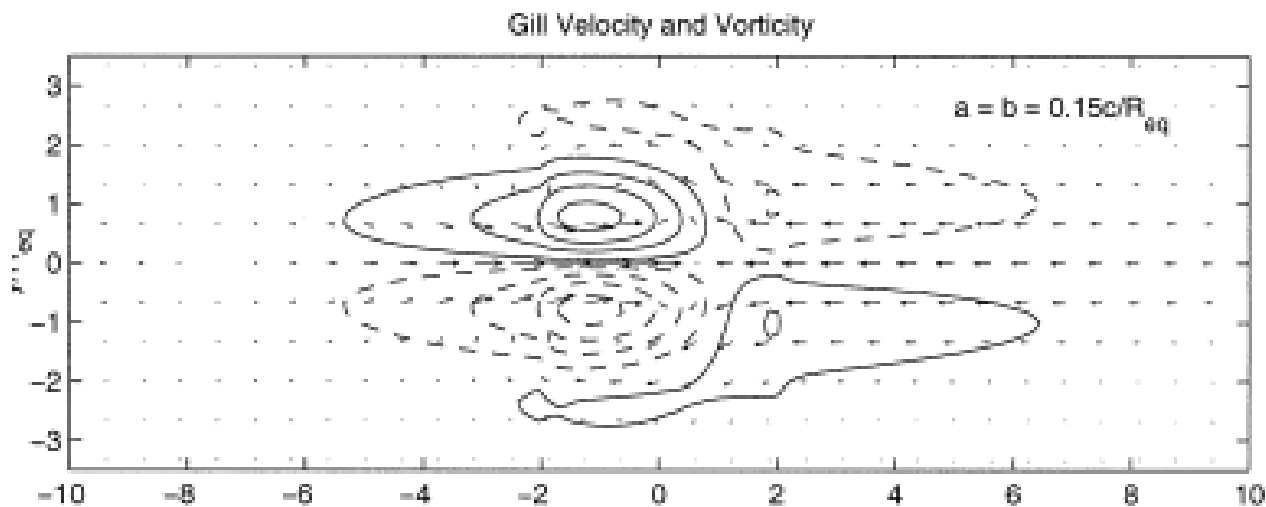
$$-\varepsilon v'$$

$$+HQ - \varepsilon h'$$

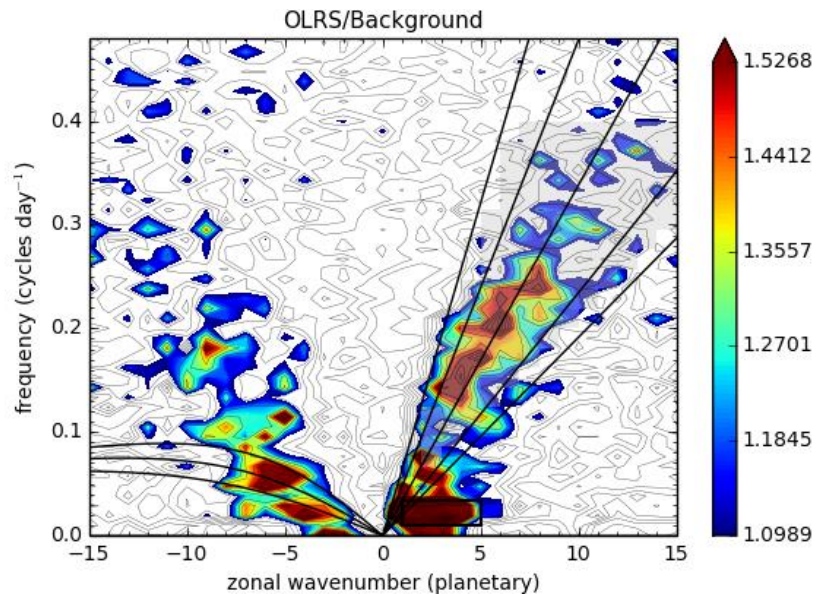
$$Q = J / \left( \rho c_p H \frac{d\theta_0}{dz} \right)$$

See Gill (QJRMS 1980), Bretherton and Sobel (JAS 2003)

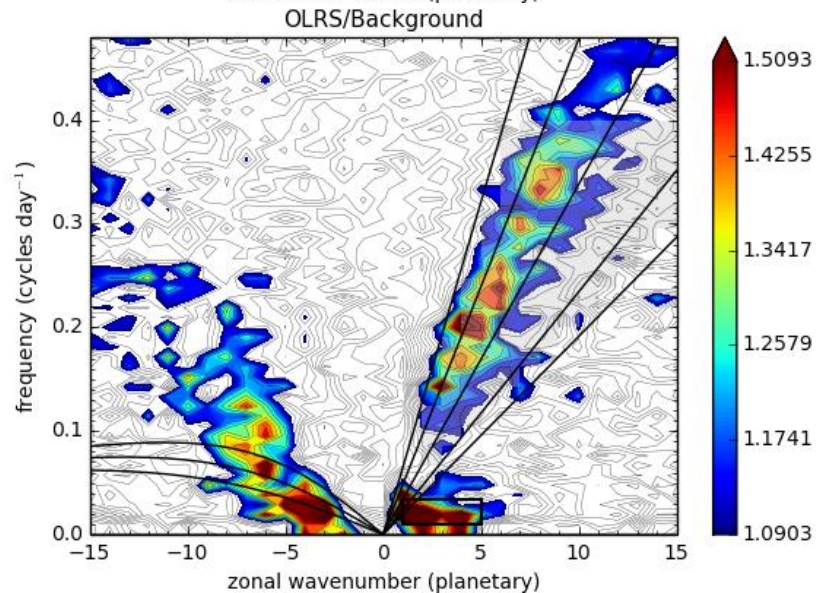
# Response to symmetric heating at the Equator



# Wavenumber frequency Diagrams of OLR



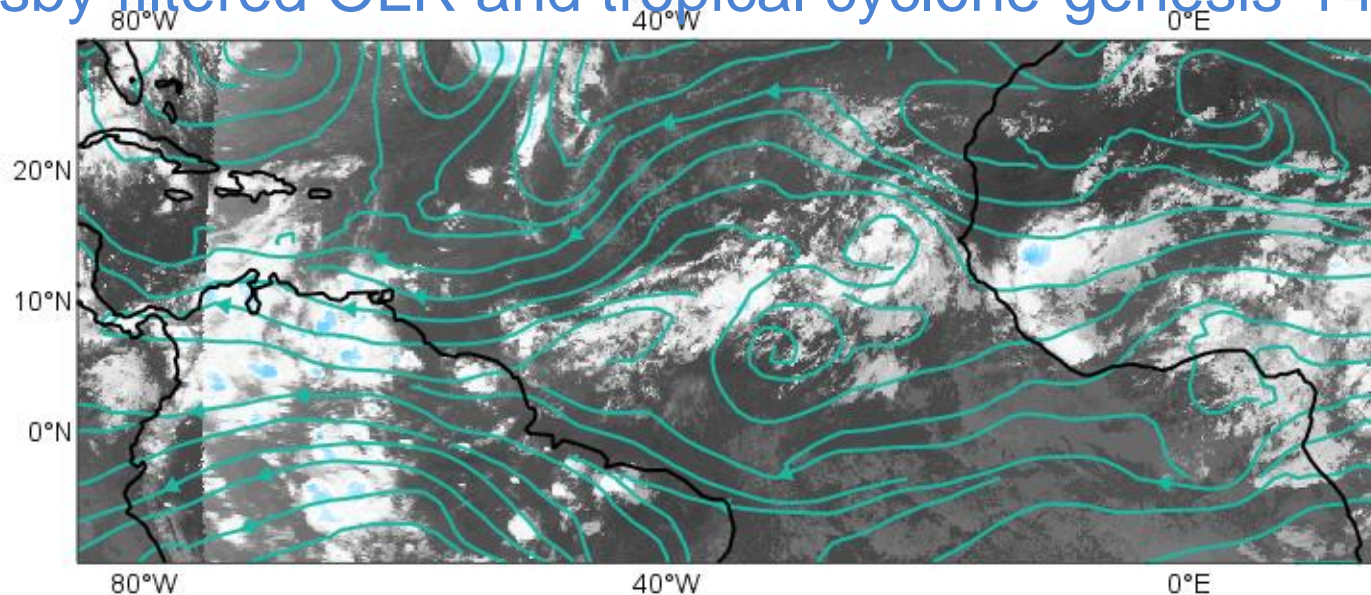
**ECMWF Analysis  
(2008-2013)**



**Cy40r1 6 years**

(all spectra have been divided by their own smoothed background)

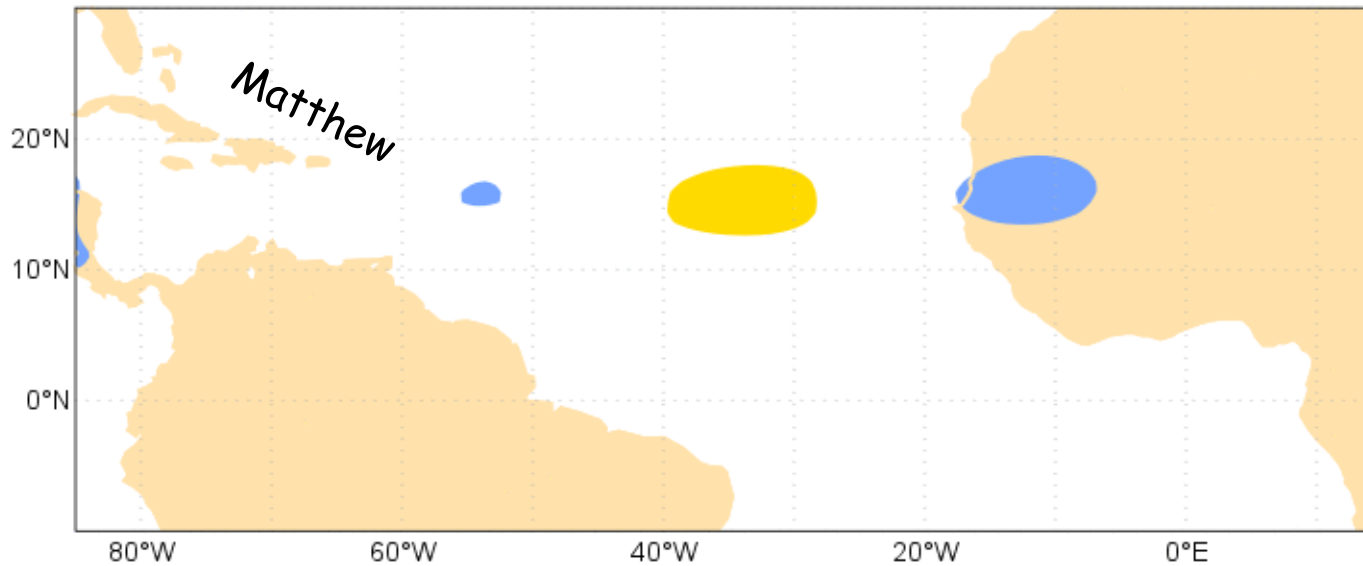
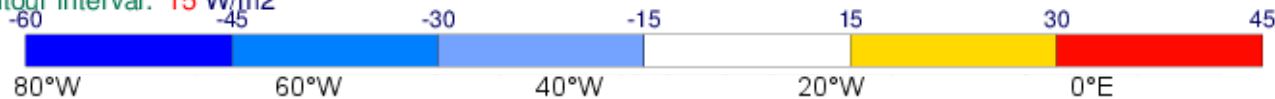
# Rossby filtered OLR and tropical cyclone genesis 14-29.9 2016



+streamlines  
500 hPa

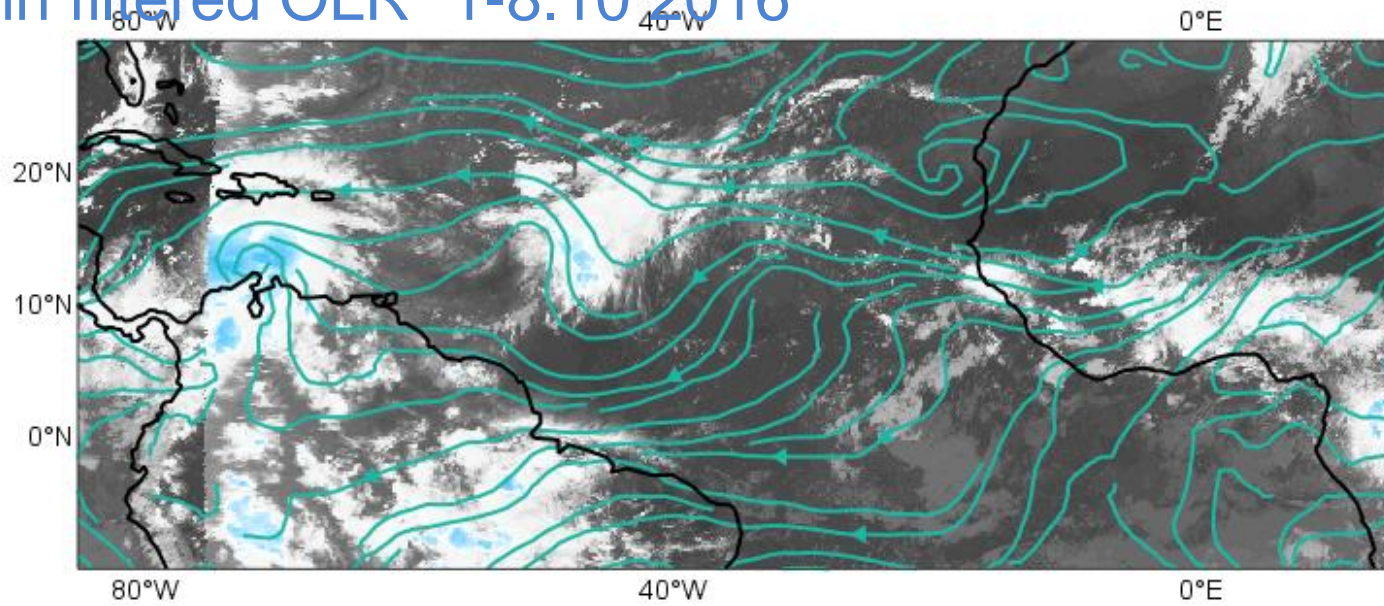
Real time monitoring of rossby waves OLR (ECMWF) 20160914

contour interval: 15 W/m<sup>2</sup>





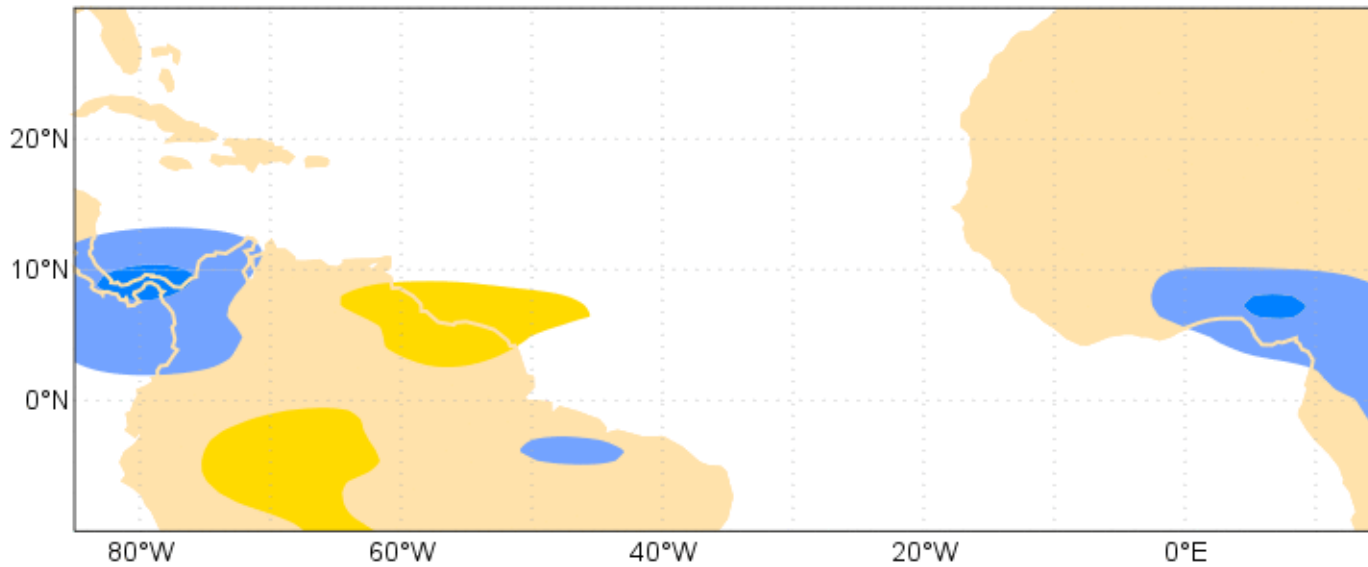
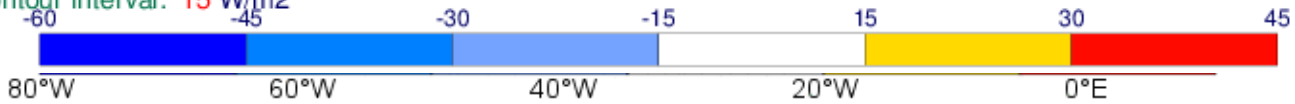
# Kelvin filtered OLR 1-8.10.2016



+streamlines  
850 hPa

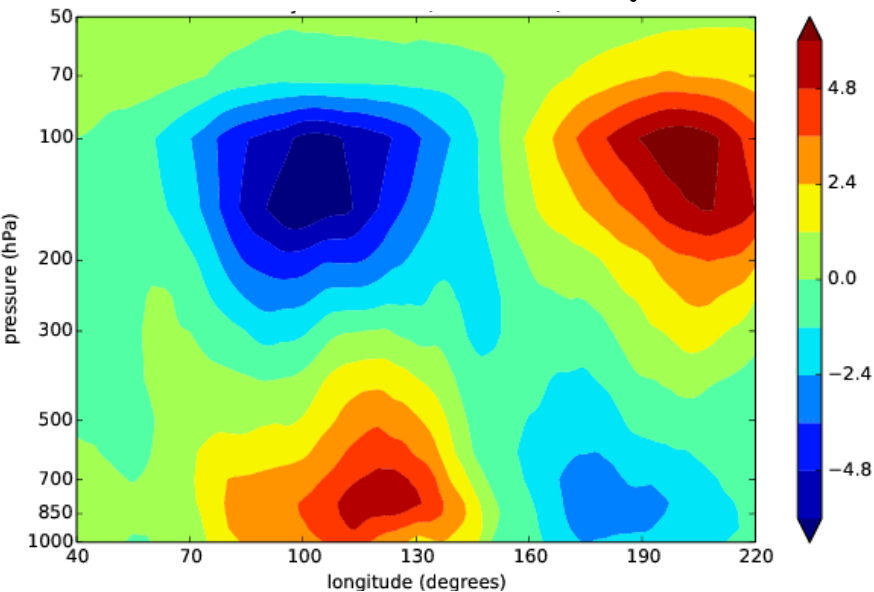
Real time monitoring of kelvin waves OLR (ECMWF) 20161001

contour interval: 15 W/m<sup>2</sup>

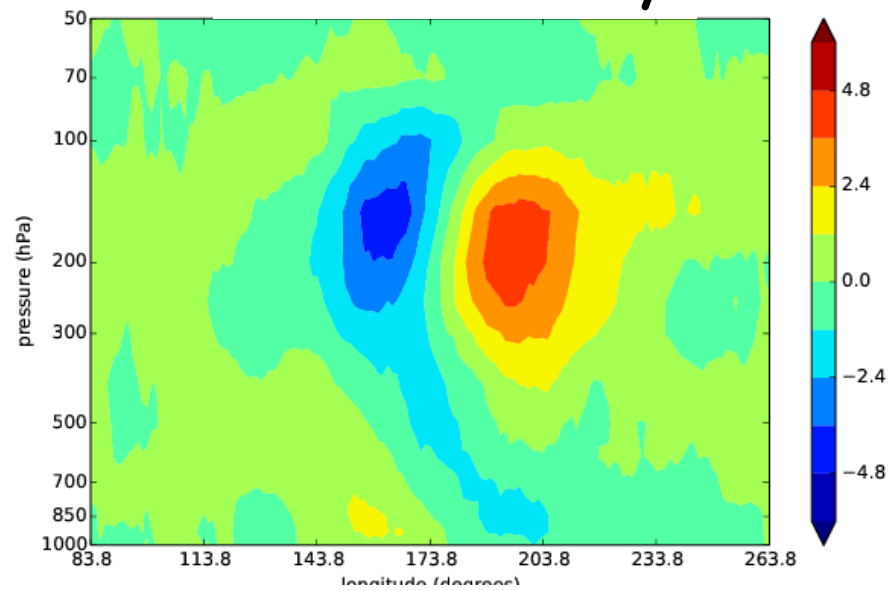


# U-anomalies: vertical structure

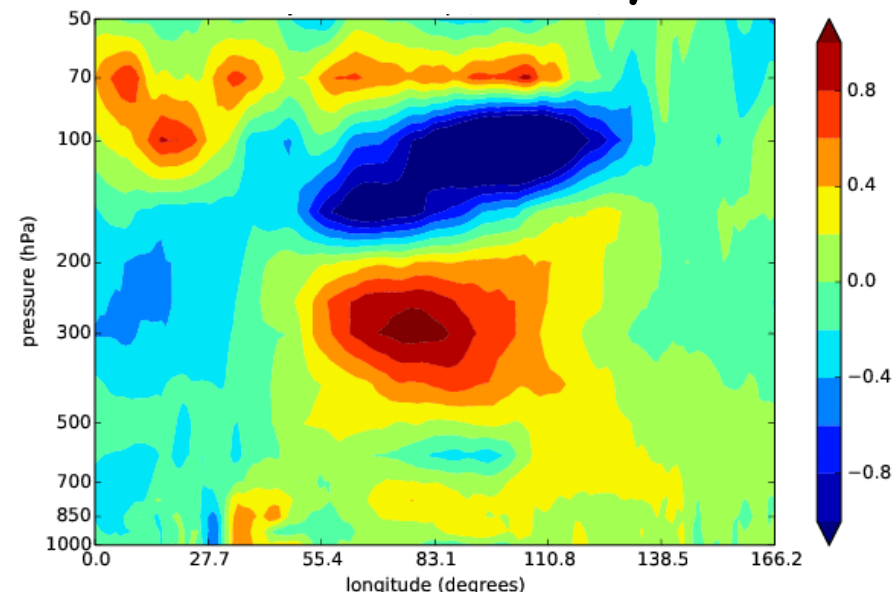
## MJO U anomaly



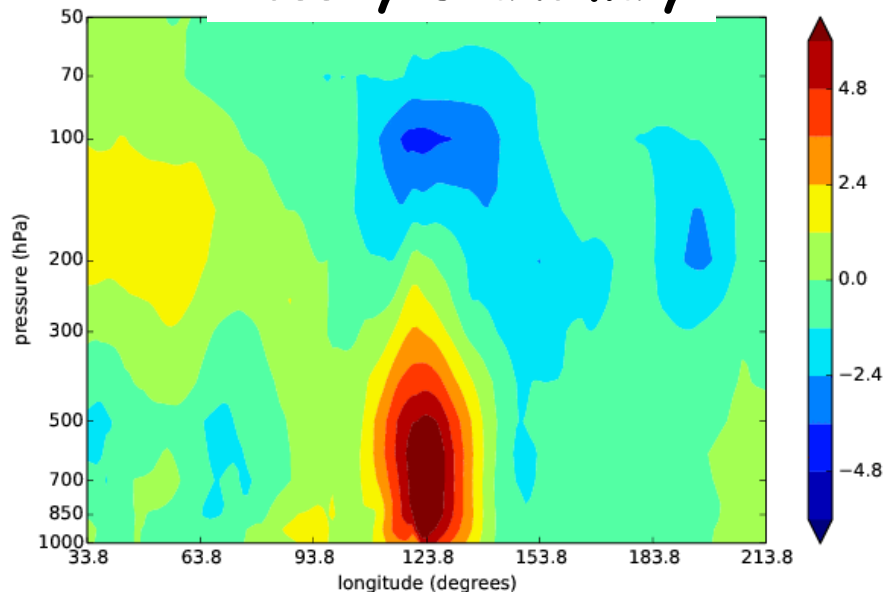
## Kelvin U anomaly



## MJO T anomaly



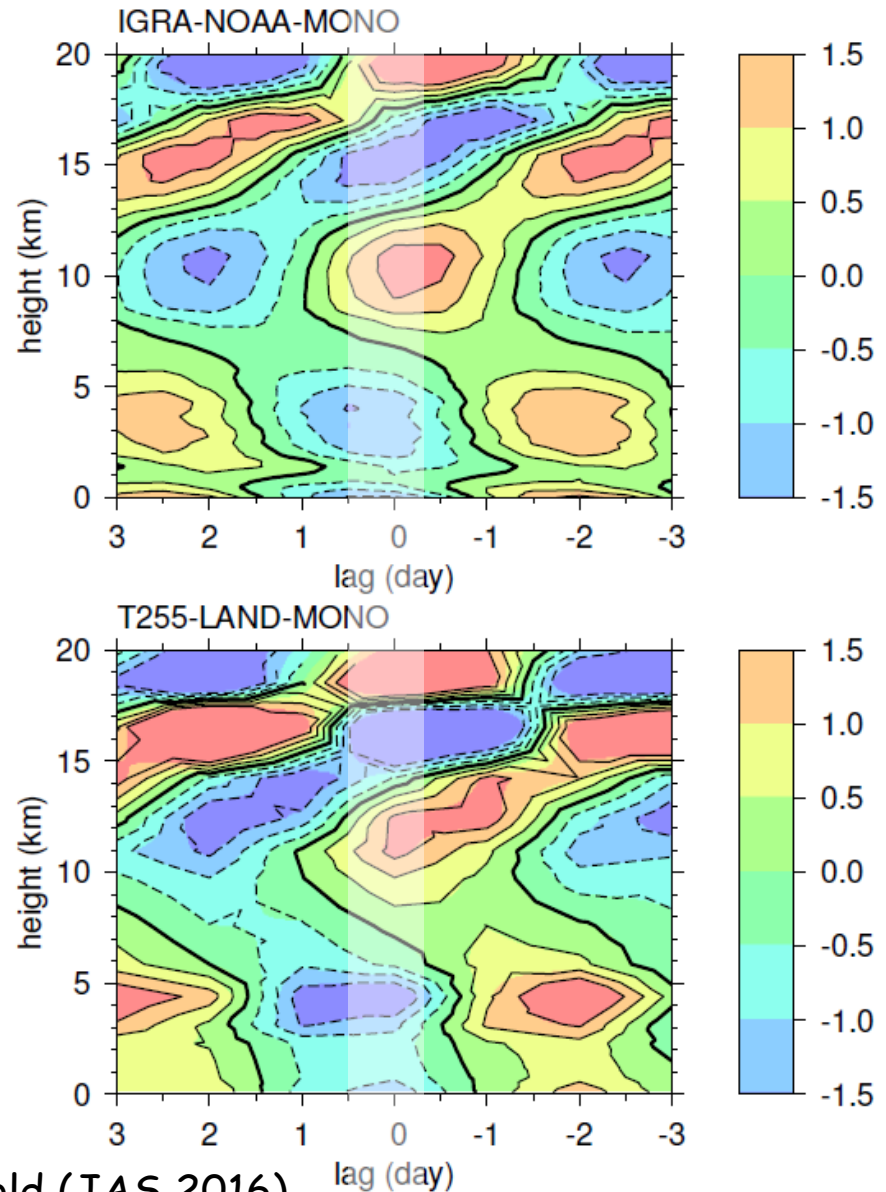
## Rossby U anomaly



# Kelvin waves: vertical structure

At  $z \sim 10$  km, warm anomaly and convective heating are in phase, leading to :

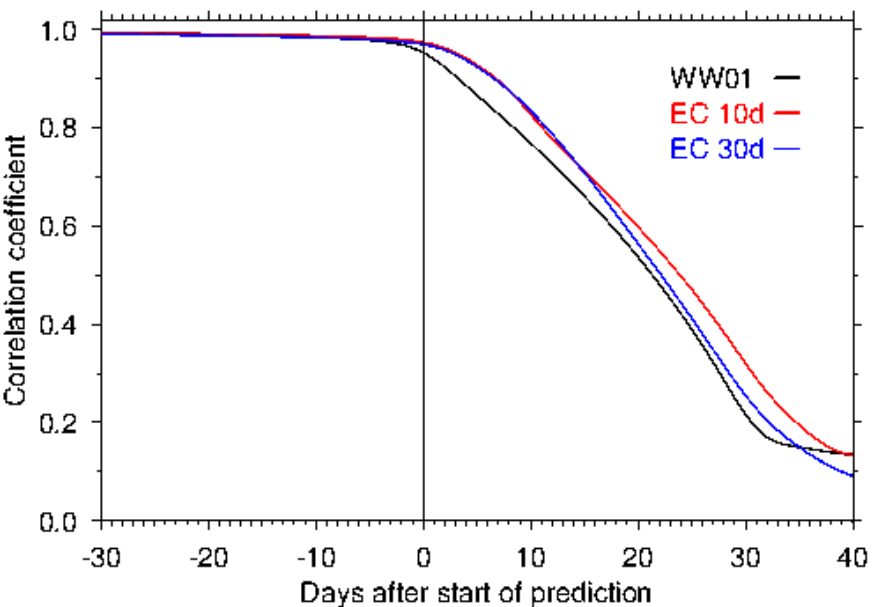
- the conversion of potential in kinetic energy =  $\alpha\omega$
- The generation of potential energy =  $N Q$
- For inertia gravity waves, horizontal phase and group speed have same sign, but opposite sign for vertical propagation



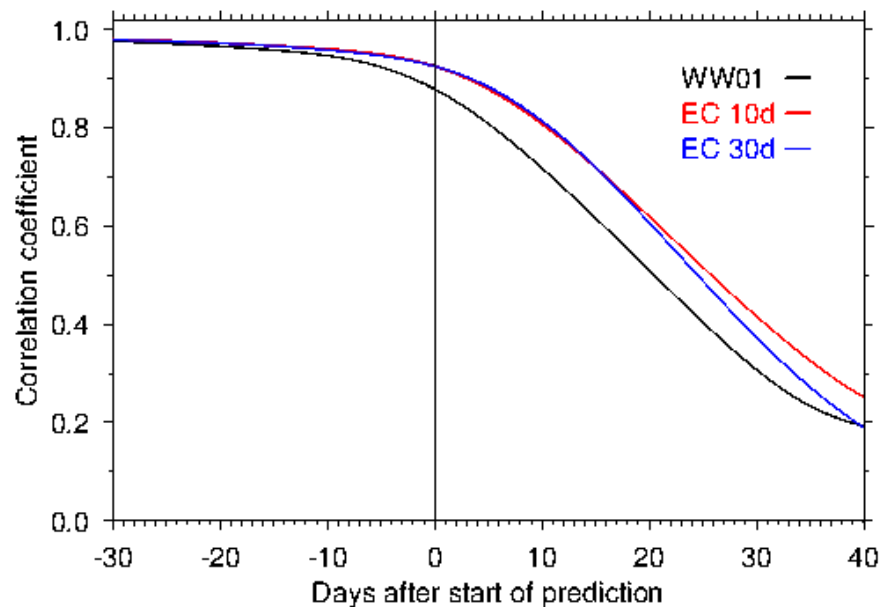
M. Hermann, Z Fuchs, D. Raymond, P. Bechtold (JAS 2016),  
see also [G. Shutts \(2006, Dyn. Atmos. Oc.\)](#)

# “Predictability” of Kelvin and equat. Rossby waves

kelvin waves: 30d running corr with 2014 EC analysis (0 = forecast start time)

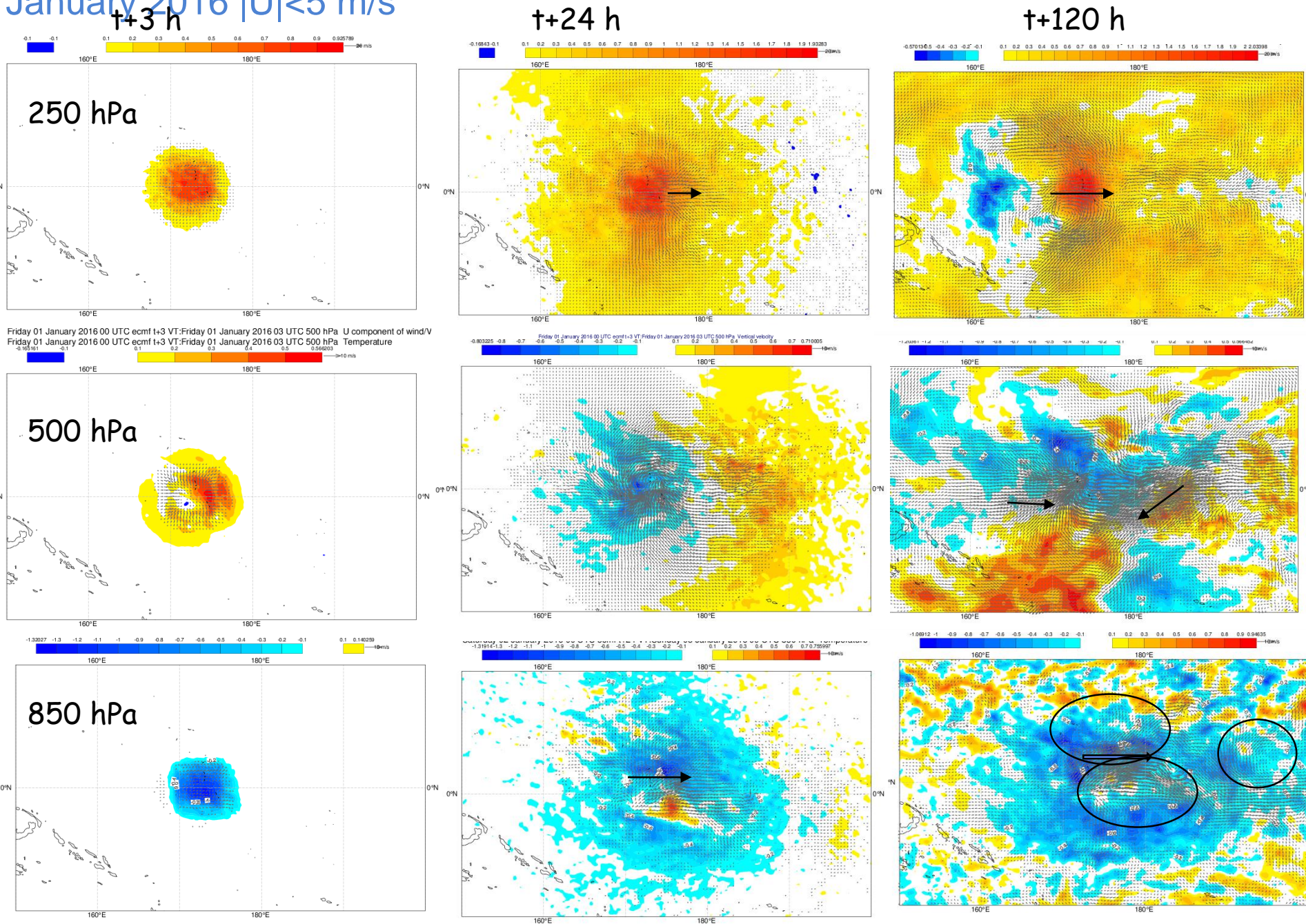


rossby waves: 30d running corr with 2014 EC analysis (0 = forecast start time)



# W Pacific equat T perturbation 1: 15 K/d sinus(2π (Ps-p)/(Ps-Pt)) , 5x5°, composite

January 2016 |U| < 5 m/s



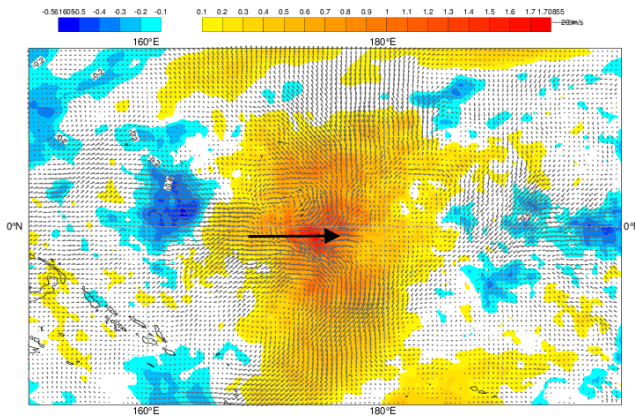
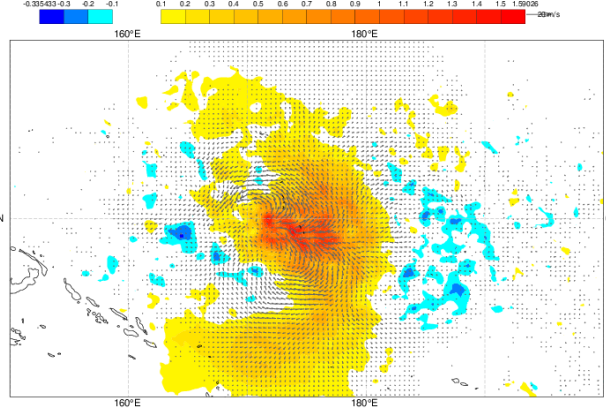
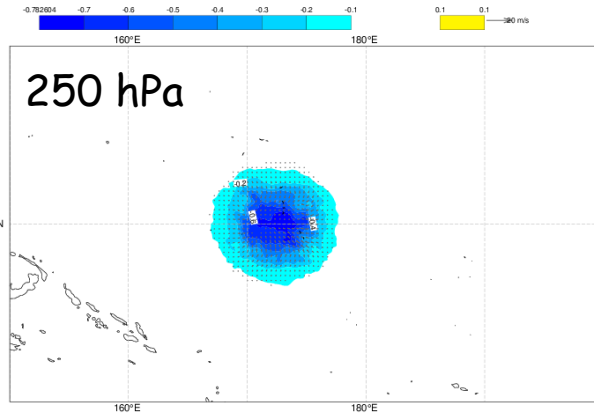
# W Pacific equat T perturbation 2: $-15 \text{ K/d} \sin(2\pi (Ps-p)/(Ps-Pt))$ , $5 \times 5^\circ$ composite

## January 2016 $|U| < 5 \text{ m/s}$

t+3 h

t+24 h

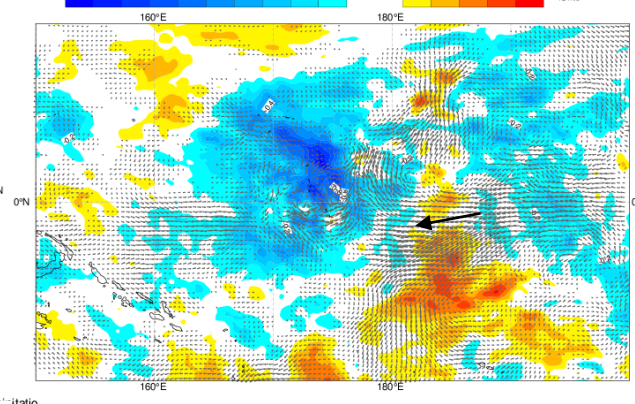
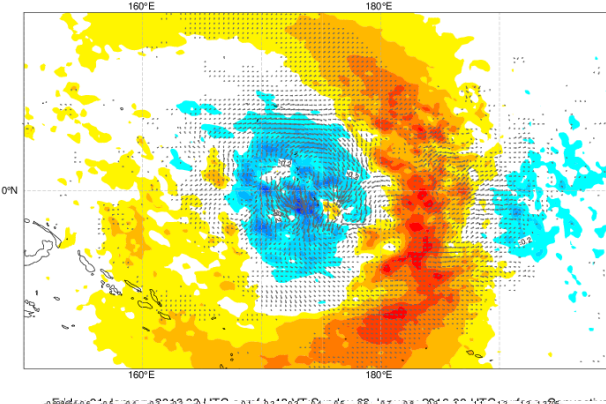
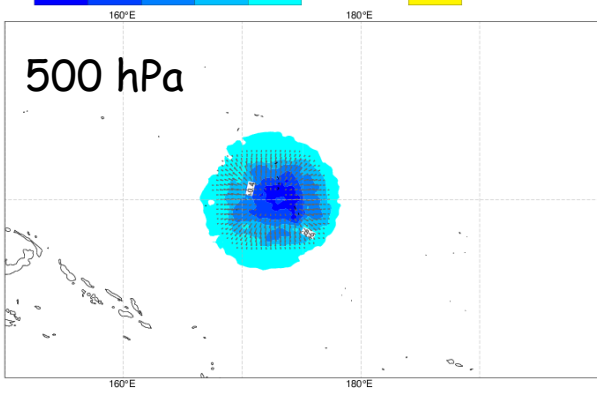
t+120 h



Saturday 02 January 2016 00 UTC ecmf t+3 VT: Saturday 02 January 2016 03 UTC 500 hPa U component of wind

Saturday 02 January 2016 00 UTC ecmf t+24 VT: Sunday 03 January 2016 00 UTC 500 hPa U component of wind

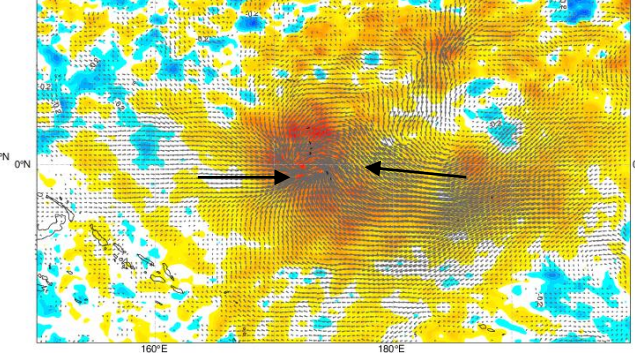
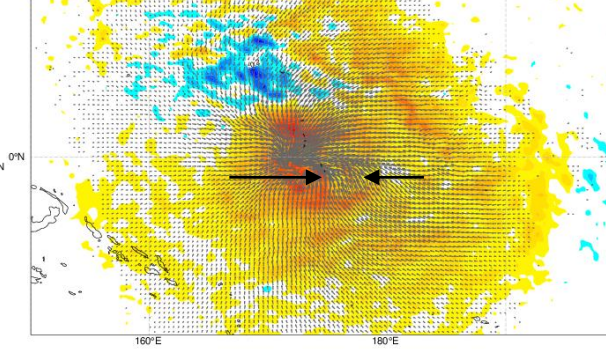
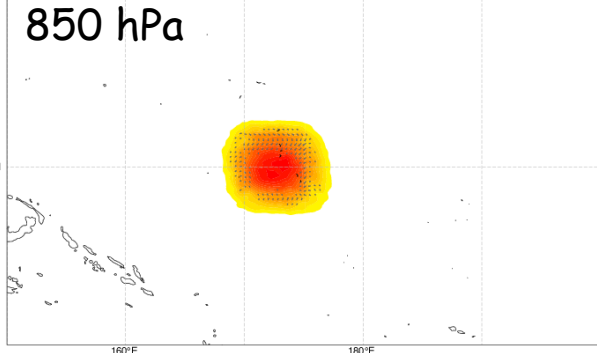
Saturday 02 January 2016 00 UTC ecmf t+120 VT: Thursday 07 January 2016 00 UTC 500 hPa U component of wind



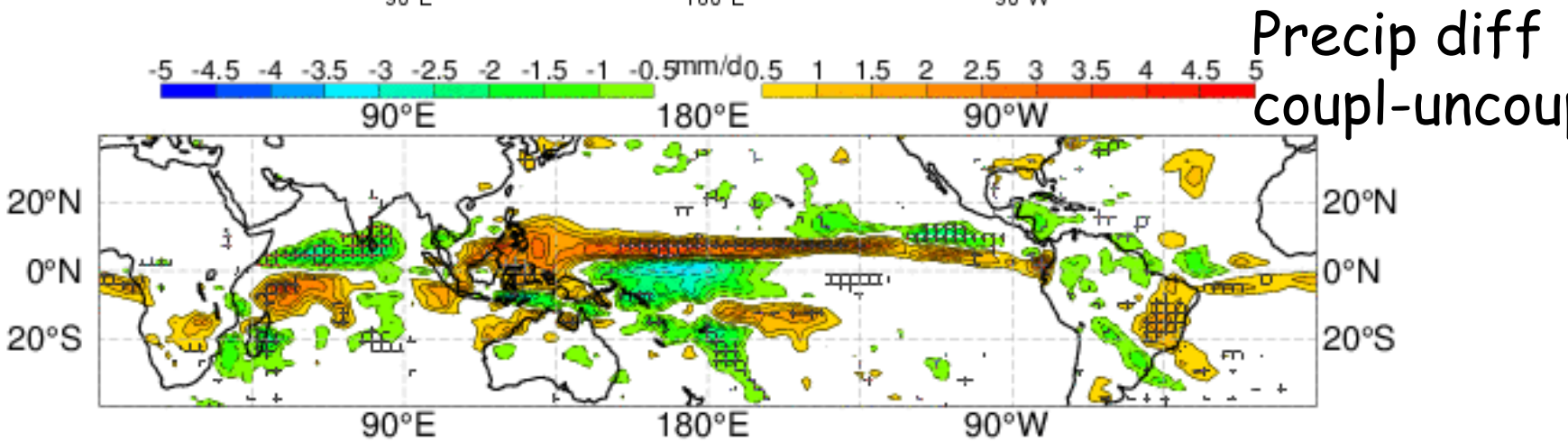
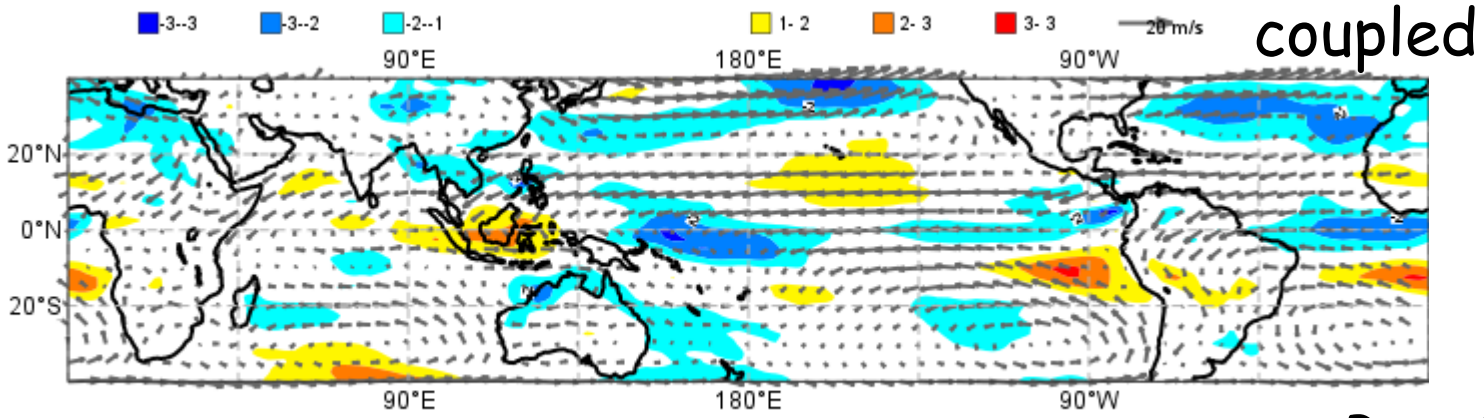
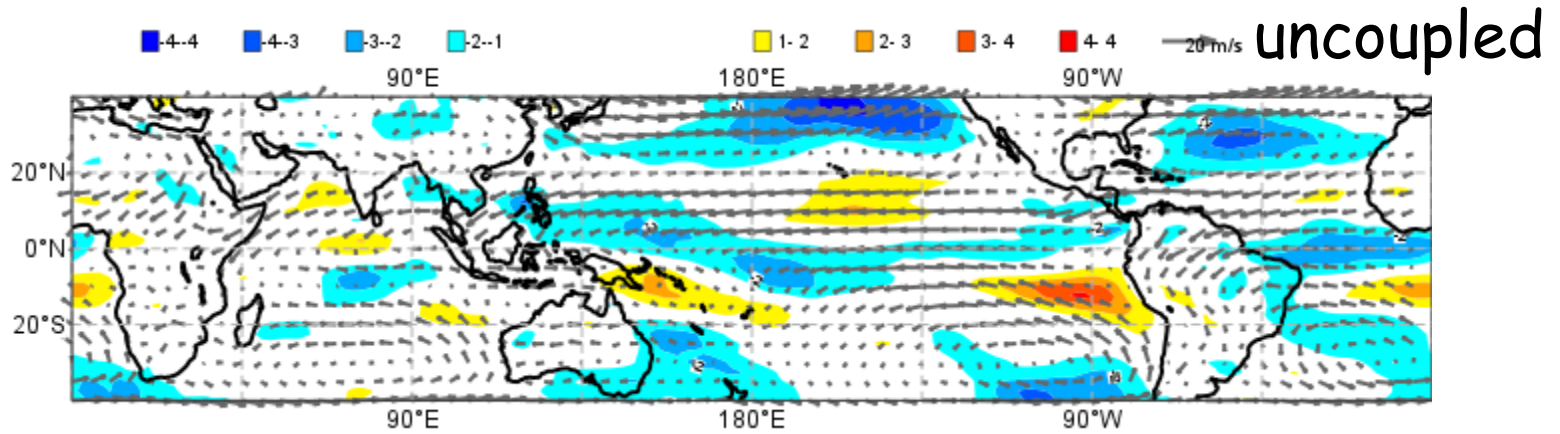
Saturday 02 January 2016 00 UTC ecmf t+3 VT: Saturday 02 January 2016 03 UTC 500 hPa Temperature

Saturday 02 January 2016 00 UTC ecmf t+24 VT: Sunday 03 January 2016 00 UTC 500 hPa Temperature

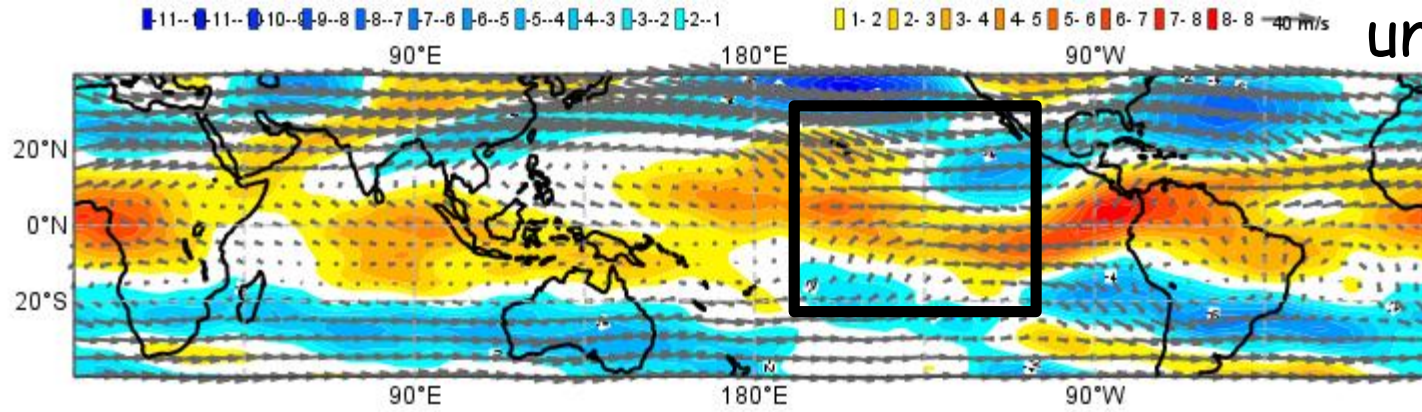
Saturday 02 January 2016 00 UTC ecmf t+120 VT: Thursday 07 January 2016 00 UTC 500 hPa Temperature



# DJF 2000-2004 climatology and U850 hPa errors

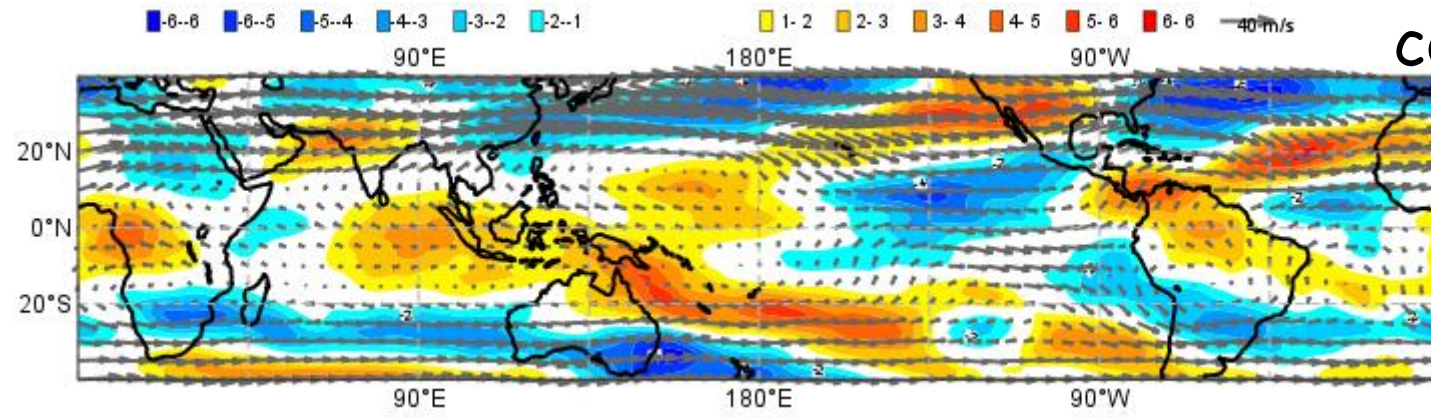


# DJF 2000-2004 climatology and U 250 hPa errors



uncoupled

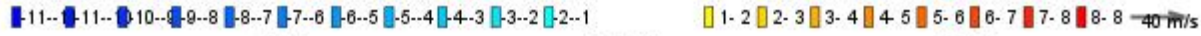
Westerly Jet? (Tomas and Webster 1993)



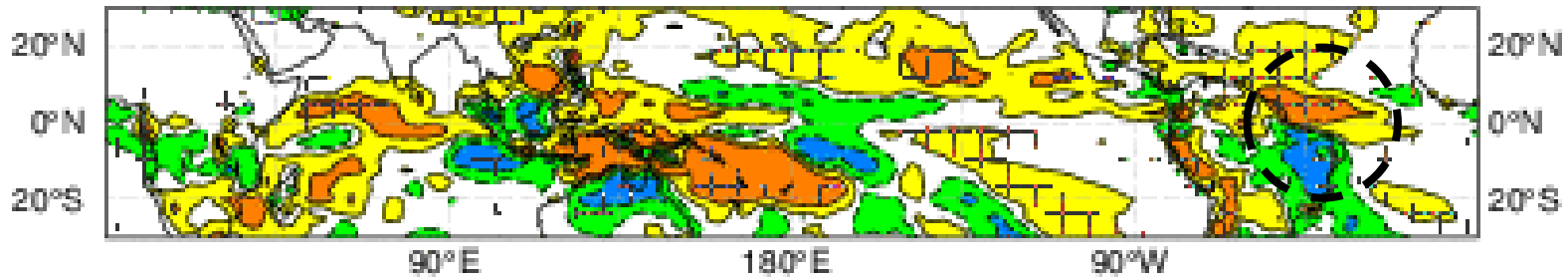
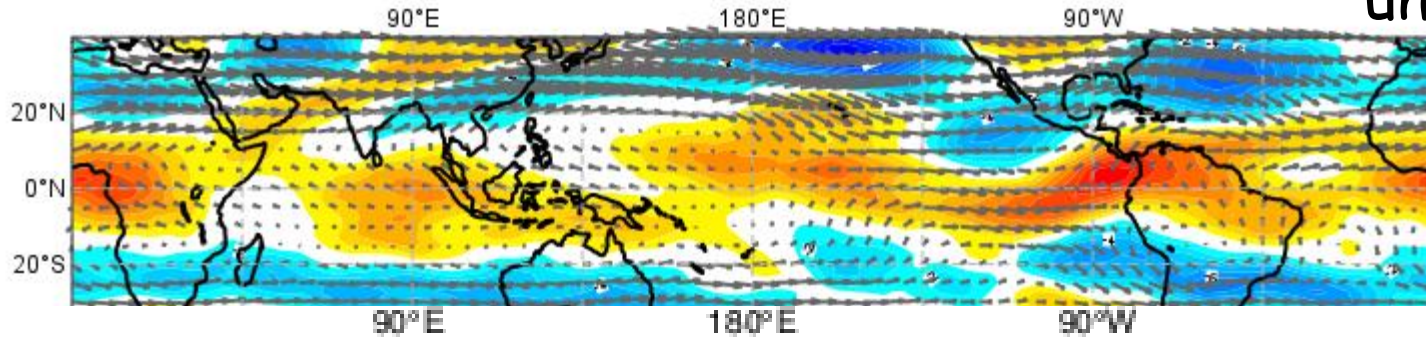
coupled



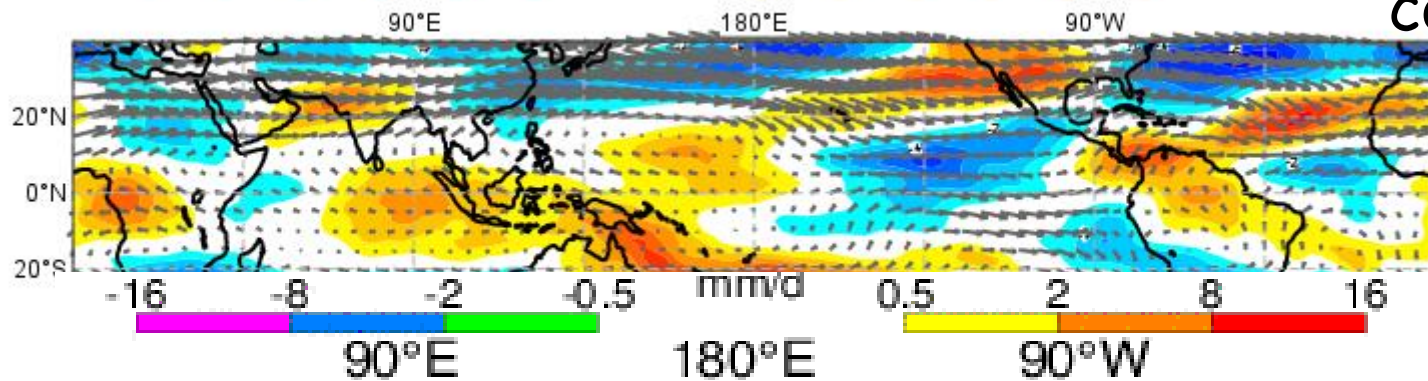
# DJF 2000-2004 climatology and U 250 hPa errors



uncoupled



coupled



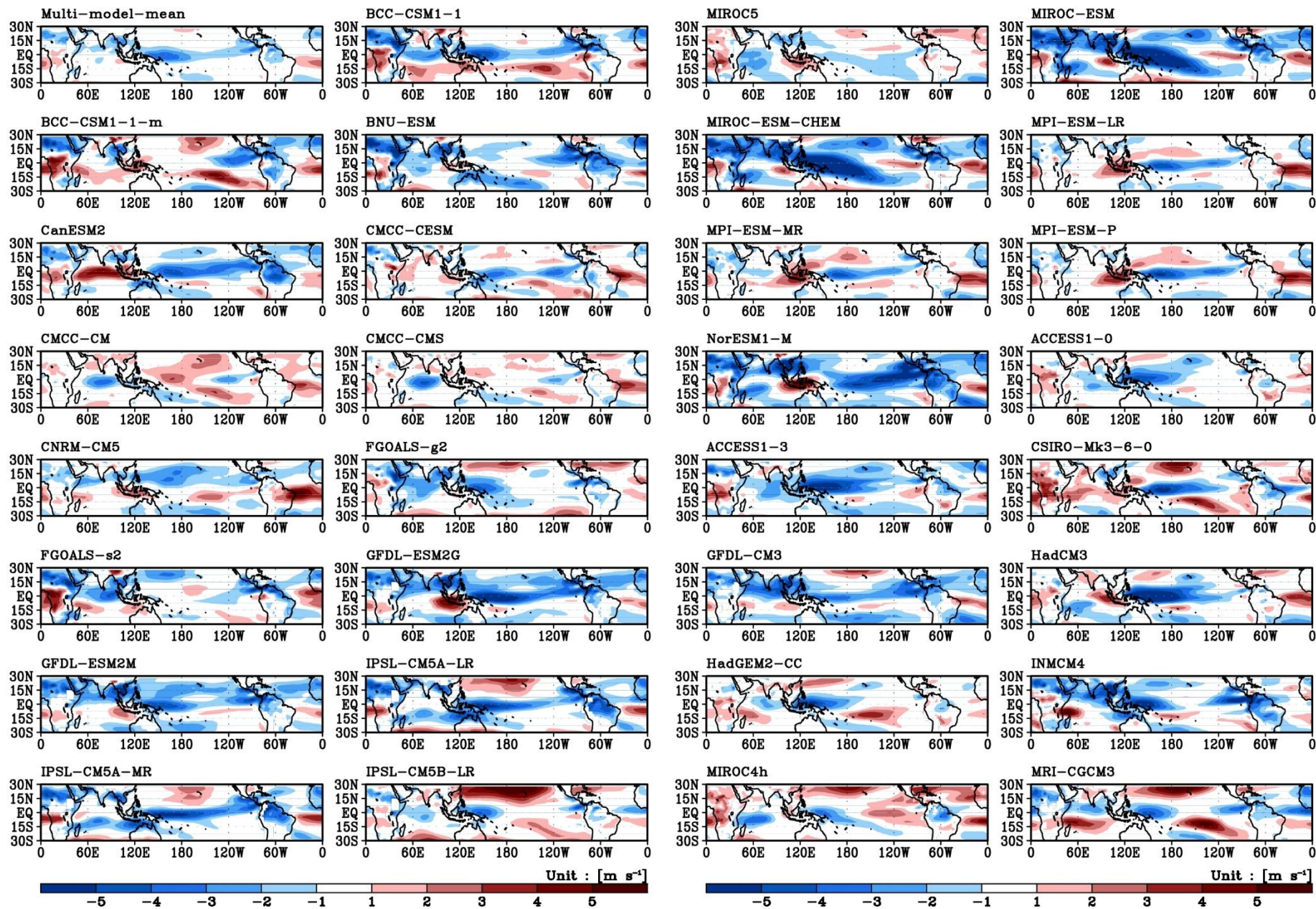
Precip diff  
coupled-GPCP

20°N  
0°N  
20°S

# prepared by D. Kim and M.-S. Ahn

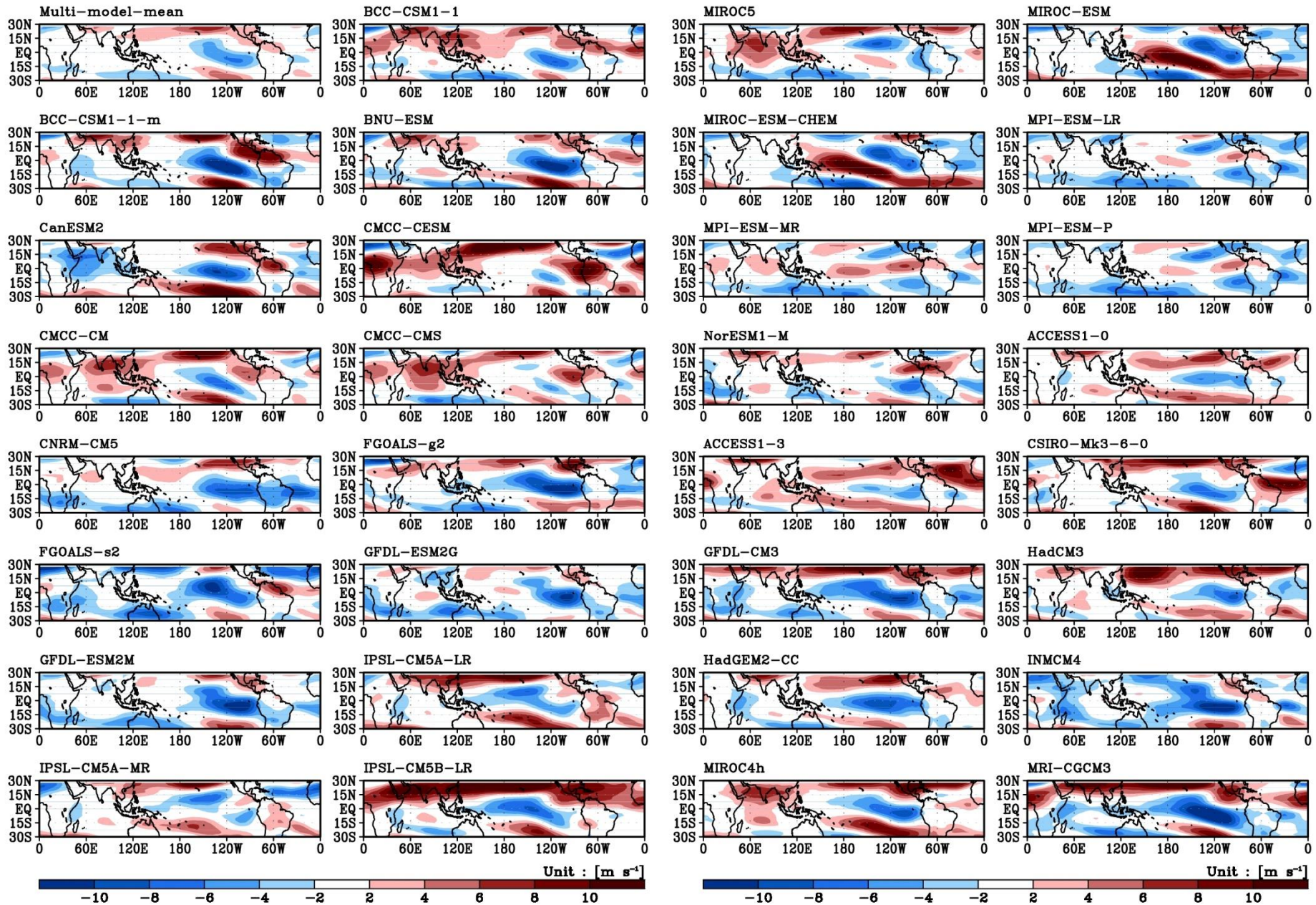
## U850 bias of CMIP5 models

1985-2004 (20yrs) boreal winter (NOV-APR) bias against ERA-interim



# U250 bias of CMIP5 models

1985-2004 (20yrs) boreal winter (NOV-APR) bias against ERA-interim



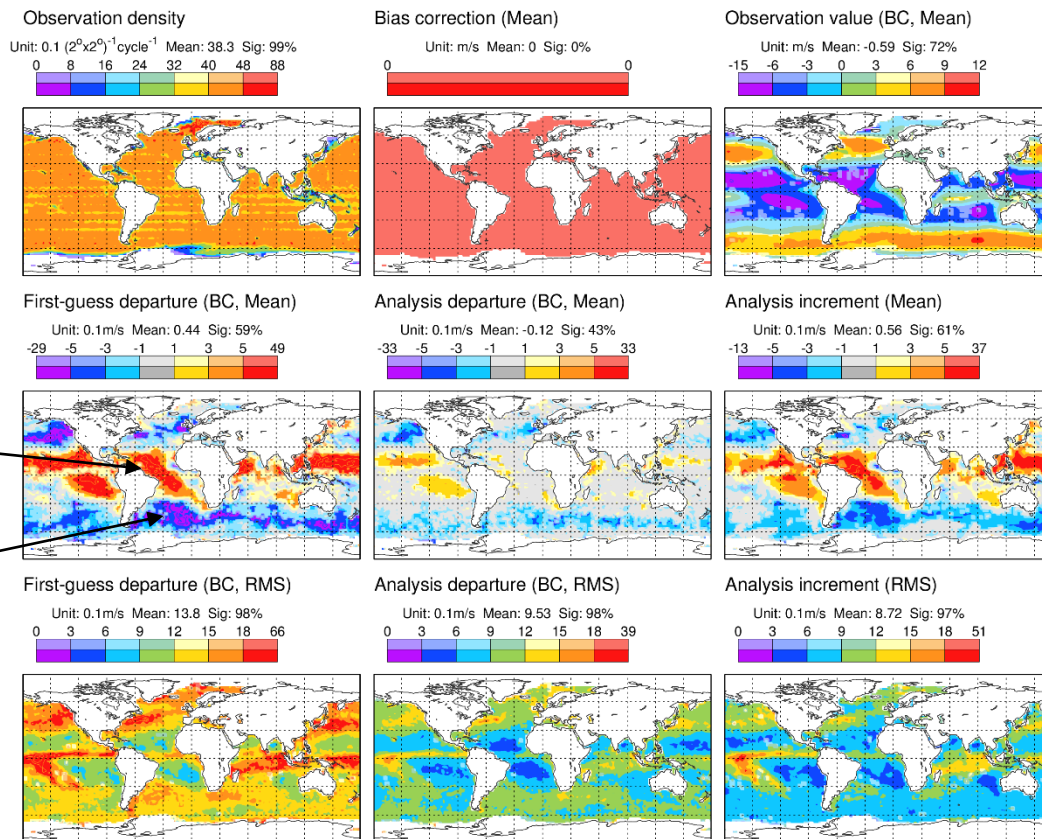
# Data assimilation feedback for ASCAT scatterometer surface u

ASCAT considered to have no bias ( $\sim 0.1\text{ms}^{-1}$ ). Certainly small relative to mean first-guess departures (obs-fg)

Tropical/subtropical easterlies too strong  $\sim 0.8\text{ms}^{-1}$

Extratropical westerlies too strong  $\sim 0.5\text{ms}^{-1}$

(Even clearer than in day 1 errors)



Analysis increments strongly correct the first-guess departures

courtesy Mark Rodwell

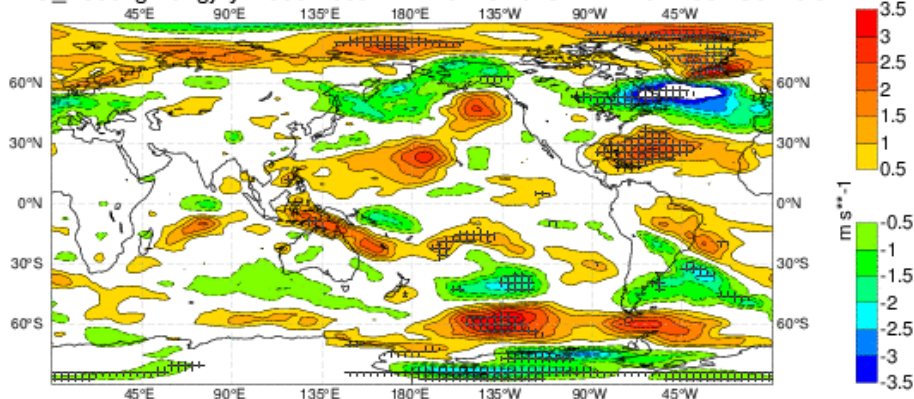
Based on ASCAT observations from all platforms for DJF 2015/16

# Sensitivity to Z0\_m sea state in heat and/or momentum fluxes

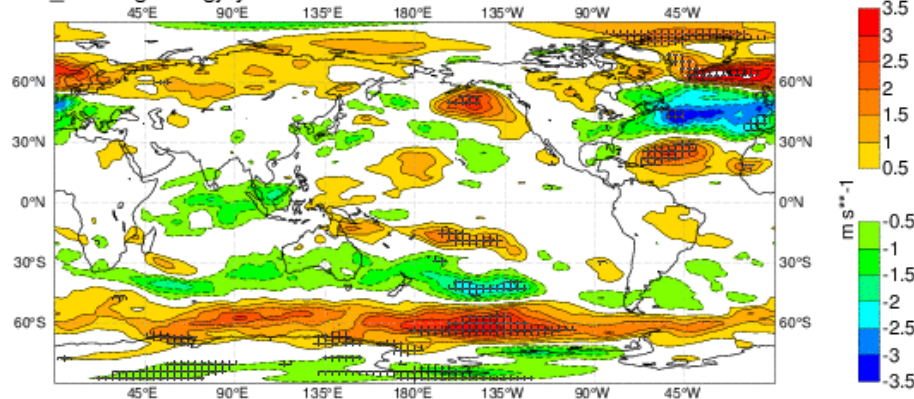
Wave model  
switch off = cst  
Charnock

cst Charnock=0.018  
in heat fluxes only

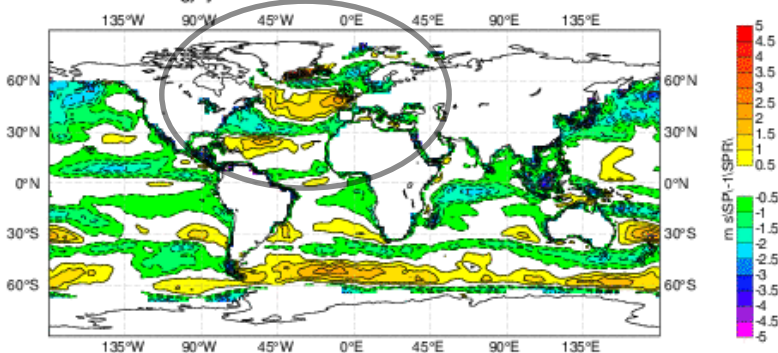
U\_P850 gm0i-gj1y 2000-2003 12 nmon=3 nens=4 Diff: 0.1298 Sdv: 0.8722



U\_P850 gm00-gj1y 2000-2003 12 nmon=3 nens=4 Diff: 0.08649 Sdv: 0.857

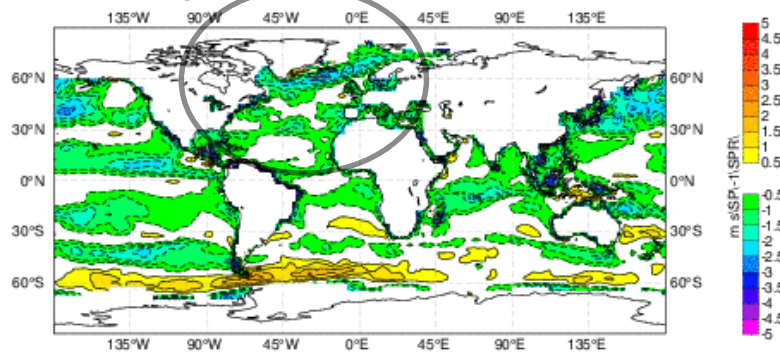


Difference gj1y - Quikscat 50N-S Mean err -0.577 50N-S rms 1.28



Base

Difference gm0i - Quikscat 50N-S Mean err -0.779 50N-S rms 1.29



no wave model

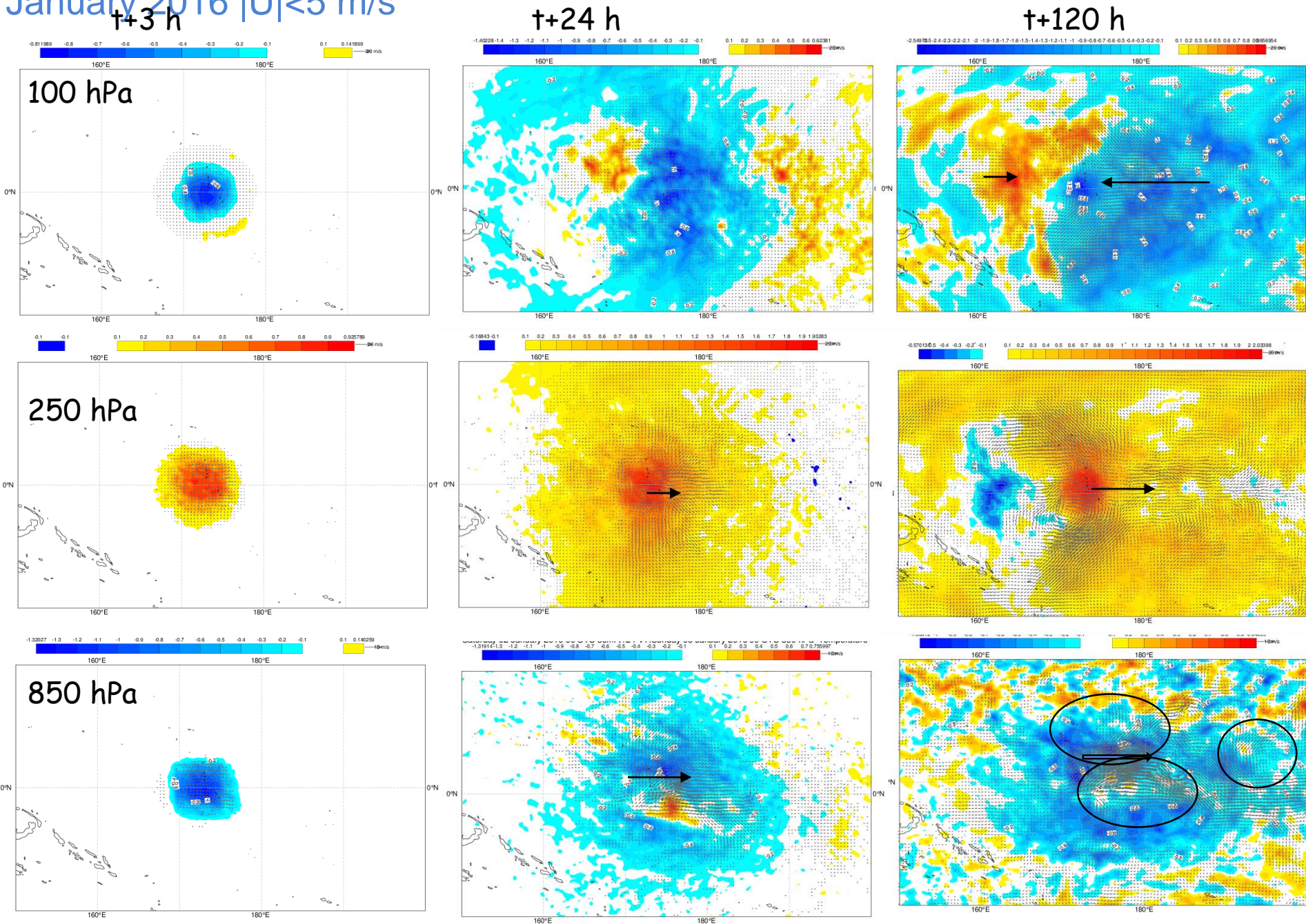
# Summary

- Energy flow - importance of conversion rate (large-scale) in upper tropical troposphere
- Good (potential) predictability of large-scale tropical waves, equator wave (energy) trapping
- First order balance between wind and temperature, but close to equator heating is essential as  $T'$  small  $< 2K$
- Stratiform perturb. profile generated inertia-gravity wave response with phase speed around 20 m/s, but also MJO like rotational flow - little impact on extra tropics
- Major source for heating (uncertainty) is moisture
- Further uncertainties concern surface roughness and convective momentum transport
- Most important is to get mean circulation right, how errors in heating and dissipation project on it remains a challenge

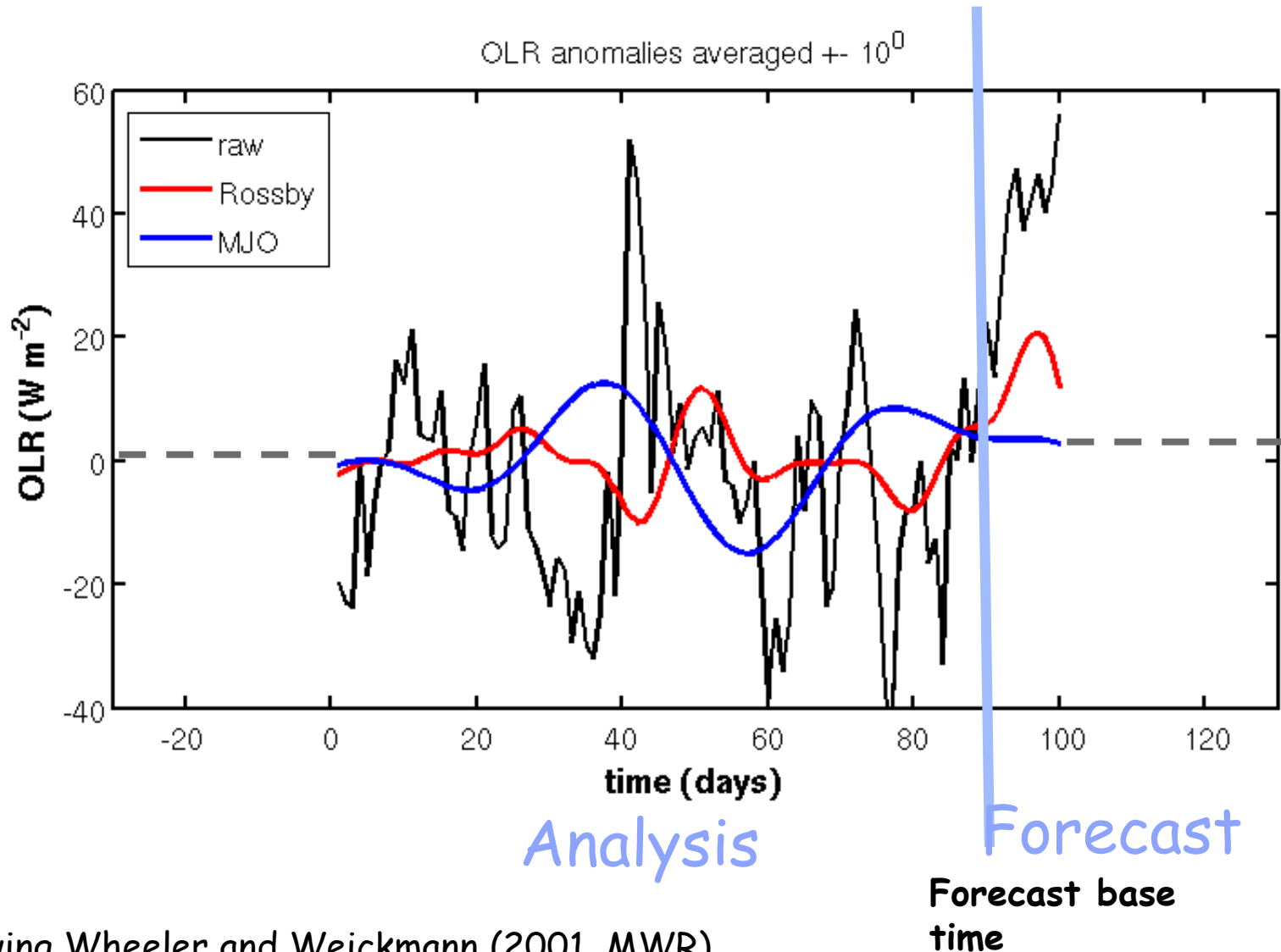


# W Pacific equat T perturbation 1: $15 \text{ K/d} \sin(2\pi (Ps-p)/(Ps-Pt))$ , $5 \times 5^\circ$ , composite

January 2016  $|U| < 5 \text{ m/s}$



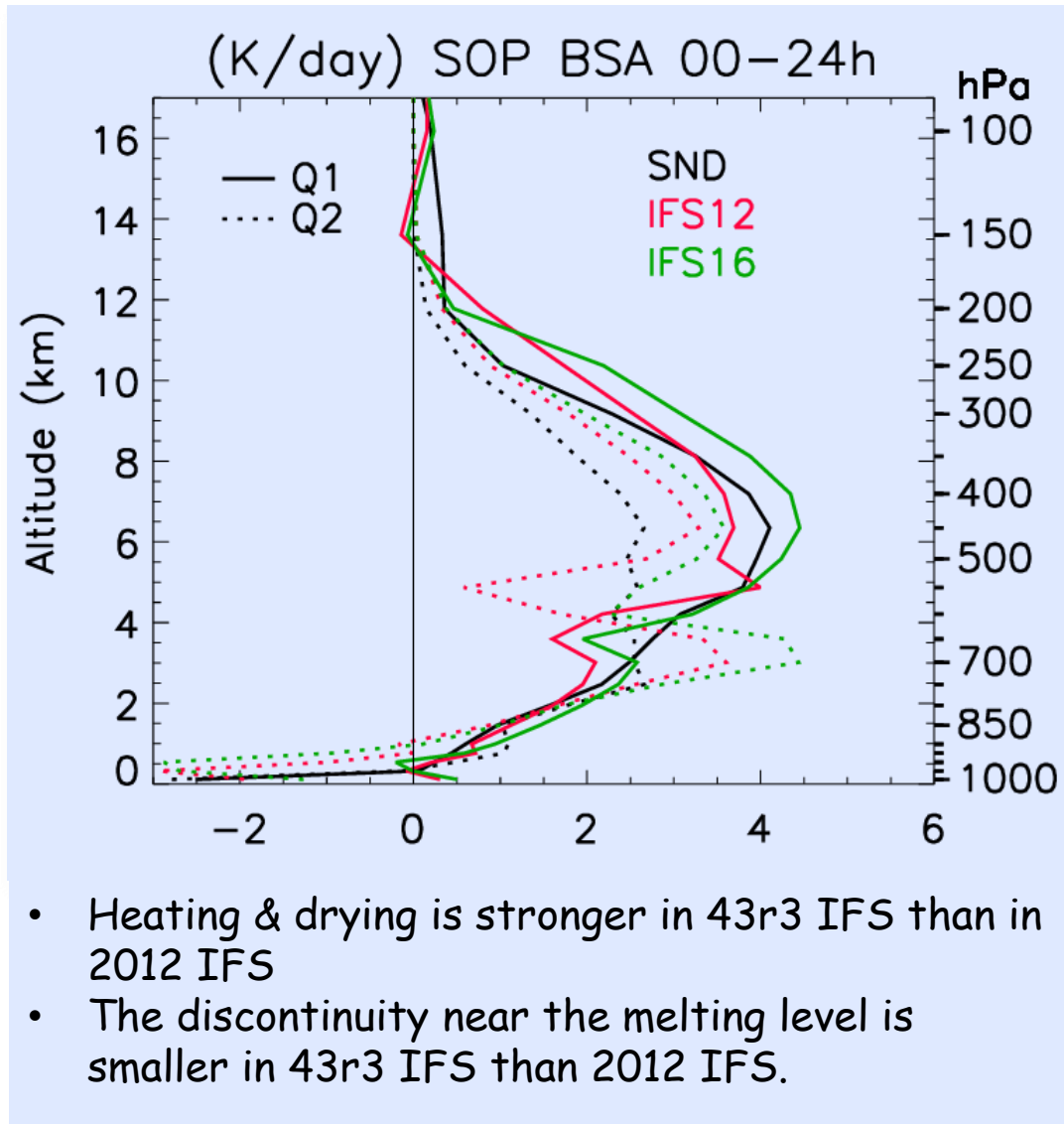
# Monitoring and real time prediction of waves



following Wheeler and Weickmann (2001, MWR),  
courtesy software M. Herman



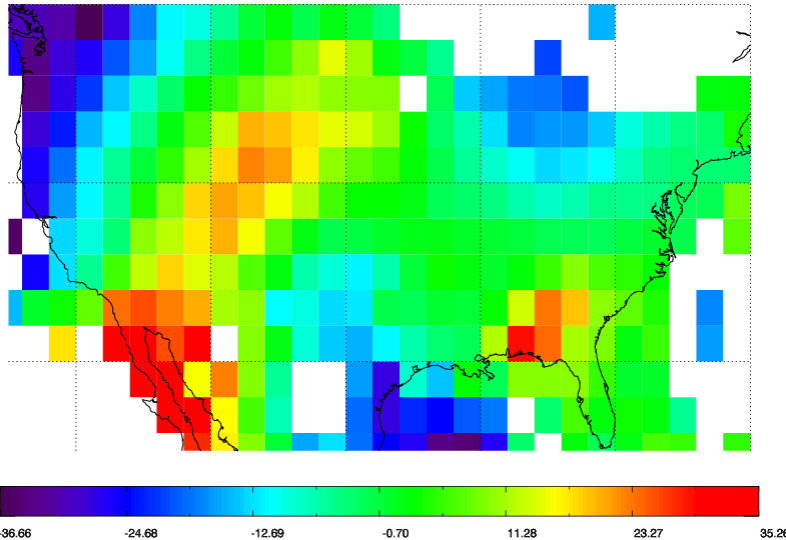
# Realism of heating profiles during DYNAMO MJO campaign



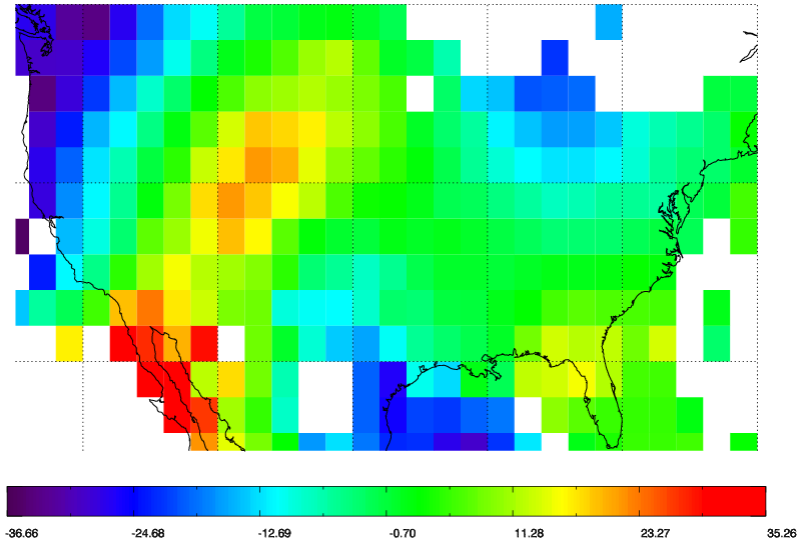
with J-E Kim and C. Zhang

# Large convective increments in 2016

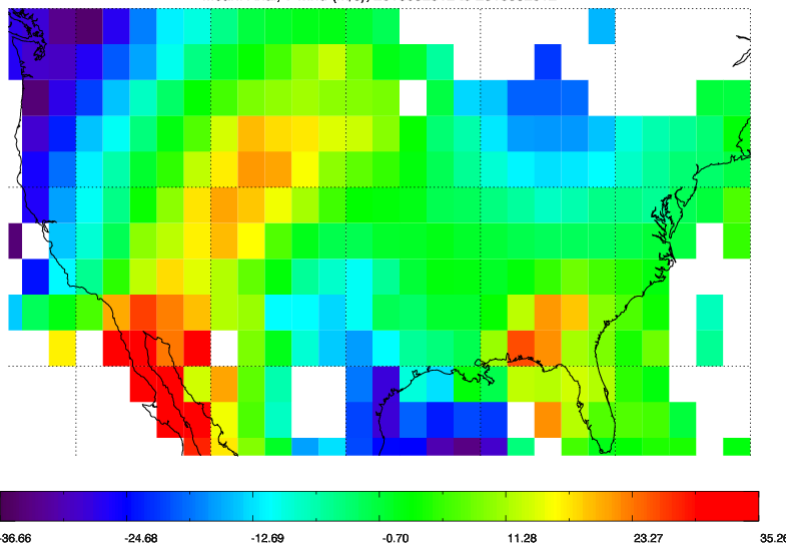
Mean: Obs, v-wind (m/s), 2016032912 to 2016032912



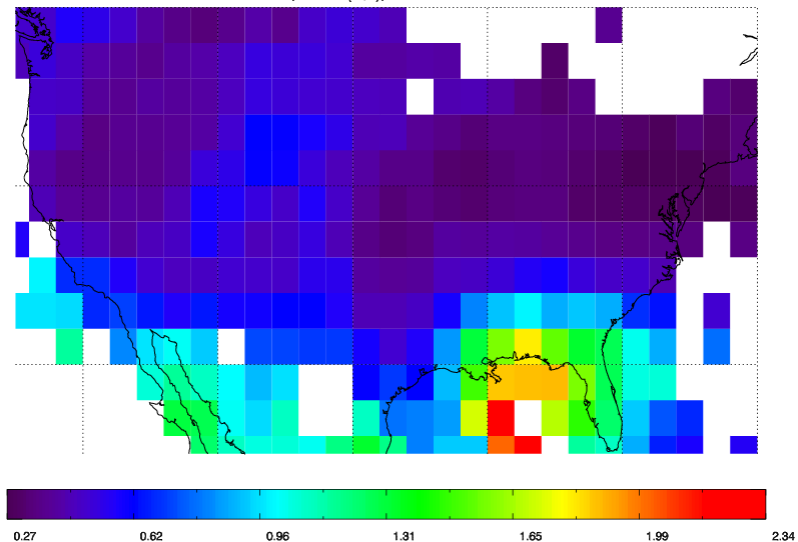
Mean: Back, v-wind (m/s), 2016032912 to 2016032912



Mean: Anal, v-wind (m/s), 2016032912 to 2016032912



Mean: B error, v-wind (m/s), 2016032912 to 2016032912



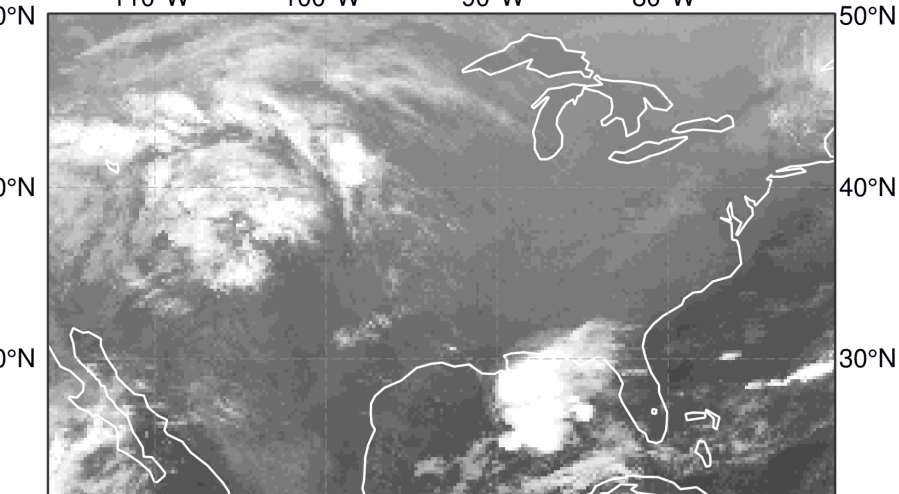
4DVarAnalysis (trajectory) able to correct the background (lack of convection) due to available aircraft Obs and background error statistics

Courtesy Mike Rennie  
ECMWF

# Large convective increments in 2016

GOES13IR10.8 20160329 12 UTC

110°W 100°W 90°W 80°W



110°W 100°W 90°W 80°W

ECMWF 1 Fc 20160329 00 UTC+12h:

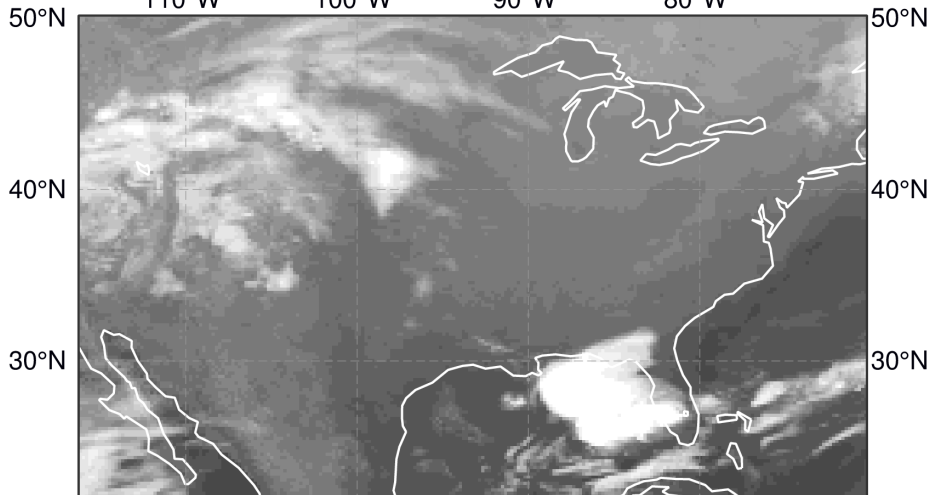
110°W 100°W 90°W 80°W



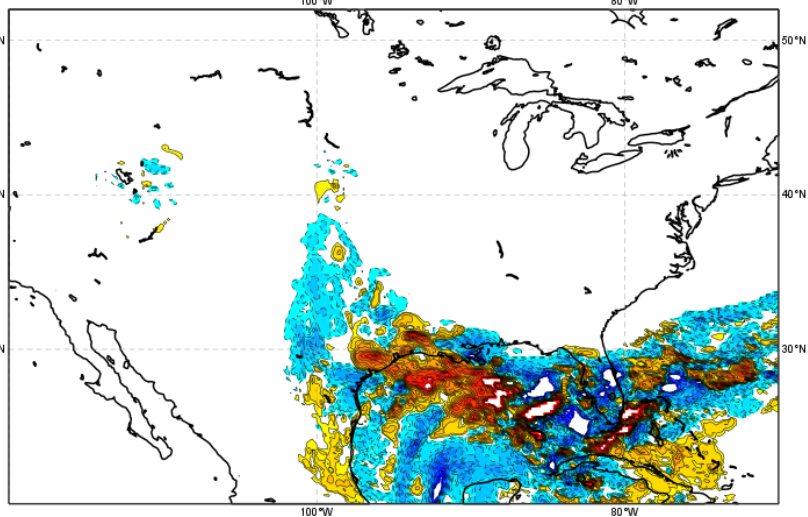
110°W 100°W 90°W 80°W

ECMWF 1 Fc 20160329 12 UTC+0h:

110°W 100°W 90°W 80°W



110°W 100°W 90°W 80°W



Slight change in large-scale conditions (CAPE/CIN) in analysis and convection is produced with right intensity and produces the 20 m/s outflow Jet

major uncertainty in heating is in nighttime precip over land

